

1 **Heat and freshwater exchange on the Antarctic continental**  
2 **shelf in a regional coupled climate model**

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23 **Abstract**

24 Understanding heat and freshwater content change in the Antarctic shelf seas is important for the  
25 basal melting of ice shelves. This study analyzes the heat and freshwater budget using a regional  
26 coupled climate model, which has been optimized for a domain including Antarctica and the  
27 bulk of the Southern Ocean. A new technique that utilizes passive tracers is introduced to track  
28 the oceanic heat and freshwater transport across the Antarctic continental shelf break.

29 The surface exchange with the atmosphere and sea ice dominates the annual cycle in heat  
30 and freshwater content change in the Antarctic shelf. Oceanic transport, however, provides a  
31 near constant source of heat and freshwater to the shelf, balancing a net loss through the surface.  
32 Two distinct annual cycles, one above the pycnocline (0-300 m) and the other below (300 m and  
33 below) are found. While surface processes dominate the cycle in the upper layer, oceanic  
34 transport plays a key role in the variations in the lower layer. Despite lack of interactive ice  
35 shelf, the net atmospheric and ocean transports appear sufficiently large to compensate for  
36 missing ice shelf thermodynamics and imply that these effects, while important, may not  
37 fundamentally alter the shelf circulation on shorter than decadal time scales.

38 Regional analysis reveals a positive onshelf heat and freshwater transport by the ocean in  
39 all basins except the Amundsen-Bellingshausen (AB) shelf. Export of High Salinity Shelf Water  
40 is the principal cause of these fluxes. The AB shelf, on the other hand, exports freshwater to the  
41 ocean, indicating onshelf transport of Circumpolar Deep Water.

## 42 **1. Introduction**

43 The Antarctic continental shelf extends hundreds of kilometers from the Antarctic coastline with  
44 an average depth (~500 m) that is significantly greater than a typical midlatitude continental  
45 shelf (~ 100 m) (Fig. 1). Four key subsystems of Earth's climate – the atmosphere, ocean, sea  
46 ice, and land ice – interact in this region. Despite its relatively small area, less than 1% percent  
47 of the Earth's surface, the Antarctic shelf governs some of the key processes regulating global  
48 climate, the ocean meridional overturning circulation, and global sea level.

49 The Antarctic Ice Sheet (AIS), the largest single mass of freshwater on Earth, sits on the  
50 Antarctic continent and floats over the Antarctic continental shelf. In recent decades, the AIS  
51 has experienced accelerated loss, particularly in the West AIS (Chen *et al.* 2009; Pritchard *et al.*  
52 2009; Velicogna 2009; Rignot *et al.* 2011; McMillan *et al.* 2014), as well as in the East AIS  
53 (Pritchard *et al.* 2012). The discharge of the AIS takes place through its floating extension on  
54 the coastal periphery, referred to as the ice shelf. Melting from the bottom of the ice shelf, along  
55 with calving of icebergs, is known to be the primary mechanism of the ice shelf mass loss  
56 (Depoorter *et al.* 2013; Rignot *et al.* 2013). The ice shelf is very sensitive to external  
57 perturbations due to a positive feedback, known as the buttressing effect; mass loss at the front of  
58 the shelf can lead to accelerated flow on the upstream glaciers, further accelerating mass loss (De  
59 Angelis and Skvarca 2003; Scambos *et al.* 2004; Dupont and Alley 2005; Schoof 2007).

60 Warm water that contributes to the basal melt of the ice shelf is delivered across the  
61 northern boundary of the Antarctic continental shelf, where the shelf sea meets the Southern  
62 Ocean. The channel geography of the Southern Ocean and climatological westerly atmospheric  
63 wind establishes a deep-reaching eastward flow, the Antarctic Circumpolar Current (ACC),  
64 which circles the globe and squeezes through the Drake Passage (56°S – 62°S). Much of the

65 water in the ACC is Circumpolar Deep Water (CDW), a mixture of intermediate and deep waters  
66 from all ocean basins with a unique temperature and salinity (roughly 1-2°C and 34.62-34.78  
67 PSU). CDW has two types: Upper CDW (UCDW), marked by a temperature maximum, low  
68 oxygen and high nutrient concentrations; and Lower CDW (LCDW), distinguished by a salinity  
69 maximum. The location of surfacing of UCDW defines the southern end of the ACC (Orsi *et al.*  
70 1995). Although most of CDW returns to the north at the surface through Ekman transport  
71 (Rintoul *et al.* 2001), some floods onto the Antarctic shelf becoming an important source of heat  
72 for ice shelf basal melt (mode 2 melting, according to the nomenclature of Jacobs *et al.* (1992)).  
73 Due to the close proximity of CDW to the continental shelf in the Amundsen and Bellingshausen  
74 (AB) Seas, warm CDW is able to move across these shelves and into the ice shelf cavity in a  
75 mostly unmodified form ( $T > 0^{\circ}\text{C}$ ). In particular, relatively unmodified CDW has been found  
76 near the bottom of multiple sectors across the AB shelf seas (Jacobs *et al.* 2012), including the  
77 cavities of Pine Island Glacier (Jacobs *et al.* 1996; Jenkins *et al.* 2010; Jacobs *et al.* 2011), Getz  
78 (Jacobs *et al.* 2013), and George VI (Jenkins and Jacobs 2008) ice shelves.

79 In this study, we use a regional coupled climate model to quantify the heat and freshwater  
80 exchange across the Antarctic continental shelf break. Diagnosing the oceanic transport of heat  
81 and freshwater onto the shelf is a first step towards constraining estimates of mode 2 melting. To  
82 understand the CDW intrusion into the ice shelf cavity, we first need to know the mean and  
83 variability of heat and freshwater exchange onto the shelf seas. Despite its importance,  
84 quantifying the cross shelf heat and freshwater exchange has proven to be difficult.  
85 Observational estimates are hampered by measurements that are both temporally and spatially  
86 sparse. Regional modeling efforts have been limited in the sense that they focus on a local area,  
87 and the atmosphere is often prescribed (e.g., Thoma *et al.* 2008; Dinniman *et al.* 2011; Hellmer

88 *et al.* 2012). In addition, global coupled ocean-atmosphere models are limited due to biases in  
89 atmospheric climatology and low spatial resolution that does not resolve ocean and land  
90 topography or ocean mesoscale eddies.

91 To overcome these hurdles, we use a newly developed regional coupled climate model  
92 that is applied to the Southern Ocean and Antarctic continent. The regional domain allows us to  
93 use sufficiently high resolution to resolve the small-scale bathymetry that strongly constrains the  
94 circulation on the continental shelf. By nudging the upper atmosphere towards reanalysis, we  
95 minimize biases in the atmospheric large-scale flow, but still allow for a fully coupled simulation  
96 with free development of air-ocean-sea ice interactions. Thus, the model respects energetics and  
97 momentum interactions that are lost when atmospheric conditions are prescribed.

98 We also quantify the heat and freshwater budgets for local shelf seas to explore regional  
99 differences in the transport. While the AB shelf is favorable to CDW intrusion due to the nearby  
100 ACC, the Ross and Weddell shelves are located a significant distance away from the warm  
101 waters of the Southern Ocean. Although both Ross and Weddell gyres transport CDW to the  
102 shelf break, it is cooled along the way (e.g., Whitworth *et al.* 2013). These shelves are filled  
103 with cold, high salinity water (referred to as High Salinity Shelf Water, HSSW) created through  
104 cooling and brine rejection during sea ice formation in coastal polynyas. HSSW is sufficiently  
105 dense to flow into the ice shelf cavities. Although at the freezing temperature at the surface,  
106 HSSW is able to cause melting under the shelf due to the depression of the freezing point of sea  
107 water with depth (mode 1 melting, Jacobs *et al.* (1992)). The ice shelf basal melt forms fresh  
108 and sub-freezing waters (Ice Shelf Water) that mix with HSSW and CDW to create dense  
109 Antarctic Bottom Water, which is a component of the global thermohaline circulation.

110 Our focus on the heat and freshwater budgets is motivated by the need to quantify and  
111 understand the processes regulating ice shelf basal melt rates. The ice shelves themselves,  
112 however, play a role in both heat and freshwater budgets of the continental shelves surrounding  
113 the Antarctic Continent; melting ice provides a sink of heat and source of freshwater. The  
114 coupled model system used in this study does not include ice shelves explicitly. This lack is  
115 common to most current coupled climate models, reflecting the difficulty of incorporating an  
116 active ice shelf into a climate model. We estimate the magnitude of the effect that ice shelves  
117 could have on heat and freshwater budgets in the context of our results from the coupled model  
118 solutions. Although the ice shelf is important for the budgets, we obtain useful flux estimates  
119 from our model. Our results provide a benchmark for comparison in future studies where the  
120 entire atmosphere-ocean-sea ice-land ice system is fully coupled.

121 Section 2 describes the model system along with its forcing and initialization. Section 3  
122 presents the details of a novel, tracer-based method that we apply to capture the heat and  
123 freshwater transports. Analysis of the heat and freshwater budgets for the entire Antarctic shelf  
124 sea, as well as the local shelf seas, are presented in Section 4. The implications of these results  
125 are discussed in Section 5 and our conclusions are presented in Section 6.

## 126 **2. The ACCIMA model**

127 We use a regional coupled modeling system developed by the ACCIMA (Atmosphere-ocean  
128 Coupling Causing Ice shelf Melt in Antarctica) project for high southern latitudes that originates  
129 from a version of the Regional Arctic System Model (RASM; Maslowski *et al.* 2012;  
130 <http://www.oc.nps.edu/NAME/name.html>; Roberts *et al.* 2015). The four components of the  
131 ACCIMA modeling system are (i) Polar-optimized Weather Research and Forecasting model

132 (PWRF; e.g., Bromwich *et al.* 2009; Bromwich *et al.* 2013) for the atmosphere, (ii) Parallel  
133 Ocean Program (POP2; Smith *et al.* 2010) for the ocean, (iii) Community Land Model (CLM;  
134 Oleson *et al.* 2010) for land (a different land model from that used in RASM), and (iv)  
135 Community Ice CodE model (CICE; Hunke and Lipscomb 2010) for sea ice. These models are  
136 coupled through the National Center for Atmospheric Research Community Earth System  
137 Model's flux coupler (Craig *et al.* 2012).

138         These models share a 10,560 km by 10,560 km polar stereographic domain centered over  
139 the South Pole. This domain covers the entire Antarctic continent and the bulk of the Southern  
140 Ocean, including the key circumpolar oceanic fronts. Two horizontal resolutions are used: 60-  
141 km spacing for the atmosphere and land grids, and 10-km spacing for the ocean and sea ice grids.  
142 The atmosphere is constrained at the boundaries and in the interior by the European Centre for  
143 Medium-Range Weather Forecasts ERA-interim reanalysis (Dee *et al.* 2011). These fields are  
144 available every 6 hours but are interpolated to 3 hours for our simulation. Meteorological  
145 conditions are imposed along the lateral (closed) boundaries of the atmosphere. For our polar  
146 stereographic domain, boundary conditions alone cannot provide sufficient upstream information;  
147 so spectral nudging is applied in PWRF throughout the interior of the domain for horizontal  
148 wind, temperature, and geopotential height. The nudging is applied only above 300 hPa and for  
149 spatial scales larger than wavenumber 7, i.e.,  $> 1500$  km, with a time scale of an hour. This  
150 nudging technique, implemented by Glisan *et al.* (2012) for the Arctic, constrains the large-scale  
151 atmosphere while allowing free evolution of the mesoscale circulation and the interface with the  
152 ocean and land.

153         The ocean model is also closed around the outer boundary. The global overturning  
154 circulation is imposed on through nudging over a buffer zone. The ocean lateral boundary

155 temperature and salinity is relaxed toward their monthly climatology from the World Ocean  
156 Atlas 2013 (WOA13; Locarnini *et al.* 2013; Zweng *et al.* 2013) in the area north of 50°S. The  
157 nudging time scale is 3 months at 45°S and northward, and tapers to infinity between 45°S –  
158 50°S.

159 Initial conditions are handled carefully because the ocean and land models have relatively  
160 long adjustment time scales. The models were first integrated separately to allow them to adjust  
161 to initial conditions before they were coupled. The ocean/sea ice and land models are first  
162 integrated uncoupled from climatological initial conditions (e.g., WOA13 for the ocean and Qian  
163 *et al.* (2006) for the land) forced by a prescribed atmosphere from the version 2 Common Ocean-  
164 ice Reference Experiments dataset (Large and Yeager 2008). After these simulations have  
165 reached an equilibrium seasonal response, the models are then integrated with coupling for three  
166 additional years with the PWRP forced by repeating the ERA-interim conditions for 1999 to  
167 allow all of the models to equilibrate.

168 The simulation used for this study is run from 1999 through 2011, providing 13 years of  
169 simulation. The details of how the modeling system performs will be presented in a separate  
170 paper. We nonetheless illustrate a few features of the overall performance that are relevant to  
171 cross-shelf heat and freshwater transports. The sea ice climatology and variability provide a stiff  
172 test for the coupled system because simulating these quantities critically depends on the oceanic  
173 stratification and atmospheric radiation and precipitation, which are not well constrained by the  
174 lateral boundary conditions or nudging of the upper atmosphere. In addition, sea ice plays an  
175 important role for the cross-shelf exchange as discussed earlier. Simulated sea-ice concentration  
176 (Fig. 2) compares well to that from the National Snow and Ice Data Center satellite microwave  
177 measurements (Meier *et al.* 2013). For February, when sea ice has its minimum extent,



178 climatological sea ice concentration obtained from the 13-yr ACCIMA simulation reasonably  
 179 captures the observed climatology (from 1999-2011) with large (small) sea ice extent over the  
 180 Weddell and Ross (AB and East Antarctic) shelves (Figs. 2a,b), although the sea ice in the AB  
 181 shelf is under-represented in the model. For September, when sea ice has its maximum extent,  
 182 the Antarctic shelf area is fully covered both in the simulation and observation (Figs. 2c,d).

183 The ocean volume transport through Drake Passage is  $120 \pm 11$  Sv ( $1 \text{ Sv} = 10^6 \text{ m}^3 \text{ s}^{-1}$ ),  
 184 which matches reasonably well with observational based estimates of  $134 \pm 11$  Sv (Cunningham  
 185 *et al.* 2003) and  $141 \pm 13$  Sv (Koenig *et al.* 2014). Oceanic fronts develop in the ACC at realistic  
 186 locations. Annual mean precipitation is realistically low over the arid Antarctic continent and  
 187 increases towards the north over the ocean (not shown).

188 Antarctic continental shelf areas are defined as ocean areas near the Antarctic coast with  
 189 depths shallower than 1,000 m (Fig. 1, details in Table 1). Monthly mean total heat content  $\overline{H_T}$ ,  
 190 where the overbar indicates the monthly time mean, is calculated from the monthly mean ocean  
 191 temperature,  $\overline{T}$ , as

$$192 \quad \overline{H_T}(n) = \sum_j c_p \rho \overline{T}(n, j) \Delta V(j), \quad (1)$$

193 where  $n$  is the time index,  $j$  is the model cell index for all cells classified as “shelf”, and the  
 194 sum is over all grid boxes on the continental shelf. Here  $c_p = 3.996 \times 10^3 \text{ J kg}^{-1} \text{ K}^{-1}$  is the  
 195 specific heat capacity of seawater. We assume that the seawater density is constant,  $\rho = 1.020$   
 196  $\times 10^3 \text{ kg m}^{-3}$ . The grid cell volumes,  $\Delta V$ , are not functions of time because POP2 is a z-  
 197 coordinate model with a rigid ocean surface. Similarly, the total salt content  $\overline{S_T}$  (kg salt) is  
 198 calculated from the saved monthly mean salinity,  $\overline{S}$ , as

199 
$$\bar{S}_T(n) = \sum_j \rho \bar{S}(n,j) \Delta V(j). \quad (2)$$

200 Total heat content over the length of the simulation (Fig. 3a) shows a clear seasonal cycle, as  
 201 expected, along with interannual variation in the high and low heat content. There is a 3 to 4  
 202 year initial change in the heat content, but it does not seem to have a trend in the last decade.  
 203 Total salt content (Fig. 3b) also shows a seasonal cycle with increased salt content in the late  
 204 winter and low salt content in the late summer. After an initial adjustment over the first 4 years,  
 205 the salt content maintains a constant value, although there is a hint at the end of this simulation  
 206 of an increasing trend in salt content.

### 207 **3. Calculating heat and freshwater fluxes**

208 The heat and freshwater content of the shelf seas are regulated by the surface (through air-sea  
 209 heat exchange, evaporation, precipitation, and sea ice formation/melting), lateral oceanic  
 210 transport across the shelf break, and melting/freezing on ice shelf sides. In defining the “surface”  
 211 in this way, we view sea ice as external to the ocean, so that freezing (melting) is a sink (source)  
 212 of freshwater to the shelf sea, and the transport of heat and freshwater associated with sea ice  
 213 advection will be counted as part of the atmospheric transport. In the absence of ice shelves, as  
 214 in our model, the oceanic exchange must balance the surface fluxes in a steady state, as opposed  
 215 to the three-way balance in the real ocean.

216 The total heat content change ( $\Delta H_T$ ) of the Antarctic shelf is calculated every month  
 217 from snapshots of the temperature field obtained at the beginning of two successive months:

218 
$$\Delta H_T(n) = \sum_j c_p \rho [T(n+1,j) - T(n,j)] \Delta V(j). \quad (3)$$

219 Because the total content change is the sum of the oceanic and surface exchange, we can use the  
 220 model diagnosis of the surface heat exchange,  $\Delta H_s$ , and compute the oceanic heat exchange,  
 221  $\Delta H_o$ , as a residual:

$$222 \quad \Delta H_o(n) = \Delta H_T(n) - \Delta H_s(n). \quad (4)$$

223 While this residual method gives us an exact measure of the ocean's heat transport, a limitation  
 224 is that we obtain only temporal evolution of the oceanic heat exchange, while spatial and vertical  
 225 structures are unknown.

226 We adopt an alternative method to compute the oceanic heat exchange using a passive  
 227 tracer in the ocean model. With this strategy, we calculate the oceanic heat exchange using a  
 228 passive tracer,  $C_T$ , that is re-initialized at the start of each month to the local temperature in each  
 229 cell,  $C_T(n, j) = T(n, j)$ . The tracer  $C_T$  is advected and diffused by oceanic circulation using the  
 230 same vertical and horizontal fluxes as for the temperature. However, unlike the temperature  
 231 field, the tracer  $C_T$  has no surface flux; so the difference between the tracer at the end of the  
 232 month,  $C_T(n+1)$ , and its value at the start of month,  $C_T(n)$ , is due purely to oceanic advection  
 233 and mixing, as if the ocean had an insulating upper boundary. When vertically integrated, the  
 234 difference is an estimate of the oceanic heat exchange of shelf seas as:

$$235 \quad \Delta H_o^*(n) = \sum_j c_p \rho [C_T(n+1, j) - C_T(n, j)] \Delta V(j). \quad (5)$$

236 The surface heat flux is computed as a residual between the total and the oceanic heat exchanges:

$$237 \quad \Delta H_s^*(n) = \Delta H_T(n) - \Delta H_o^*(n). \quad (6)$$

238 Throughout this study the asterisk (\*) is used to denote a quantity that is obtained using the tracer  
 239 method, to differentiate it from the direct residual calculation in (4).

240 It is important to note that there is a slight mismatch between the tracer-based ( $\Delta H_o^*$ ) and  
 241 the residual-based ( $\Delta H_o$ ) estimates. The mismatch occurs because diabatic processes (primarily  
 242 the surface exchange) over the course of the month long cycle lead to changes in temperature.  
 243 So even though the tracer is exchanged across the shelf break by the same velocity as the  
 244 temperature, their gradients will differ slightly, leading to different estimates of oceanic  
 245 advection and diffusion compared to the temperature. Nonetheless, we find the mismatch  
 246 remains small relative to the oceanic flux (shown below), so that the tracer method provides a  
 247 useful estimate.

248 We can perform a similar calculation for the freshwater flux. The total salt content  
 249 change on the Antarctic shelf is obtained from the salinity field at the beginning and the end of  
 250 each month. It remains to convert the salt content change to an equivalent freshwater volume  
 251 change. Using an ocean reference salinity,  $S_{ref} = 34.7 \text{ g kg}^{-1}$ , and a freshwater density,  $\rho_{fw} =$   
 252  $10^3 \text{ kg m}^{-3}$ , the total freshwater flux [ $\text{kg month}^{-1}$ ] for the Antarctic shelf sea is

$$253 \quad \Delta F_T(n) = -\sum_j [S(n+1, j) - S(n, j)] \Delta V(j) \cdot \rho_{fw} / S_{ref} . \quad (7)$$

254 The oceanic freshwater exchange ( $\Delta F_o^*$ ) is obtained with a passive tracer,  $C_s$ ,

$$255 \quad \Delta F_o^*(n) = -\sum_j [C_s(n+1, j) - C_s(n, j)] \Delta V(j) \cdot \rho_{fw} / S_{ref} , \quad (8)$$

256 where  $C_s$  is reset to the salinity at each grid point at the beginning of each month. The residual  
 257 surface freshwater exchange ( $\Delta F_s^*$ ) is

$$258 \quad \Delta F_s^*(n) = \Delta F_T(n) - \Delta F_o^*(n) . \quad (9)$$

259 The above equations quantify the heat and freshwater fluxes for the entire volume of shelf water.  
 260 To facilitate comparison with atmospheric flux, we convert these quantities to surface equivalent

261 fluxes [ $\text{W m}^{-2}$  for heat flux and  $\text{mm day}^{-1}$  for precipitation] by dividing them by the shelf area  
262 and converting time units.

263 To validate the new tracer-based methodology, we compare the two estimates of the  
264 oceanic heat exchange; one based on the tracer as in Eq. (5) and the other obtained as a residual  
265 as in Eq. (4). The tracer-based oceanic heat exchange (black curve in Fig. 4a) matches well with  
266 that of the residual method (red curve in Fig. 4a), although the former is systematically greater  
267 (smaller) in March-May (December-January) than the latter (blue curves in Figs. 4a,b). This is  
268 probably because during summer (fall), the offshelf region gains (loses) surface heat more  
269 rapidly than the onshelf region due to more ice cover over the shelf, changing the cross-shelf  
270 temperature gradient compared to the tracer gradient. Nonetheless, the difference remains a  
271 factor of 10 smaller than the two flux estimates except for January, May, and June, when the  
272 oceanic heat exchange is small. The root-mean-square error of these heat flux estimates is about  
273  $3.1 \text{ W m}^{-2}$  for all months.

274 The two estimates of freshwater flux also match well, despite a similar systematic bias.  
275 The tracer-based freshwater oceanic exchange (black curve in Fig. 4c) is less (greater) than that  
276 of the residual method (red in Fig. 4c) during December to April (July to October) (see blue  
277 curves in Figs. 4c,d for the difference). The explanation for the seasonal pattern in the difference  
278 is related to the difference in the sea ice growth/melt over the ocean vs. the shelf leading to  
279 differences in onshelf freshwater transport vs. onshelf tracer transport. The root-mean-square  
280 error for the freshwater flux is  $0.7 \text{ mm day}^{-1}$  for all months. Based on this favorable comparison  
281 and the benefit explained below, we will use the tracer-based estimate for the oceanic exchange  
282 of both heat and freshwater.

283           The tracer-based method allows analysis of spatial structures of the oceanic exchange.  
284 To examine the vertical structure, we integrate the tracer equations (Eqs. 5 and 8) from 300 m (at  
285 the base of the main pycnocline), being deep enough to avoid direct influence of the surface  
286 fluxes, to the bottom. This quantity includes the tracer content change both in the lateral  
287 direction across the shelf break and in the vertical direction across the 300 m surface. Vertical  
288 exchange at 300 m can occur through vertical diffusion and advection, both of which are  
289 available from the model output. By subtracting the vertical exchange from the tracer content  
290 change between 300 m and below, purely lateral tracer mixing at that layer can be retrieved. To  
291 further investigate the entire vertical structure of the oceanic fluxes, we repeat the above process  
292 from each model grid depth to the bottom.

293           The horizontal variation of these changes is obtained by integrating Eqs. (5) and (8) over  
294 an area of interest, e.g., over the AB shelf sea (red shading in Fig. 1). Such local calculations  
295 include the inter-shelf (alongshore) flux between adjacent shelf areas; but we assume that the  
296 flux between the shelves is small relative to the oceanic flux at the shelf break. This assumption  
297 is based on the fact that the climatological inter-shelf temperature gradient is small relative to the  
298 cross shelf temperature gradient. In addition, the boundary area between shelves is far smaller  
299 than the boundary area at the shelf break.

## 300 **4. Results**

301 A detailed understanding of the fluxes of heat and freshwater on the Antarctic continental shelf  
302 has proved elusive due to the dearth of observations and concerns about the fitness of global  
303 climate models. We use our 13-year integration of the ACCIMA model to establish the roles of  
304 the atmosphere, sea ice, and ocean in regulating the shelf waters. In particular, how large is the

305 net heat transport, relative to the fluxes required to melt ice in the Antarctic ice shelves? The  
306 annual cycle and the time mean of the fluxes for the whole shelf are discussed first, followed by  
307 an analysis of their vertical structure. We then look at the anomaly of these fluxes from the  
308 average seasonal variation to detect trends. Finally, we analyze results for the four subregions  
309 indicated in Fig. 1.

310 *(a) Monthly and seasonal exchange for the whole Antarctic Shelf*

311 The overall heat content change for the entire Antarctic shelf sea (Fig. 5a) displays a large  
312 seasonal cycle with a range of  $200 \text{ W m}^{-2}$ . Monthly total heat content change (black curve;  $\Delta H_T$   
313 in Eq. (3)) is compressed into austral summer and fall, with strong heating during the summer  
314 and cooling during fall and early winter. Most of the change takes place through the surface heat  
315 flux (blue curve;  $\Delta H_s^*$  in Eq. (6)) between the ocean and either the atmosphere (mainly) or the  
316 sea ice. The annual cycle of the oceanic heat exchange (red curve;  $\Delta H_o^*$  in Eq. (5)) vacillates  
317 roughly between  $5$  and  $30 \text{ W m}^{-2}$  explaining 10-40% of the total heat content change, depending  
318 on the season. The maximum oceanic heat gain peaks around March (red curve in Fig. 5b),  
319 which is about two months after the surface heating maximum (blue curve in Fig. 5b). The  
320 maximum oceanic heat exchange is concurrent with the maximum of the total heat content itself  
321 (but not the total heat content change, which is dominated by the atmosphere; Fig. 3), as well as  
322 the minimum of sea ice concentration over the shelf sea (not shown), indicating that heating of  
323 the shelf by oceanic flux is compensated by exchange of heat to the atmosphere and sea ice. The  
324 minimum of the oceanic heat exchange tends to spread from May to December, at times that the  
325 shelf is mostly covered by the sea ice. This annual cycle of the oceanic transport will be  
326 discussed later in this section.

327 The freshwater content change on the Antarctic shelf sea is similarly examined (Figs.  
328 5c,d). The surface freshwater flux (blue curve in Fig. 5c;  $\Delta F_s^*$  in Eq. (9)) plays a key role in the  
329 total freshwater content change (black curve in Fig. 5c;  $\Delta F_T$  in Eq. (7)), with an annual cycle of  
330 about  $\pm 10 \text{ mm day}^{-1}$ . The maximum of the surface freshwater flux (negative salt flux) takes  
331 place during the summer, when sea ice melts. From April to October, sea ice formation and,  
332 hence, brine rejection leads to a negative freshwater flux (positive salt flux). The oceanic  
333 freshwater exchange (red curve in Fig. 5c;  $\Delta F_o^*$  in Eq. (8)) shows a sharp increase centered in  
334 March, delayed by two months from the total freshwater exchange maximum, as for the oceanic  
335 heat exchange. This strongest offshelf oceanic exchange coincides with the highest total  
336 freshwater content (not the total freshwater content change) in the shelf sea.

337 *(b) Anomaly exchange for the whole Antarctic Shelf*

338 We now remove the seasonal cycle (Figs. 5b,d) from the heat and freshwater content change  
339 time series (Figs. 5a,c) to examine the interannual heat and freshwater fluxes (Fig. 6). The total  
340 heat content change time series (black curve in Fig. 6a) is well matched by the surface heat flux  
341 (blue curve in Fig. 6a), while the match with the oceanic heat flux (red curve in Fig. 6a) is close  
342 only when the surface heat flux is near zero. This indicates that the interannual variability of the  
343 total heat content change is largely attributed to the changing surface heat flux; the contribution  
344 from the oceanic heat flux is relatively small. The correlation between the total heat content  
345 change and the surface heat flux is 0.88 while the correlation with the oceanic flux is 0.38.

346 This is not the case for the freshwater flux. The oceanic freshwater flux (red curve in  
347 Fig. 6b) matches reasonably well with the total freshwater content change (black curve in Fig.  
348 6b), although the contribution of the surface freshwater flux (blue curve in Fig. 6b) to the total is



349 also important. The correlation of the oceanic freshwater flux with the total freshwater flux is  
350 0.85 while the correlation of the surface flux is 0.71.

351 *(c) Long term mean exchange for the whole Antarctic Shelf*

352 The time mean budget of heat and freshwater fluxes for the whole Antarctic shelf (Fig. 7) shows  
353 that the ocean adds heat and freshwater to the shelf which goes to the atmosphere and sea ice.  
354 Despite a relatively small annual cycle, the oceanic heat transport remains positive most of the  
355 time, with its time mean value of about  $12.2 \text{ W m}^{-2}$ . The standard deviation ( $4.1 \text{ W m}^{-2}$ ) of the  
356 time series with the seasonal cycle and linear trend removed is shown to indicate a measure of  
357 uncertainty for the time mean value. By multiplying the total area of the shelf sea this number  
358 can be translated to the total oceanic heat transport for the entire Antarctic shelf sea, 0.034 PW.  
359 This contrasts to the surface heat flux that varies greatly over a year from a positive to a negative  
360 value, but mostly cancels having a time mean value of  $12.5 \text{ W m}^{-2}$  (or 0.035 PW for the entire  
361 Antarctic shelf sea). The standard deviation for the surface heat flux after the seasonal cycle and  
362 linear trend is removed ( $8.4 \text{ W m}^{-2}$ ) is comparable to the time mean. The above results suggest  
363 that for the time mean, the oceanic exchange is an important way to bring heat from the Southern  
364 Ocean into the shelf sea (red arrows in Fig. 7), while the atmosphere and sea ice remove the heat  
365 from the shelf sea. However, the direction of heat exchange is contingent upon the sign of the  
366 surface heat exchange.

367 For the freshwater budget (blue in Fig. 7), the time mean oceanic exchange is also  
368 positive ( $1.0 \text{ mm day}^{-1}$ ), with an interannual standard deviation of  $0.9 \text{ mm day}^{-1}$ . The amplitude  
369 of the annual cycle of the freshwater flux is roughly  $3.0 \text{ mm day}^{-1}$ . On the other hand, the time  
370 mean surface freshwater flux ( $1.3 \text{ mm day}^{-1}$ ) is an order of magnitude smaller than the peak  
371 surface fluxes, which shows the dominance of the seasonal cycle. The standard deviations of the

372 surface fluxes without its seasonal cycle and linear trend is  $0.7 \text{ mm day}^{-1}$ . The net onshelf  
373 oceanic transport of freshwater reveals that for the entire Antarctic shelf sea, the salinity loss due  
374 to the export of the HSSW off the shelf contributes more than that from the import of salinity  
375 through the CDW onto the shelf. It will be shown later that this competition varies by the  
376 location, and in the AB seas in particular, the CDW import leads to a negative oceanic freshwater  
377 flux. The surface freshwater exchange is positive (to the atmosphere), showing that the  
378 contributions from the freezing of sea ice and evaporation are greater than that from the melting  
379 of sea ice and precipitation.

380 It is important to note that the bulk of the ocean heat exchange (more than 90%) occurs  
381 above the main pycnocline (300 m). The small amount of heat added to the lower ocean layer  
382 moves to the upper layer and then to the atmosphere. In contrast, the onshore freshwater flux is  
383 about evenly split between the upper and lower layers. Again, the freshwater flux to the lower  
384 layer moves upward to the surface layer and then to the atmosphere and sea ice.

#### 385 *(d) Depth and seasonal variation exchange for the whole Antarctic Shelf*

386 The total heat content change integrated over the whole shelf and over depth intervals starting  
387 from the bottom (Fig. 8a) exhibits two different regimes with depth. The upper layer from the  
388 surface to  $\sim 300 \text{ m}$  shows a clear annual cycle of warming in the summer and cooling in the fall  
389 and winter, both penetrating downward with a time scale of a few months. This penetration is  
390 dominated by a combination of the surface heat exchange with atmosphere and sea ice (Fig. 8d)  
391 and vertical mixing of the ocean below (Fig. 8c), and is due to the fall and winter deepening of  
392 the surface mixed layer and vertical convection due to surface density increases, which is  
393 primarily attributed to sea ice formation and brine rejection. The lateral heat exchange (Fig. 8b)

394 is mostly positive throughout the year in this upper layer, which helps the downward expansion  
395 of the warm anomaly in late summer, but opposes that of the cold anomaly during the winter.  
396 Below 300 m, the annual cycle is decoupled from the layers above it. The total heat content  
397 change (Fig. 8a) has a much smaller annual cycle than in the upper layer. This is consistent with  
398 the difference in annual cycle amplitude between the surface and the oceanic heat exchange (Fig.  
399 5b). Also, in this lower layer, most of the total heat content change (Fig. 8a) is explained by the  
400 lateral heat exchange (Fig. 8b), which brings heat onto the shelf in the summer, and removes it in  
401 fall. While driven by the ocean, this lateral heat flux is remarkably in phase with surface fluxes.

402 The annual cycle of the freshwater flux is largely a balance between two opposing  
403 tendencies: vertical transport removes freshwater to offset brine rejection and freshwater  
404 transport by ice drifting at the surface (Fig. 8g). The ocean continually brings in freshwater  
405 through the export of HSSW (Fig. 8f). The freshwater budgets of the shallow and deep layers  
406 are more closely connected due to the weaker freshwater flux at the surface and the deep  
407 convection created by winter sea ice freezing. The surface freshwater flux (Fig. 8h) dominates  
408 the total freshwater content change (Fig. 8e) at the surface, but slightly below, the lateral and  
409 vertical mixing of the ocean is important. For example, in April to October relatively salty  
410 water, i.e., negative freshwater flux anomaly, is formed at the surface (Fig. 8h). The vertical  
411 mixing of the ocean transports the salty water downward (Fig. 8g), while the lateral exchange  
412 (Fig. 8f) constantly takes the salty water off the shelf.

#### 413 *(e) Long term mean exchange for four shelf subareas*

414 We now repeat the heat and freshwater budget analysis for each of the regional areas (Fig. 9).  
415 First, as for the entire Antarctic shelf sea, the time mean oceanic fluxes reveal that the ocean  
416 brings heat and freshwater onto the shelf seas for three local shelf seas, but not the AB shelf sea.

417 However, for these three shelf seas, the amount of the oceanic transports greatly varies. For  
418 instance, the time mean oceanic heat exchange for the East Antarctic shelf sea (Fig. 9d) is about  
419 twice and twenty times larger than those for the Ross (Fig. 9a) and Weddell (Fig. 9c) shelf seas,  
420 respectively. The inter-shelf differences can be also seen for the freshwater flux. These  
421 differences are ultimately coupled to the time mean surface flux, which, for a steady state,  
422 balances the input by the oceanic flux. Secondly, two distinct layers of annual cycle can be seen  
423 for the Ross and East Antarctic shelf seas, while vertical differences are not clear for the  
424 Weddell.

425 Unlike the other shelf seas, the AB shelf sea has a time mean negative freshwater flux.  
426 This is consistent with the fact that the southern side of the ACC only flows along the AB shelf  
427 break and can deliver CDW onto the shelf in relatively unmodified form (Jacobs *et al.* 2012).  
428 Because the CDW is warm and salty, its intrusion contributes to a negative freshwater flux. For  
429 the other shelf seas, the export of the HSSW appears to be more important, and the offshelf cold  
430 and salty water transport leads to a positive heat and freshwater flux to the shelf seas.

## 431 **5. Discussion**

432 The total heat and freshwater budgets can be compared to the total ice shelf basal melt.  
433 Observation-based estimates of the total ice shelf melt around the Antarctic range from 750-  
434 1,450 Gt per year (Jacobs *et al.* 1996; Depoorter *et al.* 2013; Rignot *et al.* 2013). This amount  
435 corresponds to roughly 0.01 PW (or an average  $5 \text{ W m}^{-2}$  over the whole shelf) of heat  
436 consumption and  $2 \text{ mm day}^{-1}$  of freshwater input. These values are comparable to the time mean  
437 heat and freshwater fluxes from the Southern Ocean (Fig. 7). Despite the dominance of the  
438 atmosphere in the annual cycle, the results suggest that the oceanic transport is responsible for

439 bringing the heat energy needed to melt the ice shelf. Otherwise the shelf seas would cool and  
440 freshen in response to the atmospheric driving, which would in turn reduce the melting rates.  
441 The heat needed to melt the ice shelves is about the same size as the non-seasonal heat flux (Fig.  
442 6) and is about a quarter of the peak surface heat flux.

443         If the model had ice shelves, they could have had a strong effect on the simulation results.  
444 The initial impact would be a cooling and freshening of the shelf seas, which would in turn  
445 impact the surface fluxes to the atmosphere and lateral fluxes to the oceans. The atmospheric  
446 response is perhaps easier to predict: cooler surface temperatures would reduce the heat flux to  
447 the atmosphere, cooling the coastal regions, and potentially reducing precipitation, as part of the  
448 flux is in latent heat. Naively, one would expect the oceanic heat flux to increase, given the  
449 increase in the temperature gradient between the Southern Ocean and the (now colder) shelf seas.  
450 However, if freshening reduces the formation and export of HSSW, the oceanic response might  
451 be muted, or even the opposite sign. Indeed, preliminary simulations using an ocean-sea ice-ice  
452 shelf model (but without a coupled atmosphere) suggest that the oceanic heat exchange decreases  
453 with the ice shelf thermodynamics active (not shown).

454         From another point of view, all of the heat gained by the shelf waters eventually moves to  
455 the atmosphere in a steady state (and there is no long-term trend in the temperature of the shelf  
456 waters, Fig. 3). In a coupled calculation, the heat not absorbed by melting the ice shelves thus  
457 goes to the atmosphere, changing its structure and circulation. The real impact of including ice  
458 shelves in the model may be most significant on the lower atmosphere around the coast.

459         The freshwater produced by a melting ice shelf is comparable to the interannual variation  
460 in freshwater flux (Fig. 6) and is about a quarter of the peak freshwater flux. The lack of ice

461 shelves in this model should lead to saltier HSSW, or could be compensated by a reduced export  
462 of sea ice.

463 Ice shelf melt will certainly influence the coastal ocean around Antarctica, but this model  
464 study suggests the size of these effects may be modest and can be accounted for by small  
465 changes in the ocean exchange with the atmosphere or lateral ocean exchange across the shelf  
466 break. The modeled magnitude of atmospheric and oceanic fluxes are sufficiently large that  
467 freshwater and heat fluxes associated with ice melt, while important, will not radically alter the  
468 shelf circulation. Further research is clearly merited, and but we believe that the estimates in  
469 Fig. 7 are a valuable step toward the complete shelf sea heat and freshwater budget.

## 470 **6. Conclusions**

471 Analysis of a coupled ocean-atmosphere simulation shows that surface exchange, which we  
472 define to include both atmosphere and sea ice, explains the bulk of the annual cycle in the total  
473 content change for both heat and freshwater on the Antarctic shelf. The annual cycle in surface  
474 heat and freshwater exchange amplitude is on the order of  $100 \text{ W m}^{-2}$  and  $10 \text{ mm day}^{-1}$ ,  
475 respectively, when averaged over the entire shelf. The amplitude of the annual cycle in oceanic  
476 exchange is just 1/5 as large and exhibits a compressed seasonal cycle, concentrated in the sea  
477 ice-free season of the shelf seas from December to May. While the surface exchange changes  
478 greatly throughout the year, oceanic transport is more stable and nearly always positive, bringing  
479 both heat and freshwater onto the shelf. In a steady climate, the annual mean transports of the  
480 ocean and atmosphere must balance. In the time mean, the atmosphere and sea ice remove heat  
481 and freshwater from the shelf seas, which is replenished by the ocean. These net transports are

482 of order  $10 \text{ W m}^{-2}$  and  $1 \text{ mm day}^{-1}$ , an order of magnitude smaller than the annual cycle in  
483 surface exchanges, but of comparable amplitude to fluctuations in the ocean transports.

484 Analysis of the vertical structure of heat changes shows two distinct annual cycles in the  
485 Antarctic shelf sea temperatures, one above the pycnocline (0-300 m) and the other below (300  
486 m and below). Processes in the upper layer are associated with the atmosphere and sea ice.  
487 Temperatures at the surface vary synchronously with the surface fluxes, but lag behind at lower  
488 levels. The delay reaches a maximum near 300 meters, where the signal is nearly three months  
489 out of phase. Thus, the maximum in the annual cycle at this level occurs in April, at which time  
490 the surface has already cooled substantially with the approach of the austral winter. This delay is  
491 likely due to the fall deepening of the surface mixed layer bringing warmer water to depth. In  
492 contrast, below 300 m, the annual cycle is decoupled from the layers above, and governed almost  
493 exclusively by fluctuations in oceanic transport. Temperatures at depth are more in phase with  
494 surface annual cycle than at intermediate depths; the maximum appears in December, shortly  
495 followed by a minimum in April. Hence, the annual maximum at 300 m coincides with the  
496 annual minimum at 600 m.

497 Overall, the ocean brings both heat and freshwater onto most parts of the shelf except the  
498 Amundsen-Bellinghshausen (AB) shelf where the freshwater transport reverses sign; the ocean is  
499 still a source of heat, but exports freshwater. The AB shelf break is close to the ACC, so that the  
500 flooding of warm and salty Circumpolar Deep Water (CDW) onto the shelf can bring both heat  
501 and salt. In contrast, the ocean is a significant source of freshwater in the Ross and Weddell  
502 shelf seas, the former in particular. Here the drainage of cold, High Salinity Shelf Water  
503 (HSSW), which is formed through brine rejection during sea ice growth, leads to a net import of  
504 both heat and freshwater onto the shelf. East Antarctica has competition between both

505 processes: at upper levels the ocean serves as a net source of heat and sink of freshwater,  
506 consistent with the import of CDW. A net source of freshwater at lower levels is associated with  
507 HSSW export; however, it is more than sufficient to compensate for the sink in the upper layer.

508 Finally, we have developed a new methodology for tracking heat and freshwater content  
509 changes in the Antarctic shelf seas. The technique uses two passive tracers to exclude the impact  
510 of diabatic processes at the surface. Thus, it quantifies the oceanic transport of heat and  
511 freshwater across the shelf break as if the ocean were completely isolated from the atmosphere.  
512 Compared to the standard residual method, the tracer method provides additional information on  
513 vertical and spatial structure of the oceanic exchange: the tracer-based oceanic heat (freshwater)  
514 transport across the entire Antarctic shelf break captures the overall variability of the residual  
515 method, but systematically overestimates (underestimates) values during fall by about 10%. This  
516 difference arises from the exchanges of heat and freshwater with the surface over the course of  
517 analysis cycle, which are in turn transported across the shelf break by the ocean. In addition to  
518 providing information on the structure of the transport, the new method bypasses the numerically  
519 challenging calculation of cross shelf exchange along complex shelf break geometry. This  
520 method can be easily implemented into climate models with any horizontal grid type for multi-  
521 model comparison on the cross shelf exchange.

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644

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652  $\text{m}^{-2} \text{month}^{-1}$  and  $\text{mm day}^{-1} \text{month}^{-1}$  for the heat and freshwater fluxes, respectively. .... 34

653



654 **Table 1:** The surface area and the volume for the whole Antarctic shelf and four subareas shown  
 655 in Fig. 1.

	Ross	AB	Weddell	East	All
Surface area ( $10^6 \text{ km}^2$ )	0.53	0.79	0.67	0.82	2.81
Volume ( $10^6 \text{ km}^3$ )	0.29	0.41	0.35	0.40	1.45

656

657 **Table 2:** Linear trend and standard deviation of the monthly oceanic heat and freshwater content  
658 changes for the whole Antarctic shelf and four subareas. The standard deviation is obtained after  
659 the seasonal cycle and linear trend are removed. Monthly changes are in units of surface heat  
660 flux ( $\text{W m}^{-2}$ ) and surface precipitation ( $\text{mm day}^{-1}$ ). Units for the trends are  $\text{W m}^{-2} \text{ month}^{-1}$  and  
661  $\text{mm day}^{-1} \text{ month}^{-1}$  for the heat and freshwater fluxes, respectively.

	Ross	AB	Wedd.	East	All
Heat Trend ( $\text{W m}^{-2} \text{ month}^{-1}$ )	0.059	0.050	0.007	0.039	0.038
Heat Stddev. ( $\text{W m}^{-2}$ )	7.8	7.2	3.2	7.7	4.1
FW Trend ( $\text{mm day}^{-1} \text{ month}^{-1}$ )	-0.001	0.002	0.006	-0.004	5.e-4
FW Stddev. ( $\text{mm day}^{-1}$ )	1.41	1.37	1.12	2.01	0.91

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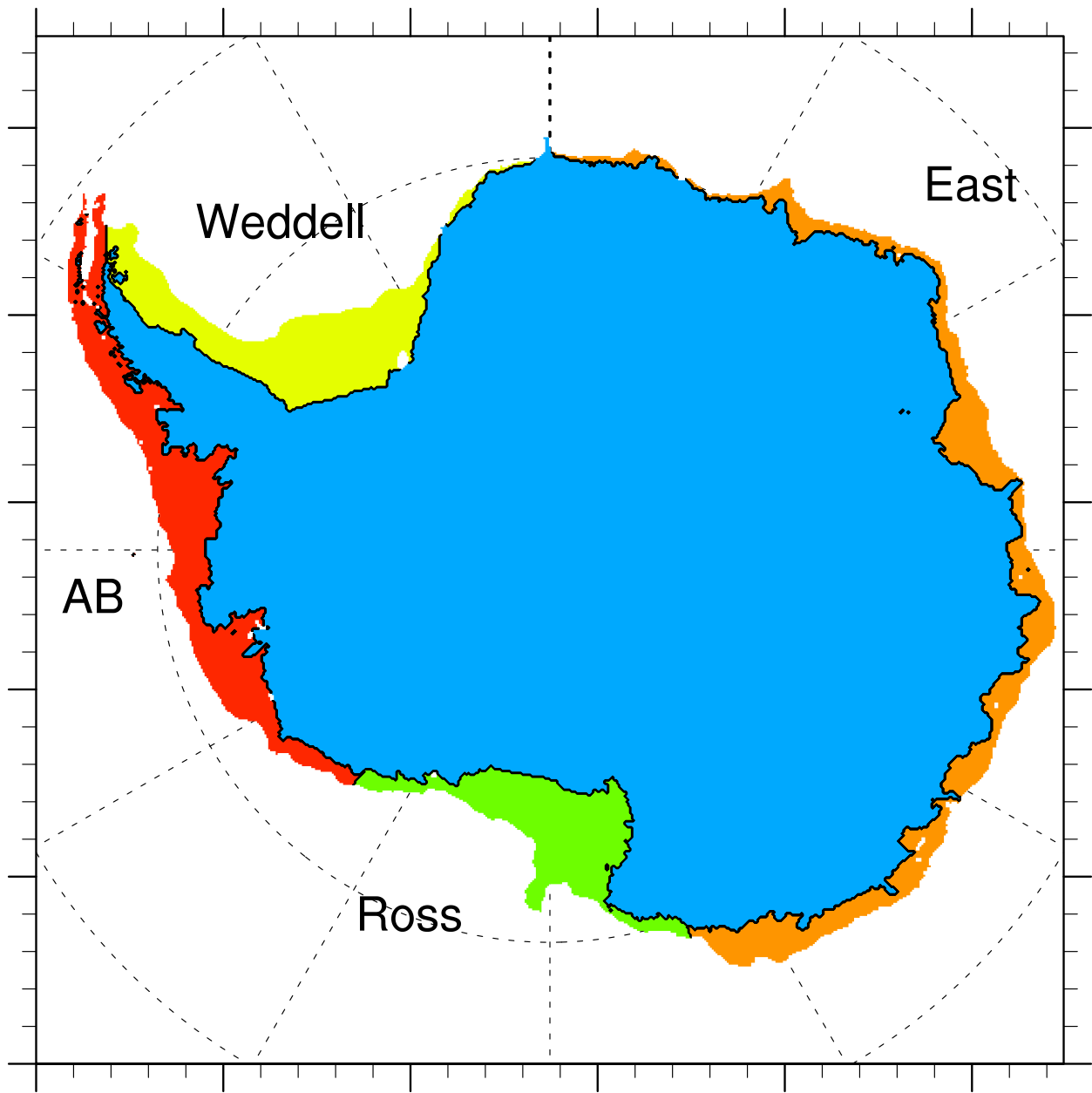
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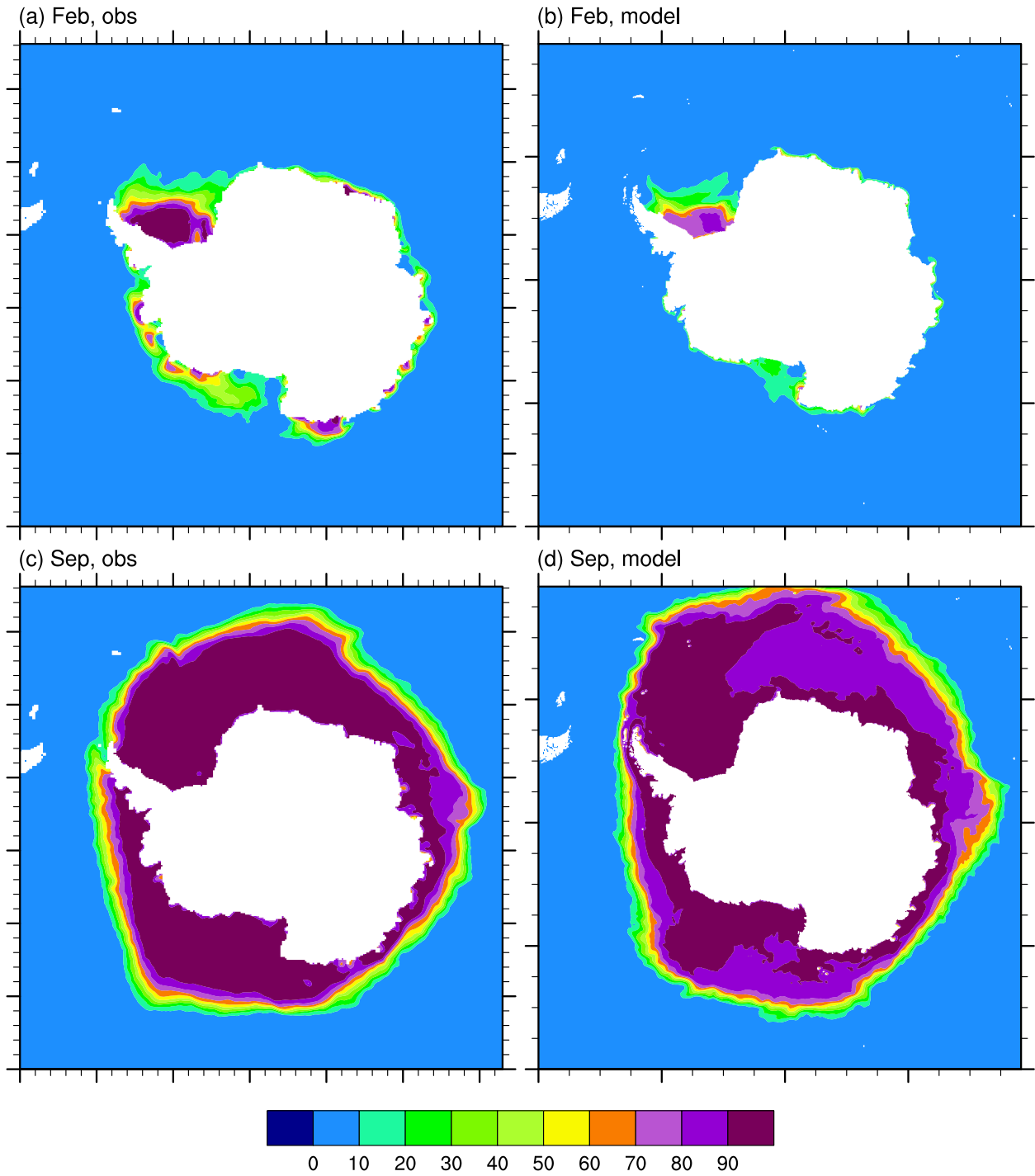
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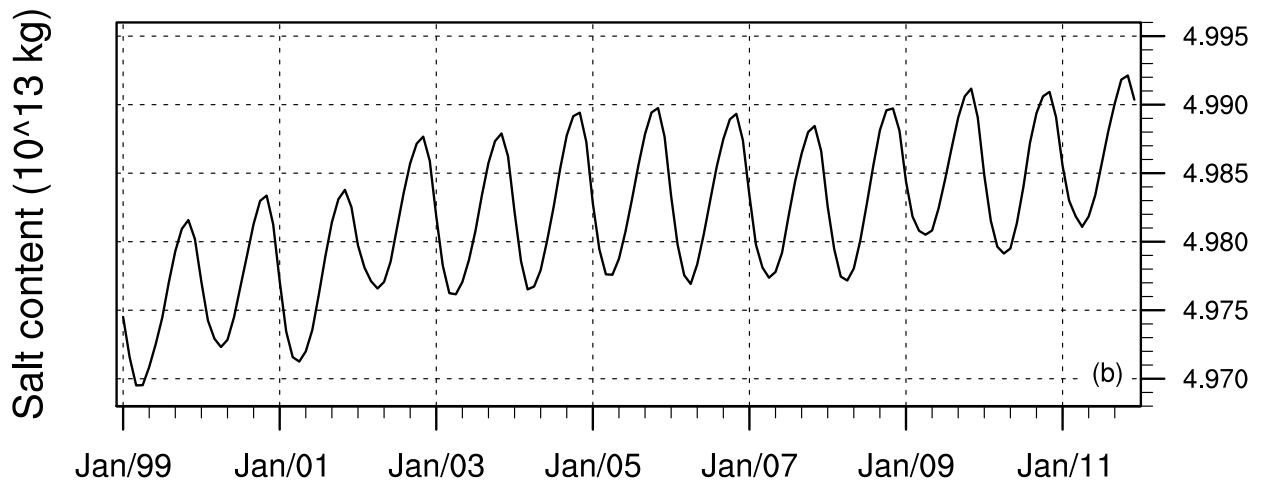
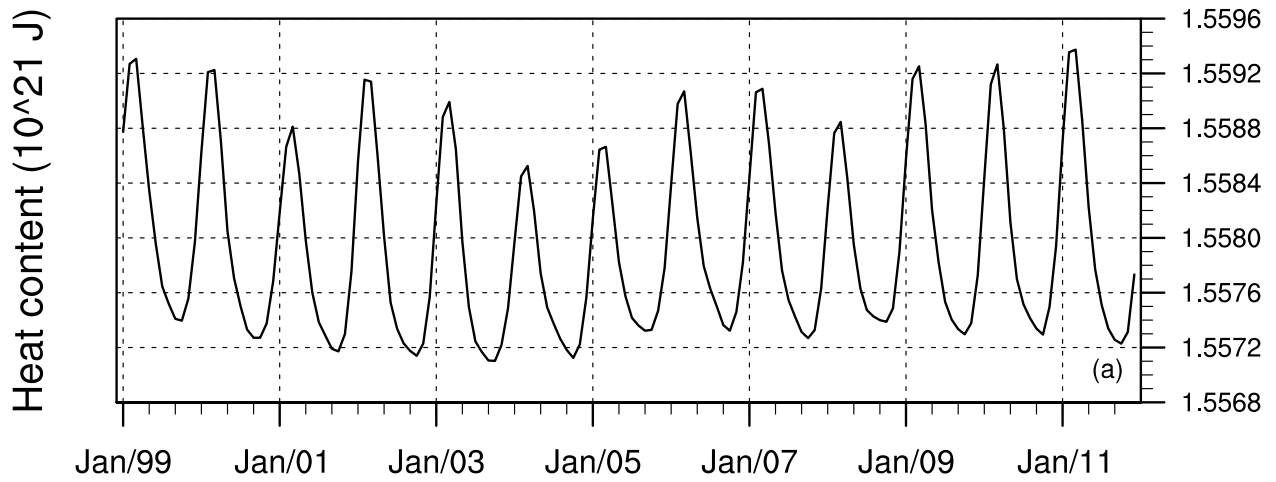
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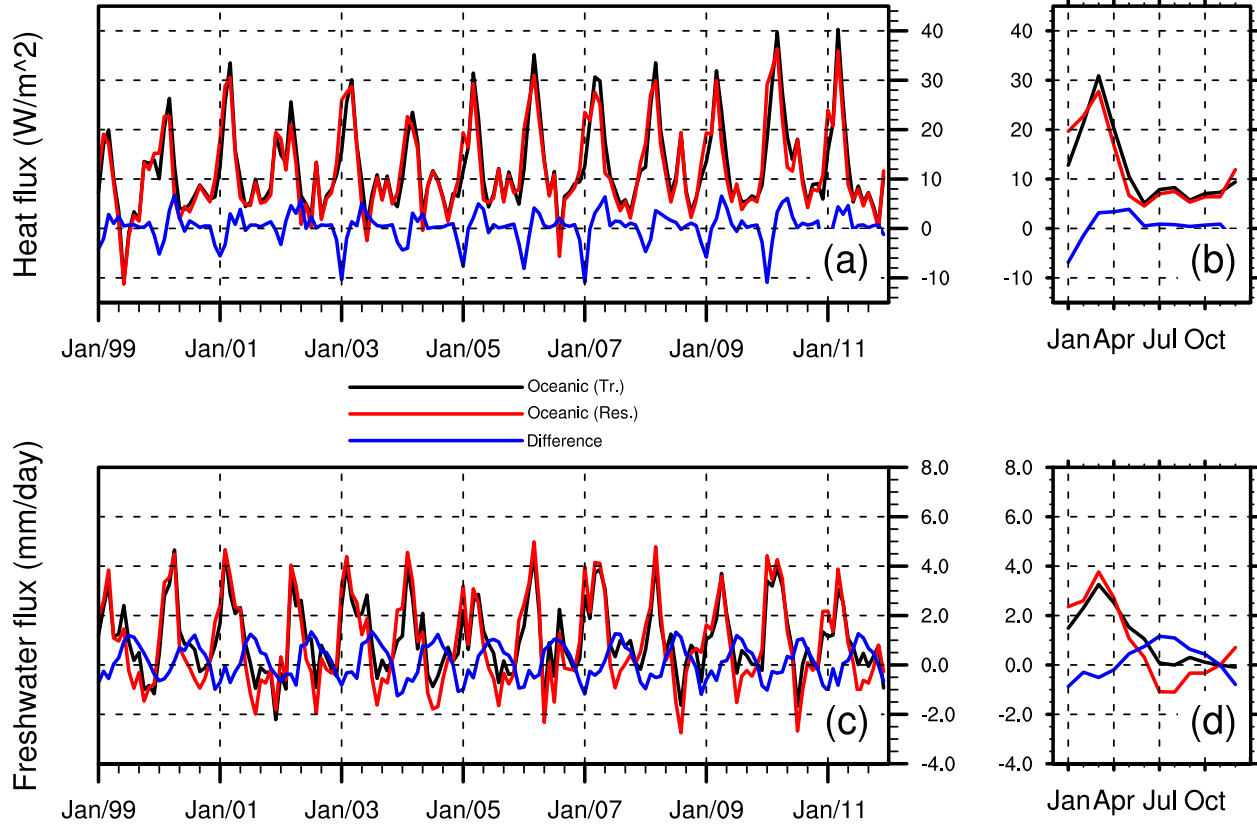


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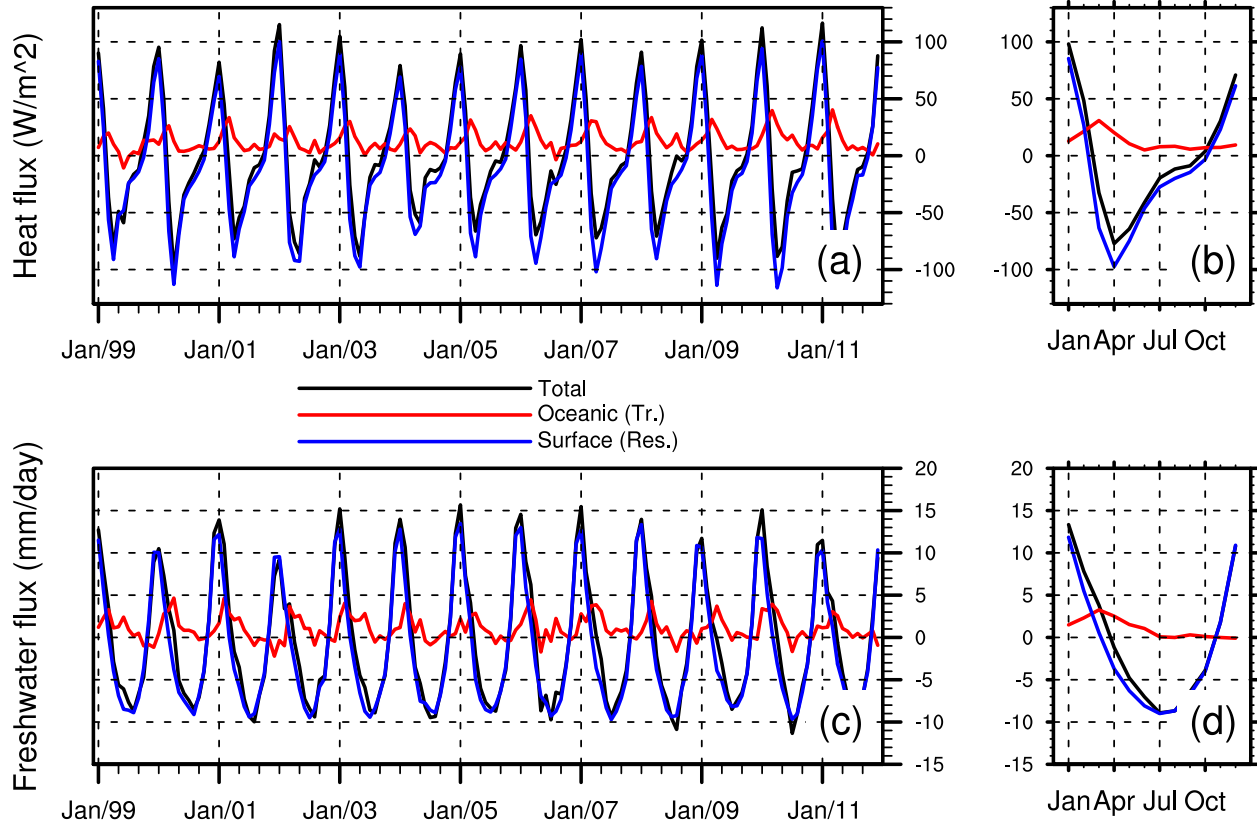
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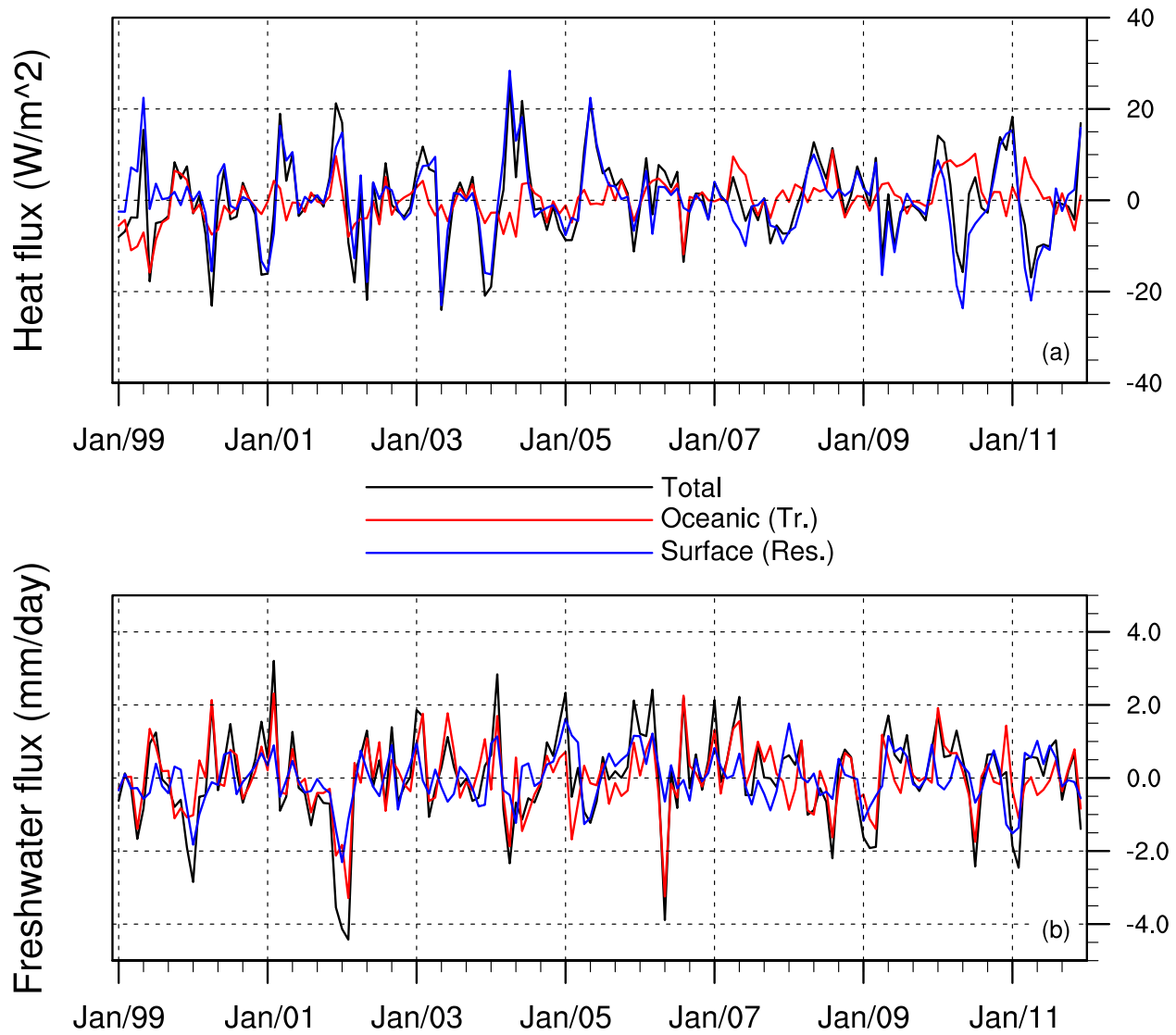
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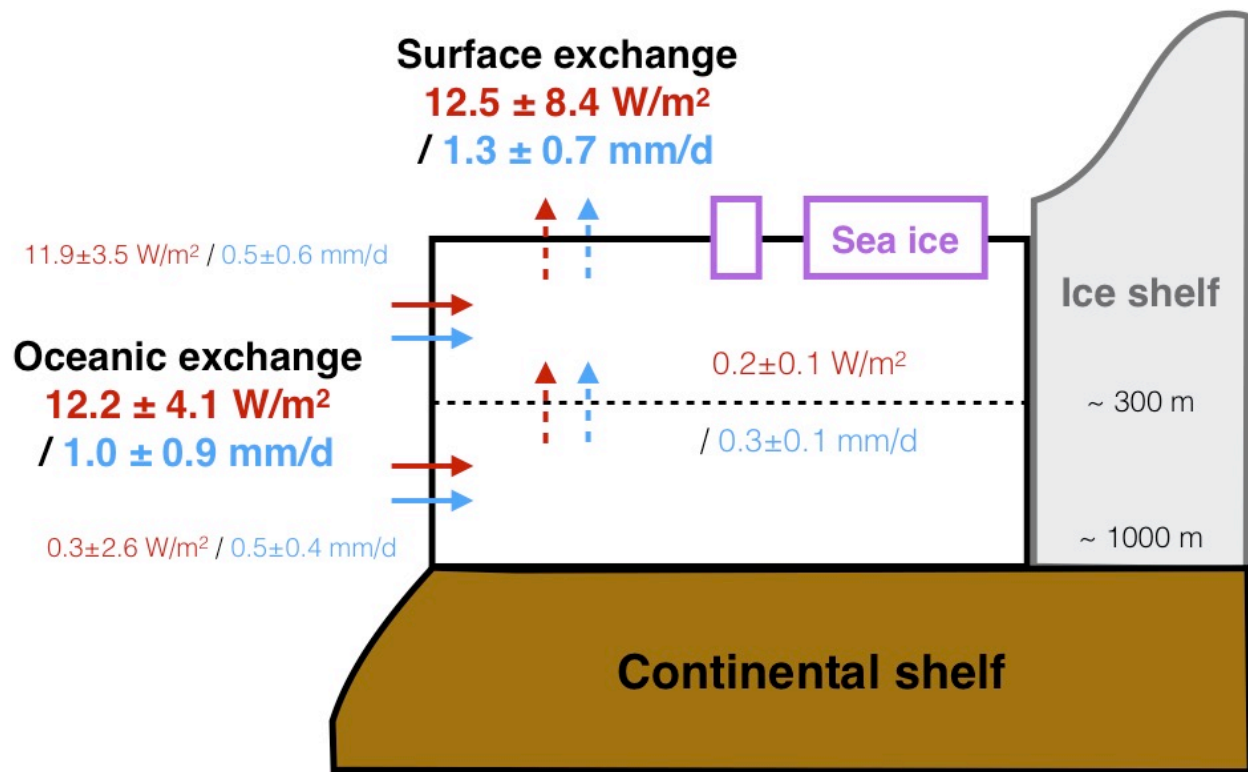


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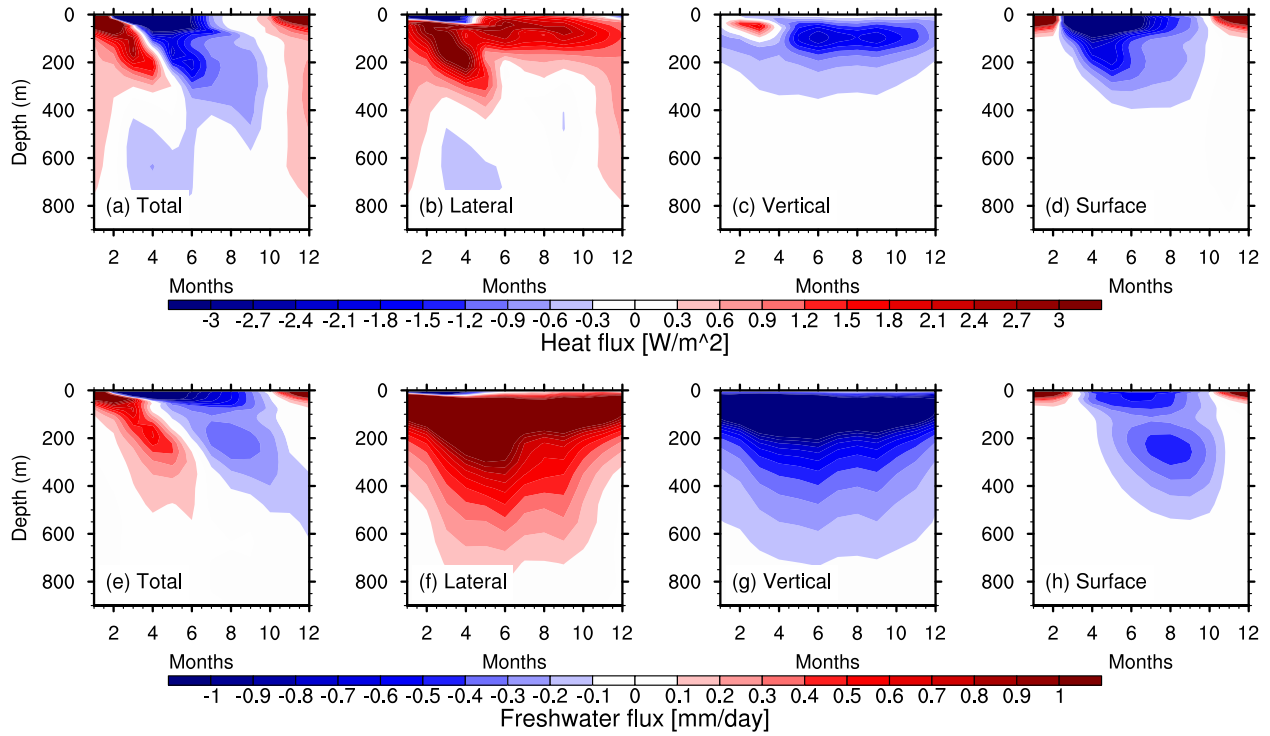
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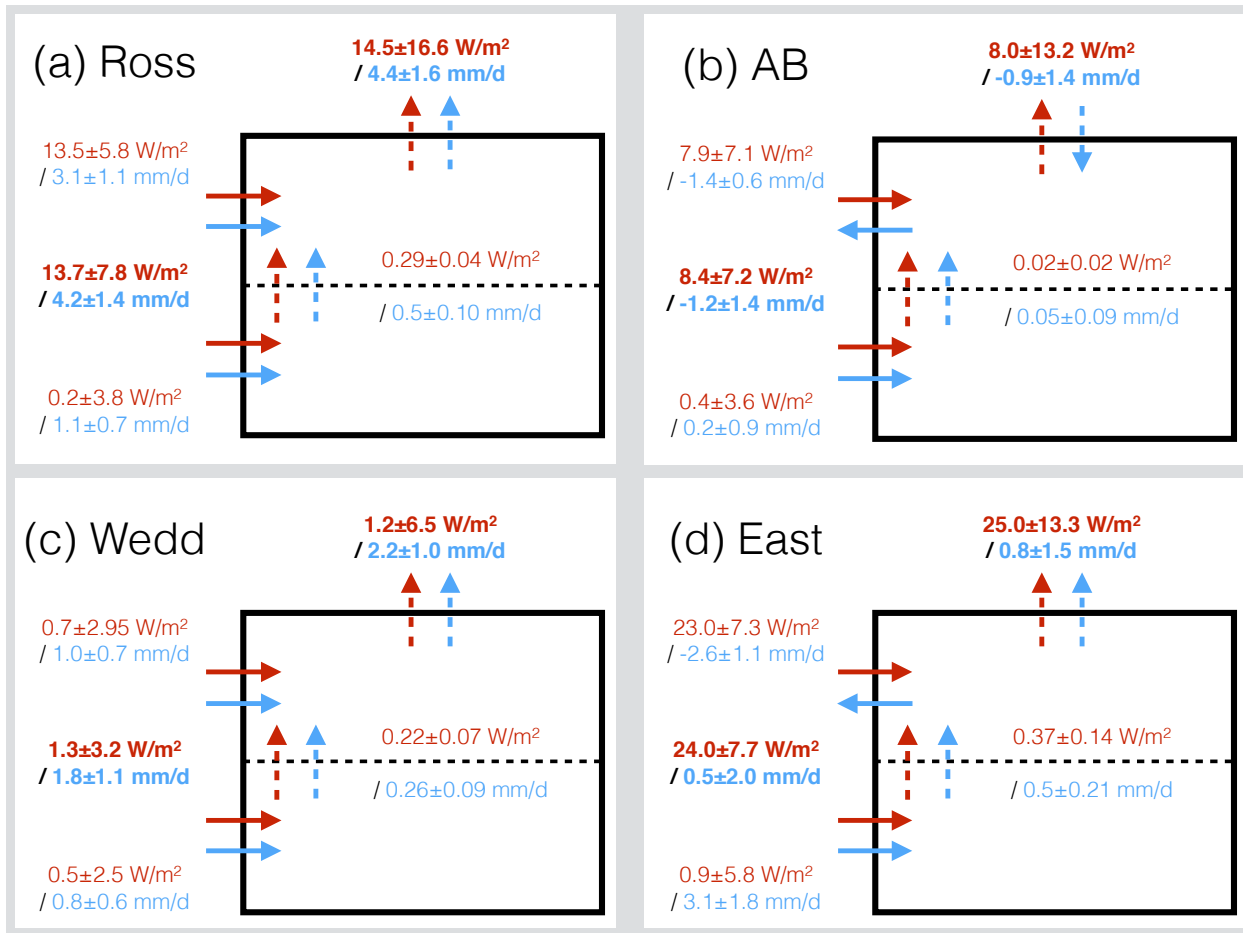
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