# Embeddings of the Heisenberg group, uniform rectifiability, and the Sparsest Cut problem 

Robert Young<br>New York University (joint work with Assaf Naor)

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## Distortion

Let $X$ be a metric space.

- Let $f: X \rightarrow Y$ and let $D \geq 1$. We say that $f$ has distortion at most $D$ if there is an $r>0$ such that

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\frac{d(f(a), f(b))}{d(a, b)} \in[r, D r]
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for all $a, b \in X, a \neq b$.

- For $p>0$, the $L_{p}$-distortion of $X$ is the infimal $D \in[1, \infty]$ such that there is an embedding $f: X \rightarrow L_{p}$ such that $d(a, b) \leq\|f(a)-f(b)\|_{p} \leq D d(a, b)$ for every $a, b \in \mathcal{M}$.


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- (Bourgain) If $X$ is an $n$-point metric space, then $c_{p}(X) \lesssim \log n$ for any $1 \leq p \leq \infty$.
- (Matoušek) If $X$ is an $n$-point expander graph, and $1 \leq p<\infty$, then $c_{p}(X) \gtrsim \log n$.


## The main theorem

Theorem (Naor-Y.)
Let $k \geq 2$ and let $B_{\mathbb{Z}}^{2 k+1}(n)$ be the set of integer points in the ball of radius $n$ in the Heisenberg group $H^{2 k+1}$. Then

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c_{1}\left(B_{\mathbb{Z}}^{2 k+1}(n)\right) \asymp \sqrt{\log n} .
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Theorem (Naor-Y.)
Let $B_{\mathbb{Z}}^{3}(n)$ be the set of integer points in the ball of radius $n$ in the Heisenberg group $H^{3}$. Then

$$
c_{1}\left(B_{\mathbb{Z}}^{3}(n)\right) \asymp(\log n)^{\frac{1}{4}}
$$

## $c_{1}$ and the Sparsest Cut problem

For $n>0$, let
$\alpha(n)=\max \left\{c_{1}(X) \mid X\right.$ is an $n$-point metric space of negative type $\}$.
This is the Goemans-Linial integrality gap.

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## Theorem (Lee-Naor)

The Heisenberg group is bilipschitz equivalent to a metric of negative type.

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Does every finite negative-type metric space embed in $L_{1}$ by a bilipschitz map?
The answer is no:

- $\alpha(n) \gtrsim(\log \log n)^{c}$ (Khot-Vishnoi)
- $\alpha(n) \gtrsim(\log n)^{c^{\prime}}\left(\right.$ with $\left.c^{\prime} \approx 2^{-60}\right)$ (Cheeger-Kleiner-Naor)


## The Heisenberg group

Let $H^{2 k+1} \subset M_{k+2}$ be the $(2 k+1)$-dimensional nilpotent Lie group

$$
H^{2 k+1}=\left\{\left.\left(\begin{array}{ccccc}
1 & x_{1} & \ldots & x_{k} & z \\
0 & 1 & 0 & 0 & y_{1} \\
0 & 0 & \ddots & 0 & \vdots \\
0 & 0 & 0 & 1 & y_{k} \\
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\end{array}\right) \right\rvert\, x_{i}, y_{i}, z \in \mathbb{R}\right\}
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This contains a lattice

$$
\begin{aligned}
H_{2 k+1}^{\mathbb{Z}}= & \left\langle x_{1}, \ldots, x_{k}, y_{1}, \ldots, y_{k}, z\right. \\
& \left.\mid\left[x_{i}, y_{i}\right]=z, \text { all other pairs commute }\right\rangle .
\end{aligned}
$$

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- The ball of radius $\epsilon$ is approximately an $\epsilon \times \epsilon \times \epsilon^{2}$ box.
- The z-axis has Hausdorff dimension 2


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Theorem (Pansu)
Every Lipschitz map $f: H^{2 k+1} \rightarrow \mathbb{R}^{N}$ is Pansu differentiable almost everywhere.
That is, on sufficiently small scales, $f$ is close to a homomorphism. But any homomorphism sends $z$ to 0 - so any Lipschitz map to $\mathbb{R}^{N}$ collapses the $z$ direction.

## $H^{2 k+1}$ does not embed in $L_{1}$

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Example
The map $f:[0,1] \rightarrow L_{1}([0,1])$

$$
f(t)=\mathbf{1}_{[0, t]},
$$

is an isometric embedding that cannot be approximated by a linear map.

Regardless, Cheeger and Kleiner showed:
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The proof involves a version of differentiation based on cut metrics.

## Cut metrics

Let $X$ be a set. A cut metric on $X$ is a semimetric of the form

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d_{S}(i, j)=\left|\mathbf{1}_{S}(i)-\mathbf{1}_{S}(j)\right| \quad \text { where } S \subset X
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The metric induced by any map $f: X \rightarrow L_{1}$ is a linear combination of cut metrics:

Lemma
If $f: X \rightarrow L_{1}$, then there is a measure $\mu$ (the cut measure) on $2^{X}$ such that

$$
d(f(x), f(y))=\int d_{S}(x, y) d \mu(S)
$$

## Proof: $H^{2 k+1}$ does not embed in $L_{1}$

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Lemma
If $B \subset H^{2 k+1}$ is the unit ball and $f: B \rightarrow L_{1}$ is Lipschitz, then the cut measure $\mu$ is supported on sets $S$ with area $(\partial S)<\infty$ and

$$
\int \operatorname{area}(\partial S) d \mu(S) \lesssim \operatorname{vol}(B) \operatorname{Lip}(f)
$$

## Proof: $H^{2 k+1}$ does not embed in $L_{1}$

Theorem (Franchi-Serapioni-Serra Cassano)
If area $\partial S<\infty$, then near almost every $x \in \partial S, \partial S$ is close to a plane containing the $z$-axis (the tangent plane at $x$.)

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- Therefore, $\left.f\right|_{B^{\prime}}$ is close to a map that is constant on vertical lines.
- So $f$ is not a bilipschitz map.


## Quantitative nonembeddability

Cheeger, Kleiner, and Naor quantified this result:
Theorem (Cheeger-Kleiner-Naor)
Let $B \subset H^{3}$ be the ball of radius 1. There is a $\delta>0$ such that for any $\epsilon>0$ and any 1 -Lipschitz map $f: B \rightarrow L_{1}$, there is a ball $B^{\prime}$ of radius at least $\epsilon$ such that $\left.f\right|_{B^{\prime}}$ is $\asymp|\log \epsilon|^{-\delta}$-close to a map that is constant on vertical lines.

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Corollary
There is a $\delta>0$ such that the Goemans-Linial integrality gap $\alpha(n)$ is bounded by

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\alpha(n) \gtrsim(\log n)^{\delta} .
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There is a $\delta>0$ such that the Goemans-Linial integrality gap $\alpha(n)$ is bounded by

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\alpha(n) \gtrsim(\log n)^{\delta} .
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But $\delta$ is tiny - around $2^{-60}$.

## The main theorem

Theorem (Naor-Y.)
Let $k \geq 2$ and let $B \subset H^{2 k+1}$ be the unit ball. Let $Z \in H^{2 k+1}$ generate the $z$-axis. If $f: H^{2 k+1} \rightarrow L_{1}$ is Lipschitz, then

$$
\int_{0}^{1}\left(\int_{B} \frac{\left\|f(x)-f\left(x Z^{t}\right)\right\|_{1}}{d\left(x, x Z^{t}\right)} d x\right)^{2} \frac{d t}{t} \lesssim \operatorname{Lip}(f)^{2}
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Corollary
B does not embed bilipschitzly in $L_{1}$.
And this gives sharp bounds on the scale of the distortion:
Corollary
When $k \geq 2$,

$$
c_{1}\left(B_{\mathbb{Z}}^{2 k+1}(n)\right) \asymp \sqrt{\log n}
$$

## Reducing to surfaces

The sharp bound on Lipschitz embeddings follows from the following horizontal-vertical isoperimetric inequality:
Theorem (Naor-Y.)
Let $k \geq 2$ and let $S \subset H^{2 k+1}$ be a set with area $\partial S<\infty$. Let

$$
S \triangle T=(S \backslash T) \cup(T \backslash S)
$$

Then

$$
\int_{0}^{\infty}\left(\frac{\operatorname{vol}\left(S \triangle S Z^{t}\right)}{d\left(0, Z^{t}\right)}\right)^{2} \frac{d t}{t} \lesssim \operatorname{area}(\partial S)^{2}
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## Rectifiability and embeddings

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Theorem (David-Semmes)
$A$ set $E \subset \mathbb{R}^{k}$ is uniformly rectifiable if and only if $E$ has a corona decomposition. (Roughly, for all but a few balls $B$, the intersection $B \cap E$ is close to the graph of a Lipschitz function with small Lipschitz constant.)

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- Naor-Y.: Surfaces in $H^{2 k+1}$ are made of uniformly rectifiable pieces.


## Decompositions in $\mathbb{R}^{k}$ and $H^{2 k+1}$

Theorem (Y.)
If $T$ is a mod- $2 d$-cycle in $\mathbb{R}^{k}, d<k$, it can be decomposed as a sum $T=\sum_{i} T_{i}$ such that supp $T_{i}$ is uniformly rectifiable and $\sum_{i}$ mass $T_{i} \lesssim$ mass $T$.

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Theorem (Naor-Y.)
If $E \subset H^{2 k+1}$, then $E$ can be decomposed into sets $E_{i}$ so that each $\partial E_{i}$ has a corona decomposition that approximates $\partial E_{i}$ by intrinsic Lipschitz graphs.

An intrinsic Lipschitz graph


## The isoperimetric inequality for graphs

Theorem (Austin-Naor-Tessera, Naor-Y.)
If $k \geq 2$ and $S \subset B \subset H^{2 k+1}$ is bounded by an intrinsic Lipschitz graph with bounded Lipschitz constant, then

$$
\int_{0}^{\infty}\left(\frac{\operatorname{vol}\left(S \triangle S Z^{t}\right)}{d\left(0, Z^{t}\right)}\right)^{2} \frac{d t}{t} \lesssim \operatorname{area}(\partial S)^{2} .
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Theorem (Naor-Y.)
If $k \geq 2$ and $S \subset B \subset H^{2 k+1}$ is a set such that $\partial S$ has a corona decomposition, then

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This proves the main theorem for $H^{2 k+1}$ when $k \geq 2$.

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- We can still decompose sets in $H^{3}$ into uniformly rectifiable pieces, but the isoperimetric inequality fails for intrinsic Lipschitz graphs in $H^{3}$.


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- We can still decompose sets in $H^{3}$ into uniformly rectifiable pieces, but the isoperimetric inequality fails for intrinsic Lipschitz graphs in $H^{3}$.
- In fact, graphs in $H^{3}$ satisfy a different inequality!


## The three-dimensional case: a counterexample

## Proposition

For any $\alpha>1$, there is a half-space $S \subset B \subset H^{3}$ bounded by an intrinsic Lipschitz graph such that for any $p>0$,

$$
\int_{0}^{\infty}\left(\frac{\operatorname{vol}\left(S \triangle S Z^{t}\right)}{d\left(0, Z^{t}\right)}\right)^{p} \frac{d t}{t} \gtrsim \alpha^{4-p}
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## The three-dimensional case: foliated corona

 decompositionsProposition (Naor-Y.)
For any $S \subset H^{3}$,

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\int_{0}^{\infty}\left(\frac{\operatorname{vol}\left(S \triangle S Z^{t}\right)}{d\left(0, Z^{t}\right)}\right)^{4} \frac{d t}{t} \lesssim \operatorname{area}(\partial S)^{4}
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## The three-dimensional case: foliated corona decompositions

Proposition (Naor-Y.)
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$$

The proof is based on foliated corona decompositions: decompositions of a graph into quadrilaterals of varying shapes and sizes on which the graph is nearly foliated by horizontal curves.


## Question

- Uniform rectifiability in $\mathbb{R}^{k}$ has definitions in terms of singular integrals, $\beta$-coefficients, corona decompositions, the big-pieces-of-Lipschitz-graphs property, and many more. We've used corona decompositions to study one class of surfaces in the Heisenberg group - do the rest of the definitions also generalize?

