1	A Multi-Scale Model for the Intraseasonal Impact of the Diurnal Cycle over
2	the Maritime Continent on the Madden-Julian Oscillation
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#### ABSTRACT

The eastward propagating Madden-Julian Oscillation (MJO) typically ex-9 hibits complex behavior during its passage over the Maritime Continent, 10 sometimes slowly propagating eastward and other times stalling and even ter-11 minating there with large amounts of rainfall. This is a huge challenge for 12 present-day numerical models to simulate. One possible reason is the inade-13 quate treatment of the diurnal cycle and its scale interaction with the MJO. 14 Here these two components are incorporated into a simple self-consistent 15 multi-scale model, which includes a model for the intraseasonal impact of 16 the diurnal cycle and another one for the planetary/intraseasonal circulation. 17 The latter model is forced self-consistently by eddy flux divergences of mo-18 mentum and temperature from a model for the diurnal cycle with two baro-19 clinic modes, which capture the intraseasonal impact of the diurnal cycle. 20 The MJO is modelled as the planetary-scale circulation response to a mov-21 ing heat source on the synoptic and planetary scales. The results show that 22 the intraseasonal impact of the diurnal cycle during boreal winter tends to 23 strengthen the westerlies (easterlies) at the lower (upper) troposphere in agree-24 ment with the observations. In addition, the temperature anomaly induced by 25 the intraseasonal impact of the diurnal cycle can cancel that from the symmet-26 ric/asymmetric MJO with convective momentum transfer, yielding stalled or 27 suppressed propagation of the MJO across the Maritime Continent. The sim-28 ple multi-scale model should be useful for the MJO in observations or more 20 complex numerical models. 30

#### 31 1. Introduction

The Maritime Continent is a region in the tropical warm pool, consisting of islands, peninsulas 32 and shallow seas. Due to strong insolation near the equator and low heat capacity of the land sur-33 face, tropical convection prevails over the Maritime Continent and releases a huge amount of latent 34 heat to the atmosphere. Thus the Maritime Continent is considered as an important energy source 35 region for the global circulation (Ramage 1968; Neale and Slingo 2003). Tropical convection over 36 the Maritime Continent is organized on multiple time scales, ranging from cumulus clouds on the 37 daily time scale to intraseasonal oscillations. In particular, on the daily time scale, the diurnal 38 cycle of tropical convection over the Maritime Continent is very significant compared with that 39 over the Indian Ocean and the western Pacific Ocean (Hendon and Woodberry 1993; Kikuchi and 40 Wang 2008). On the intraseasonal time scale, the Madden-Julian Oscillation (MJO), the dominant 41 component of the intraseasonal variability in the tropics, typically propagates eastward slowly 42 across the Maritime Continent and can stall or terminate there along with large amounts of rainfall 43 (Zhang 2005). 44

However, the contemporary general circulation models (GCMs) still do a poor job of resolving 45 tropical convection over the Maritime Continent. One of the significant errors is that the GCMs 46 cannot correctly simulate the precipitation over the Maritime Continent. For instance, obvious 47 discrepancies of the diurnal amplitude in precipitation over the islands of the Maritime Continent 48 during boreal winter have been noticed in the present-day GCM (Yang and Slingo 2001). Another 49 one of the significant errors is that the GCMs typically poorly represent the eastward propagating 50 MJO over the Maritime Continent (Inness and Slingo 2003; Sperber et al. 1997). One possible 51 reason is the inadequate treatment of the diurnal cycle and its impact on the intraseasonal vari-52 ability of atmospheric flow. In fact, current numerical models have difficulty in reproducing the 53

diurnal variability of tropical precipitation (Dai and Trenberth 2004; Randall and Dazlich 1991; Tian et al. 2004), although superparameterization has enhanced fidelity (Benedict and Randall 2011; Khairoutdinov et al. 2005). In order to improve comprehensive numerical simulations with more realistic features, it is important to have a better understanding of the intraseasonal impact of the diurnal cycle and check whether such upscale impact from the diurnal cycle can influence the MJO.

In fact, many observational studies focus on the scale interaction between the diurnal cycle of 60 precipitation and the MJO over the Maritime Continent (Peatman et al. 2014; Rauniyar and Walsh 61 2011; Chen and Houze 1997; Slingo et al. 2003). Among the previous studies, the modulation of 62 the diurnal cycle of tropical convection by the MJO has been investigated by evaluating difference 63 of the magnitude and phases of the diurnal cycle between the convectively active and suppressed 64 phases of the MJO (Tian et al. 2006; Sui et al. 1997; Sui and Lau 1992). However, the upscale 65 impact of the diurnal cycle of tropical convection on the MJO is not well understood. In the the-66 oretical direction, the resonant nonlinear interactions between equatorial waves in the barotropic 67 mode and the first baroclinic mode have been studied in the presence of a diurnally varying heat 68 source, but the effect of the second baroclinic mode is not considered there (Raupp and Silva Dias 69 2009, 2010). In contrast to that, the multicloud models based on the first and second baroclinic 70 modes for the three type clouds (congestus, deep and stratiform) have been built (Khouider and 71 Majda 2006c, a, b, 2007, 2008b, a) and reproduce several realistic features of the diurnal cycle of 72 tropical convection (Frenkel et al. 2011a,c, 2013). 73

The goal of this paper is to provide a framework for modelling the passage of the MJO over the Maritime Continent where the diurnal cycle of tropical convection is significant and assess how the intraseasonal impact of the diurnal cycle of tropical convection will modify the kinematic and thermodynamic characteristics of the MJO. Indeed, a self-consistent multi-scale model with two time scales (the daily/intraseasonal time scales) has been built to assess the intraseasonal impact of the diurnal cycle of tropical convection (Yang and Majda 2014). This multi-scale model provides two sets of equations governing planetary-scale tropical flow on the daily and intraseasonal time scales separately. It turns out that the planetary-scale circulation response on the intraseasonal time scale is forced by the eddy flux divergences of zonal momentum and temperature from the daily time scale. These eddy flux divergence terms provide us with assessment of upscale transfer of kinetic and thermal energy across multiple time scales in a transparent fashion.

According to this multi-scale model (Yang and Majda 2014), the planetary-scale tropical flow 85 on the daily time scale is governed by a set of linear equations, which can be thermally forced 86 by a heat source. Here we prescribe a diurnally varying heat source within a standing convective 87 envelope to mimic the latent heat release over the Maritime Continent. In detail, we utilize the 88 vertical structure in the first and second baroclinic modes for the heat source to characterize the 89 diurnal cycle (Frenkel et al. 2011a,c, 2013) and the organized tropical convection with three type 90 clouds (congestus, deep and stratiform) life cycle, which was first introduced in the multicloud 91 models (Khouider and Majda 2006c, a, b, 2007, 2008b, a). 92

The planetary-scale tropical flow on the intraseasonal time scale is governed by another set of 93 Gill-type equations in long wave approximation (Gill 1980; Matsuno 1966), which can be forced 94 by the spatially upscale transfer from the synoptic scale to the planetary scale and the temporally 95 upscale transfer from the daily time scale to the intraseasonal time scale as well as a mean heat 96 source. In fact, the upscale transfer from the synoptic scale to the planetary scale from wave trains 97 of thermally driven equatorial synoptic-scale circulations in a moving convective envelope and the 98 direct mean heating have been studied previously in a multi-scale model for the MJO (Majda and 99 Biello 2004; Biello and Majda 2005, 2006). In the similar model setup here, we consider three 100 different scenarios of the MJO induced by synoptic-scale heating and planetary-scale heating, 101

and all of them show some key features of the MJO such as the horizontal quadrupole structure and upward/westward tilted vertical structure. Then, by considering the upscale impact of the diurnal cycle from the daily time scale to the intraseasonal time scale, we are able to obtain the planetary-scale circulation response during the passage of the MJO over the Maritime Continent where the diurnal cycle of tropical convection is typically significant. The resulting flow field and temperature anomalies resemble some realistic features of the MJO behavior over the Maritime Continent including stalling or termination.

The rest of this paper is organized as follows. The model for the diurnal cycle and its upscale 109 fluxes over the Maritime Continent are summarized in section 2. The planetary-scale circulation 110 response to the intraseasonal impact of the diurnal cycle is shown in section 3. Section 4 describes 111 three different scenarios for the MJO induced by synoptic-scale heating and planetary-scale heat-112 ing. In section 5, we discuss the intraseasonal impact of the diurnal cycle on the MJO over the 113 Maritime Continent and compare the resulting flow fields and temperature anomalies with the 114 observations. The paper ends with concluding summary and discussion. The detailed descrip-115 tion for the notation, dimensional units, parameters in the moving heat source for the MJO and 116 the synoptic-scale equatorial weak temperature gradient equations (Majda and Biello 2004; Biello 117 and Majda 2005, 2006) can be found in the appendix. 118

#### **119** 2. A model for the diurnal cycle and its upscale fluxes over the Maritime Continent

The diurnal variability of tropical convection has attracted attention in the scientific community in a long history. Early investigations of the diurnal variability of tropical precipitation can date back to the 1920s (Ray 1928). Due to the development of satellite measurements and computers, more global datasets in higher resolutions such as the Tropical Rainfall Measuring Mission (TRMM) are available for the community to study tropical convection in the tropics. In fact,

the TRMM dataset has already been utilized to study the diurnal variations of the global tropical 125 precipitation over land and oceans (Kikuchi and Wang 2008). By applying empirical orthogonal 126 function (EOF) analysis to two complementary TRMM datasets (3B42 and 3G68) for 1998-2006, 127 they concluded the persistence of the diurnal cycle of tropical precipitation with strong amplitude 128 in the continental regime and weak amplitude in the oceanic regime. According to the figure 2 129 in the paper (Kikuchi and Wang 2008), the diurnal cycle of tropical convection over the Maritime 130 Continent is more significant than that over the Indian Ocean and the western Pacific Ocean during 131 boreal winter. 132

In the theoretical direction, the significant diurnal variability of tropical precipitation is exam-133 ined in some simple models for tropical convection by considering three type clouds (congestus, 134 deep and stratiform) to characterize organized tropical convection. (Frenkel et al. 2011b,d, 2013). 135 Since the latent heat released in tropical convection can drive the tropical flow through thermo-136 dynamics, the diurnal cycle of tropical precipitation can induce the diurnal variability of the flow 137 field. By following this underlying physical mechanism, the multi-scale model (Yang and Majda 138 2014) provides a set of equations governing the tropical flow associated with the diurnal cycle. 139 In this section, we use this set of equations for the diurnal cycle and discuss the corresponding 140 upscale fluxes on the planetary/intraseasonal time scale. The equations in non-dimensional units 141 appropriate for the daily time scale read as follows, 142

143

$$\tilde{u}_t - y\tilde{v} = 0 \tag{1a}$$

144

145

$$\tilde{v}_t + y\tilde{u} = -\tilde{p}_y \tag{1b}$$

- $\tilde{\theta}_t + \tilde{w} = \tilde{S}_{\theta} \tag{1c}$
- $\tilde{p}_z = \tilde{\theta} \tag{1d}$
- $\tilde{v}_y + \tilde{w}_z = 0 \tag{1e}$ 
  - 7

<sup>147</sup> where all physical variables such as the velocity  $\tilde{u}, \tilde{v}, \tilde{w}$  and potential temperature  $\tilde{\theta}$  have zero mean <sup>148</sup> on the daily time scale. More details about the notation and the dimensional units can be found <sup>149</sup> at Appendix A and the papers (Majda 2007; Yang and Majda 2014). Here we assume rigid-lid <sup>150</sup> boundary conditions at top and bottom of the troposphere,  $\tilde{w}|_{z=0,\pi} = 0$  where  $z = 0, \pi$  represent <sup>151</sup> the surface and top of the troposphere separately.

The large-scale tropical flow can be modelled as the atmospheric circulation response to diabatic 152 heating (Gill 1980). Here the thermal forcing  $\tilde{S}_{\theta}$  on the right side of Eq.1c is used to represent 153 the latent heat release during tropical precipitation, thus a good cloud model can help to provide 154 an appropriate heating profile. On the other hand, the multicloud model convective parameteri-155 zations (Khouider and Majda 2006c,a,b, 2007, 2008b,a) based on three cloud types (congestus, 156 deep and stratiform) have successfully reproduced some crucial features of organized convection 157 and tropical precipitation. In the multicloud models, the three types of clouds are highlighted and 158 they serve to provide the bulk of tropical precipitation and the main source of latent heat in the 159 troposphere. In detail, the cumulus congestus clouds heat the lower troposphere by latent heat re-160 lease and cool the upper troposphere due to the detrainment and high reflectivity of the clouds top. 161 The deep convective clouds can warm the whole troposphere along with the majority of tropical 162 precipitation. The stratiform clouds can heat the upper troposphere through precipitation and cool 163 the bottom due to the evaporation of rainfall. Therefore, the heating and cooling effects associated 164 with these three clouds types exhibit the first and second baroclinic modes of vertical structure and 165 here we incorporate these two baroclinic modes into the heating profile in dimensionless units to 166 mimic diurnal variability (Frenkel et al. 2011a,c, 2013) as follows, 167

$$\tilde{S}_{\theta} = F(X)H(y)\left[\sin\left(kX + \omega t\right)\sin\left(z\right) + \alpha\sin\left(kX + \omega t + \beta\right)\sin\left(2z\right)\right]$$
(2)

$$F(X) = A_0 \cos\left[\frac{\pi X}{2L}\right]^+; H(y) = H_0 e^{-a(y-y_0)^2}.$$
(3)

Here F(X) is the large-scale convective envelope function, which only depends on the planetary 169 scale X in the zonal direction, while H(y) is the meridional profile of the heat source. At each 170 location with specific longitude and latitude, we utilize the first baroclinic mode for deep convec-171 tive heating and the second baroclinic mode for congestus and stratiform heating. Both these two 172 baroclinic modes are harmonically oscillating to mimic the diurnal cycle. The phase shift between 173 these two modes  $\beta$  and the relative strength of the second baroclinic mode to the first baroclinic 174 mode  $\alpha$  are key parameters here. The exact expressions for the envelope function and parameter 175 values can be found in Appendix B. 176

According to a main conclusion in (Yang and Majda 2014), the diurnal cycle of tropical con-177 vection has significant intraseasonal impact through eddy flux divergence of potential temperature 178 associated with Eqs.1a-1e only during the solstices (boreal summer/boreal winter). Meanwhile, 179 the eastward propagating MJO typically occurs during boreal winter. Therefore, we mainly focus 180 on the case during boreal winter by setting the heating center of the envelope function south of the 181 equator. Fig.1 shows the envelope function of the diurnal heating in longitude-latitude diagram 182 during boreal winter, that is, F(X)H(y) in Eq.2. This envelope function reaches maximum value 183 at 1200 km south of the equator with about 6600 km width in zonal direction, which resembles 184 the observation such as the figure 2(c) in (Kikuchi and Wang 2008). This envelope profile mimics 185 the localized effect of the Maritime Continent in the model here. 186

Fig.2 shows the diurnal heating in time-height diagram for a given place with specific X, y, that is,  $\sin(kX + \omega t) \sin(z) + \alpha \sin(kX + \omega t + \beta) \sin(2z)$ . The alternating heating and cooling at a given height is due to the opposite thermal effects by congestus clouds and stratiform clouds as well as the intensification and diminishment of the deep convective clouds. In particular, the upward movement of the heating center can be used to describe the three clouds type (congestus, <sup>192</sup> deep and stratiform) life cycle as well as mimicking key features of the diurnal cycle (Frenkel et al.
<sup>193</sup> 2011a,c, 2013).

Based on several essential assumptions and systematic multi-scale asymptotics, the multi-scale model (Yang and Majda 2014) shows that the resulting flow field forced by the diurnal heating model can generate eddy flux divergences of zonal momentum and temperature on the intraseasonal time scale,

$$F^{u} = -\frac{\partial}{\partial y} \langle \tilde{v}\tilde{u} \rangle - \frac{\partial}{\partial z} \langle \tilde{w}\tilde{u} \rangle; F^{\theta} = -\frac{\partial}{\partial y} \langle \tilde{v}\tilde{\theta} \rangle - \frac{\partial}{\partial z} \langle \tilde{w}\tilde{\theta} \rangle$$
(4)

which can further drive the planetary-scale circulation response on the intraseasonal time scale. 198 It has been shown in the appendix of (Yang and Majda 2014) that the existence of the second 199 baroclinic mode for congestus/stratiform heating  $\alpha$  and its phase shift from the first baroclinic 200 mode  $\beta$  are essential for the intraseasonal impact of the diurnal cycle, which highlights the impor-201 tance of the congestus and stratiform cloud heating during tropical convection for the large-scale 202 tropical circulation, besides deep convection. However, the exact eddy flux divergences of zonal 203 momentum and temperature are less sensitive to these two parameters  $\alpha$ ,  $\beta$  in the sense that 204 their magnitudes are determined by the product  $\alpha \sin(\beta)$  while their spatial patterns are indepen-205 dent of  $\alpha$  and  $\beta$ . Fig.3 shows the eddy flux divergences of momentum and temperature in the 206 latitude-height diagram during boreal winter. According to this figure, the dimensionless eddy 207 flux divergences of zonal momentum from the diurnal cycle is weak and the eddy flux divergence 208 of temperature provides dominating intraseasonal impact on the planetary-scale circulation. There 209 is a significant heating center in the middle troposphere of the southern hemisphere and cooling 210 surrounding this heating center. In addition, the magnitude of the heating in the middle tropo-211 sphere is about two times as large as that of the cooling in upper and lower troposphere, which 212

indicates that the first and third baroclinic modes are both significant in the intraseasonal impact
of the diurnal cycle.

#### <sup>215</sup> 3. The planetary-scale circulation response to the intraseasonal impact of the diurnal cycle

The planetary-scale tropical flow can be modelled by the large-scale circulation response to a 216 heat source such as the latent heat release during tropical precipitation (Gill 1980; Sobel et al. 217 2001). In these studies, the long wave approximation and weak temperature gradient approxima-218 tion are discussed to further simplify the models. According to the multi-scale model (Majda 2007; 219 Yang and Majda 2014), it turns out that the governing equations for the planetary-scale circulation 220 response on the intraseasonal time scale is similar to the Gill-type model but also forced by upscale 221 flux divergences of momentum and temperature from the daily time scale to the intraseasonal time 222 scale. Due to the essential scaling assumptions for large-scale tropical flow, this set of equations 223 is also in long wave approximation (Majda and Klein 2003; Majda and Biello 2004) and thus the 224 eastward flow is in geostrophic balance with the pressure gradient. Furthermore, the zonal mo-225 mentum damping and the radiative cooling have dissipation on the intraseasonal time scale (Lin 226 et al. 2005; Romps 2014; Mapes and Houze Jr 1995) and therefore they can play a role here. The 227 equations in dimensionless units read as follows, 228

$$U_T - yV = -P_X - dU + F^u \tag{5a}$$

229

$$yU = -P_v \tag{5b}$$

231

230

$$\Theta_T + W = -d_\theta \Theta + F^\theta \tag{5c}$$

$$P_z = \Theta \tag{5d}$$

$$U_X + V_y + W_z = 0 \tag{5e}$$

here all physical variables represent daily time scale mean and depend on the intraseasonal time 233 scale T. The meridional circulation (V, W) is the secondary flow compared with that on the daily 234 time scale. More details about the notation and the dimensional units can be found at Appendix 235 A and the paper (Yang and Majda 2014). Here we assume rigid-lid boundary conditions at top 236 and bottom of the troposphere,  $W|_{z=0,\pi} = 0$  where  $z = 0, \pi$  represent the surface and top of the 237 troposphere separately. On the right side of Eqs.5a-5c,  $F^{u}$ ,  $F^{\theta}$  represent the eddy flux divergences 238 of zonal momentum and temperature from the daily time scale to the intraseasonal time scale 239 respectively, 240

$$F^{u} = -\frac{\partial}{\partial y} \langle \tilde{v}\tilde{u} \rangle - \frac{\partial}{\partial z} \langle \tilde{w}\tilde{u} \rangle; F^{\theta} = -\frac{\partial}{\partial y} \langle \tilde{v}\tilde{\theta} \rangle - \frac{\partial}{\partial z} \langle \tilde{w}\tilde{\theta} \rangle$$
(6)

here all these daily fluctuation components  $\tilde{u}$ ,  $\tilde{v}$ ,  $\tilde{w}$ ,  $\tilde{\theta}$  are from the model for the diurnal cycle in Sec.2.

Since the forcing terms  $F^{u}$ ,  $F^{\theta}$  only involve the daily fluctuation components  $(\tilde{u}, \tilde{v}, \tilde{w}, \tilde{\theta})$ , the 243 planetary-scale circulation is driven by the upscale feedback from the daily time scale to the in-244 traseasonal time scale, as shown in the zonal momentum equation (Eq.5a) and the thermal equation 245 (Eq.5c). By plugging such eddy flux divergences of zonal momentum and temperature shown in 246 Fig.3 into Eqs.5a-5e, we are able to obtain the resulting planetary-scale circulation response with 247 pressure perturbation and potential temperature anomaly. Fig.4 shows the horizontal flow field 248 and pressure anomaly due to the intraseasonal impact of the diurnal cycle at upper troposphere 249 (z = 11km) and lower troposphere (z = 5km). The main feature is that there is a cyclone (anti-250 cyclone) at the lower (upper) troposphere along with negative (positive) pressure perturbation in 251 the southern hemisphere. The minimum (maximum) pressure perturbation in the lower (upper) 252 troposphere is located south of the equator and slightly west of the diurnal heating center (the 253 diurnal heating center is at X = 0 shown in Fig.1). Such longitude difference between the pressure 254

<sup>255</sup> perturbation and diurnal heating can be explained by the westward propagating Rossby waves off
 <sup>256</sup> the equator.

In addition, the thermodynamic characteristics of the planetary-scale circulation response on the 257 intraseasonal time scale are crucial properties since they are related with cloudiness and precipi-258 tation in tropical convection. Fig.5 shows the temperature anomaly at the latitude-height diagram 259 due to the intraseasonal impact of the diurnal cycle during boreal winter. The main feature is that 260 in the southern hemisphere, there is a positive temperature anomaly in the middle troposphere 261 and negative temperature anomaly in the upper and lower troposphere. The comparable magni-262 tudes of positive and negative temperature anomalies at different heights indicate that the third 263 baroclinic mode is quite significant here. Also, such a temperature anomaly even extends to the 264 northern hemisphere but in much weaker magnitude. In a moist environment, negative potential 265 temperature anomalies in the lower troposphere can increase the convective available potential en-266 ergy(CAPE) and reduce the convective inhibition(CIN), which enhances the buoyancy of parcels 267 in the free troposphere and provides a favorable condition for tropical convection. Meanwhile, 268 the negative temperature anomaly reduces the saturation value of water vapor and promotes more 269 convection in the lower troposphere. In contrast to that, the positive temperature anomaly in the 270 middle troposphere can suppresses deep convection in the opposite way. 271

As a simple application, the Hadley cell can also be incorporated into this framework and is modified by the intraseasonal impact of the diurnal cycle of tropical convection (Yang and Majda 2014). The mean meridional circulation in the Hadley cell, which will advect the planetary-scale circulation response, can be derived by prescribing physically consistent momentum drag and heating. In an ideally zonally symmetric case, the resulting overturning motion induced by the intraseasonal impact of the diurnal cycle during boreal summer can strengthen the upper branch of the winter cell of the Hadley circulation but weaken the lower branch of the winter cell.

#### **4.** The MJO models forced by a moving heat source

On the intraseasonal time scale (30 - 90 days), the eastward propagating MJO is the most significant large-scale phenomenon in the tropical atmosphere, which typically initializes over the equatorial Africa, intensifies over the Indian Ocean, gets weakened over the Maritime Continent, sometimes redevelops over the western Pacific and dissipates near the date line (Rui and Wang 1990; Zhang 2005). The MJO is organized on multiple spatial scales and consists of coupled patterns of the wind field and tropical convection.

Although individual MJO events may vary in the magnitude of convection and the spatial pat-286 terns of atmospheric circulation in reality, the majority of the MJO events share several key features 287 in the kinematic and thermodynamic characteristics, which should become important criterion for 288 model validation. First of all, the velocity field exhibits horizontal quadrupole structure with 289 flow convergence in the lower troposphere and divergence in the upper troposphere (Hendon and 290 Salby 1994). At the lower troposphere, the easterly winds near the equator are accompanied by 291 anticyclones to the east of the convection center. The westerly winds near the equator are accom-292 panied by cyclones to the west of the convection center. At the upper troposphere, the horizontal 293 quadrupole structure has opposite signs for wind directions and pressure perturbation. Secondly, 294 the westerly wind burst has a distinct upward/westward tilt, meaning that the onset region of the 295 westerly winds is located to the west compared with that at the surface (Lin and Johnson 1996; 296 Yanai et al. 2000). 297

In the theoretical direction, several mechanisms have been proposed to improve our understanding of the MJO and a lot of numerical modelling has been done to capture the primary observed features of MJO (Zhang 2005). Having noticed that the planetary-scale circulation associated with the MJO also lives on the intraseasonal time scale, we can use the same equations Eqs.5a-5e

from Sec.3 to model the MJO in a eastward propagating convective envelope. In fact, besides 302 the upscale flux divergences of zonal momentum and temperature from the daily time scale, these 303 equations in the full multi-scale model (Yang and Majda 2014) are also forced by the upscale flux 304 divergence of zonal momentum and temperature from the synoptic scale (Majda and Biello 2004; 305 Biello and Majda 2005). The latter has been interpreted as upscale transfer from synoptic to plan-306 etary scales of momentum and temperature and used to construct a multi-scale model for the MJO 307 (Biello and Majda 2005). Here we build three such MJO models with different scenarios forced by 308 the planetary-scale mean heating and the synoptic-scale heating in a moving convective envelope 309 that exhibit several key features of the MJO as mentioned above. 310

a. the symmetric MJO with horizontal quadrupole structure induced by the planetary-scale heating

Although the individual MJO events may behave differently from each other, the statistical com-313 posites of reanalysis data provides insight into the horizontal structure of the MJO envelope with 314 key features (Hendon and Salby 1994). One of the significant features of the MJO is its horizontal 315 quadrupole structure with cyclone/anticyclone pairs in both the lower troposphere and upper tro-316 posphere. In detail, at the lower troposphere, there are easterlies east of the convection coupling 317 with two anticyclones and westerlies coupling with two cyclones on both subtropics. At the upper 318 troposphere, there are westerlies east of the convection coupling with two cyclones and easterlies 319 coupling with twin anticyclones on both subtropics. 320

In a long period with multiple MJO events, the overall convection field intensifies and diminishes with changing rainfall at each specific location, which corresponds to the alternating active and suppressed phases of the MJO. Here we prescribe the planetary-scale heating for latent heat release

#### <sup>324</sup> during tropical convection as follows

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$$\left\langle S^{\theta} \right\rangle = F\left(X - st\right) H\left(y\right) \left[\sin\left(z\right) + \alpha \sin\left(2z\right)\right]$$
 (7)

$$F(X) = A_0(a^2 - X^2)e^{-a_0X^2}; H(y) = H_0e^{-(y - y_0)^2}$$
(8)

the envelope function F(X - st) is used to mimic the eastward moving convective envelope and 326 in Eq.7 and below, the MJO phase speed is prescribed by  $s = 5ms^{-1}$ . Different from the standard 327 mean heating used by (Biello and Majda 2005), the envelope function F(X) used here is positive 328 in the middle and negative on both sides, which resembles the active phase of the MJO in the mid-329 dle and suppressed phases on the two sides. In fact, such an envelope function is crucial for the 330 quadrupole structure of the resulting circulation response. The meridional profile H(y) is a Gaus-331 sian shape function, symmetric about the equator. The relative strength of the second baroclinic 332 mode  $\alpha = -0.25$  is a parameter to adjust the heating center in height. The exact expressions for 333 the heating profile and parameter values can be found in Appendix B. 334

As for the vertical structure of the heating in Eq.7, the first baroclinic mode represents deep 335 convection with maximum latent heat release in the middle troposphere. The second baroclinic 336 mode with negative strength coefficient  $\alpha$  can be interpreted as stratiform precipitation with latent 337 heat in the upper troposphere and cooling in the lower troposphere due to rain evaporation. The 338 combination of these two baroclinic modes leads to the top-heavy heating profile as shown in 339 (Kiladis et al. 2005). Fig.6 shows the longitude-height diagram for the planetary-scale heating 340 at the equator. There is top-heavy heating in the middle of the convection envelope and cooling 341 to the east and west of the convection region. The planetary-scale heating decays as the latitude 342 increases. 343

After prescribing such planetary-scale heating as shown in Fig.6, we can obtain the planetaryscale circulation response on the intraseasonal time scale by letting this heating to thermally force the Eqs.5a-5e. Fig.7 shows the horizontal flow fields with pressure perturbation at the lower troposphere (z = 5km) and upper troposphere (z = 11km). The horizontal quadrupole structure is clear at both levels. In addition, the pressure anomalies are quite weak in the sense that its magnitude is much less than 1 in dimensionless units. Meanwhile, the zonal winds at the lower and upper troposphere are out of phase, which is consistent with the low-level flow convergence and upper-level flow divergence.

# *b. the symmetric MJO with westerly winds burst induced by synoptic-scale heating and planetaryscale heating*

A multi-scale model for the MJO with two spatial scales (the synoptic scale and planetary scale) has been developed by (Majda and Biello 2004; Biello and Majda 2005, 2006). This model accounts for both the upscale transfer from the synoptic scale to the planetary scale of momentum and temperature from wave trains of thermally driven equatorial synoptic-scale circulations in a moving convective envelope as well as direct mean heating on the planetary scale. In addition, the model prescribes the heat source with dominant low-level congestus convection to the east of the moving convective envelope and dominant upper-level supercluster activity to the west.

Here we construct the two-scale MJO model driven by both the synoptic-scale heating and planetary-scale heating in a similar way. The planetary-scale mean heating is similar to that in Eq.7 but with  $\alpha = 0$  and  $A_0 = 44.8$  in Eq.7-8, which is used to mimic deep convection at the alternating active and suppressed phases of MJO on the planetary scale. On the synoptic scale, there are equatorial synoptic-scale heating in a eastward moving planetary-scale convective envelope. <sup>366</sup> The synoptic-scale heating, in dimensionless units, reads as follows,

$$S_{\theta}^{'} = F\left(X - sT\right)H\left(y\right)\left\{\cos\left(\frac{x}{\lambda} - \phi\left(T\right)\right)\sin\left(z\right) - \alpha\left(X - sT\right)\cos\left(\frac{x + x_{0}}{\lambda} - \phi\left(T\right)\right)\sin\left(2z\right)\right\}$$
(9)

367

$$F(X-sT) = A_0 \cos\left[\frac{\pi(X-sT)}{2L_F}\right]^+; H(y) = H_0 e^{-a_0(y-y_0)^2}; \alpha(X-sT) = -\frac{8(X-sT)}{3L_F}$$
(10)

here F(X - st) is the moving envelope function where s = 0.1 corresponds to  $5ms^{-1}$ . The magnitude of the convective envelope  $A_0 = 1$  is chosen to yield realistic magnitudes of wind, etc. The meridional profile H(y) is a Gaussian shape function, symmetric about the equator. The first and second baroclinic modes are modulated by wave trains on the synoptic scale. All the parameter values and their interpretation can be found in Appendix B and (Biello and Majda 2005).

Through the assumption that the synoptic-scale heating only depends on the intraseasonal time 373 scale T instead of the daily time scale t, the synoptic-scale fluctuation components for all physi-374 cal variables satisfy the synoptic-scale equatorial weak temperature gradient (SEWTG) equations 375 (shown in Appendix C), which has been discussed in (Majda and Biello 2004; Biello and Majda 376 2005, 2006). In the SEWTG equations, both the momentum and thermal damping do not play 377 a role because of their longer time scale. Fig.8 shows the contours of synoptic scale heating on 378 the synoptic scale longitude-height diagram with the maximum heating and cooling in the upper 379 troposphere, which resembles the diabatic heating observed in reality (Kiladis et al. 2005). The 380 heating is upward/westward tilted with consistent rising and sinking motion, which is used to 381 characterize organized convective superclusters in the convective envelope. 382

According to the full multi-scale model (Yang and Majda 2014), the planetary-scale circulation response can also be forced by the spatially upscale transfer from the synoptic scale to the planetary scale, besides the temporally upscale transfer from the daily time scale to the intraseasonal time scale. This spatially upscale transfer from the synoptic scale of zonal momentum and temperature <sup>387</sup> can be expressed as follows,

$$F^{u} = -\overline{(u'v')_{y}} - \overline{(u'w')_{z}}; F^{\theta} = -\overline{(\theta'v')_{y}} - \overline{(\theta'w')_{z}}$$
(11)

here u', v', w',  $\theta'$  are the fluctuation components with zero mean on the synoptic scales. The bar represents spatial averaging on the synoptic scale and its exact definition can be found at Appendix A.

Then we can consider the superimposition effect of the planetary-scale heating (Eq.7) and the 391 upscale transfer from the synoptic scale of zonal momentum and temperature (Eqs.11), and let the 392 combined forcing drives the planetary-scale circulation response on the intraseasonal time scale 393 (Eqs.5a-5e). Here for clear display, we reduce the magnitude of the planetary-scale heating to  $\frac{4}{5}$ 394 of its original value. Fig.9 shows the horizontal flow field with pressure perturbation from this 395 MJO model. The horizontal quadruple structure can be found clearly at the surface, the lower and 396 upper troposphere. In addition, the horizontal flow field indicates flow convergence at the lower 397 troposphere with upward/westward titled westerlies. 398

On the other hand, the potential temperature anomaly field is one of the crucial thermodynamic characteristics of the MJO. Fig.10 shows the horizontal flow field and temperature anomaly from the same MJO model above. One of the significant features is that there is very significant third baroclinic mode around the center of the convective envelope, which is intuitively consistent with the hydrostatic balance assumption. The magnitude of cold temperature anomaly at the middle troposphere is larger than those of warm temperature anomaly at both the upper and lower troposphere, which also indicates the significance of the first baroclinic mode for the deep convection. 406 c. the asymmetric MJO with upward/westward tilt induced by synoptic-scale heating and 407 planetary-scale heating

Some MJO observation indicates that seasonal variations in convective activity can also affect the planetary-scale flow (Lin and Johnson 1996). On the other hand, the zonal winds and temperature anomalies associated with the MJO exhibit upward/westward tilted vertical structure according to the observation (Lin and Johnson 1996; Kiladis et al. 2005). Therefore, it is interesting to construct a model for the MJO in tilted vertical structure of easterlies and temperature anomalies, which also propagates eastward off the equator, following (Biello and Majda 2005).

Here we consider a meridionally asymmetric MJO model forced by both the synoptic-scale 414 heating and planetary-scale heating in a moving convective envelope off the equator. Meanwhile, 415 the heating both on the synoptic and planetary scales are upward/westward tilted, which reflects 416 the similarity of tropical convection across multiple scales. The synoptic-scale heating can be 417 expressed by Eq.9 except that the maximum heating is located at 900 km south of the equator. 418 In contrast to the planetary-scale heating with constant relative strength of the second baroclinic 419 mode (Eq.7), here we vary the relative strength of the second baroclinic mode  $\alpha$  so that the heating 420 center is located at the lower troposphere to the east and the upper troposphere to the west. Such 421 planetary-scale heating can be used to characterize the low-level congestus heating to the east of 422 the convection envelope and upper troposphere supercluster heating to the west. The planetary-423 scale heating, in dimensionless units, reads as follows 424

$$\overline{S^{\theta}} = F(X - st)H(y) \left[ \sin(z) + \frac{3(X - sT)}{2L_F} \sin(2z) \right]$$
(12)

425

$$F(X - sT) = A_0 \cos\left[\frac{\pi (X - sT)}{2L_F}\right]^+; H(y) = H_0 e^{-(y - y_0)^2}$$
(13)

where the envelope function F(X - st) is used to mimic the eastward moving convective envelope. Compared with the planetary-scale heating in Eq.7 with constant relative strength of the second <sup>428</sup> baroclinic mode, the heating in Eq.12 has a relative strength coefficient in a linear function so that <sup>429</sup> the vertical profiles of the heating are different within the convective envelope. The meridional <sup>430</sup> profile H(y) is a Gaussian shape function which is asymmetric about the equator. The exact <sup>431</sup> expressions for the heating profile and parameter values can be found in Appendix B.

Similarly, we can consider the superimposition effect of the planetary-scale heating (Eq.12) and 432 the upscale transfer from the synoptic scale of zonal momentum and temperature (Eqs.11) with the 433 off-equator meridional profile, and let the combined forcing drives the planetary-scale circulation 434 response on the intraseasonal time scale (Eqs.5a-5e). Fig.11 shows the horizontal flow field and 435 pressure perturbation from the meridionally asymmetric MJO model with the synoptic-scale and 436 planetary-scale heating centered at 900 km south. At the equator, there are flow convergence in 437 the lower troposphere and flow divergence in the upper troposphere. The horizontal profiles of the 438 pressure and flow field exhibit strong asymmetry with only one anticyclonic/cyclonic pair of gyres 439 south of the equator. 440

Again, the potential temperature anomaly field is one of the crucial thermodynamic characteristics of the MJO. Fig.12 shows the horizontal flow field and temperature anomaly in the meridionally asymmetric MJO model with the synoptic-scale and planetary-scale heating at 900 km south. One of significant features is that the temperature anomalies exhibit significantly the first and third baroclinic modes with cold temperature anomaly in the middle troposphere and warm temperature anomaly in both the upper and lower troposphere.

#### 447 5. The intraseasonal impact of the diurnal cycle over the Maritime Continent on the MJO

The MJO consists of large-scale dynamic field and tropical convection field in a coherent structure and typically propagates eastward from the Indian Ocean to the Maritime Continent to the western Pacific Ocean (Zhang 2005). Due to the complex topography and tropical convection

over the Maritime Continent, the MJO exhibits quite different velocity and thermodynamic char-451 acteristics there than those over other regions (Wu and Hsu 2009). For example, the convection 452 field associated with the MJO usually gets weakened during its passage over the Maritime Con-453 tinent (Rui and Wang 1990). Furthermore, when the MJO is in the Indian Ocean, its convective 454 center sits in the region with flow convergence at the surface. After the MJO goes across the Mar-455 itime Continent, the dynamic field has faster propagation speed than the convection field so that 456 the upper-level easterlies and low-level westerlies include the convection center (Rui and Wang 457 1990). 458

As we already know the fact that the diurnal cycle of tropical convection is very significant 459 over the Maritime Continent (Kikuchi and Wang 2008), one possible reason for the complex MJO 460 behaviors is its scale interaction with the diurnal cycle of precipitation based on the observation 461 evidence(Peatman et al. 2014). In the theoretical direction, based on the multi-scale model (Yang 462 and Majda 2014), we conclude in Sec.2-3 that the diurnal cycle has significant impact on both 463 the atmospheric circulation and the temperature anomalies during boreal winter. By adding the in-464 traseasonal impact of the diurnal cycle during the passage of the MJO over the Maritime Continent, 465 we can investigate how the intraseasonal impact of the diurnal cycle will modify the velocity and 466 thermodynamic characteristics of the MJO and get intuition and mechanisms for the complicated 467 behavior of the MJO. Since we have already built three different models with some key features 468 of the MJO in Sec.4, in this section, we will discuss the intraseasonal impact of the diurnal cycle 469 on these different MJOs separately. 470

*a. the symmetric MJO with horizontal quadrupole structure induced by the planetary-scale heat-*

472 ing

The relative phase between the surface winds and convection center varies during the eastward 473 propagation of the MJO from the Indian Ocean to the western Pacific Ocean. When the MJO in-474 tensifies in the Indian Ocean, the convective center matches the surface flow convergence. During 475 the passage of the MJO over the Maritime Continent, however, the westerlies dominates and thus 476 the convection center is situated in low-level westerly winds, which is suggested by several obser-477 vational studies. For example, Sui and Lau (1992) studied multiscale variability in the atmosphere 478 during the boreal winter in 1979 and identified two intraseasonal oscillations (ISOs) within the 479 equatorial belt. They found that persistent westerly winds are established in the region between 480  $120^{\circ}$  and  $180^{\circ}$  throughout the northern winter season. Such persistent westerly winds are also 481 observed in the monsoon intraseasonal variability of 1987/1988 between  $105^{\circ}$  and  $150^{\circ}$  in the 482 southern hemisphere (Waliser and Lau 2005). In addition, Rui and Wang (1990) investigated the 483 development and dynamical structure of intraseasonal low-frequency convection anomalies in the 484 equatorial region with 200 and 850 mb wind data and found that there are strong westerlies over 485 the convection region when the convection anomaly reaches the Maritime continent. 486

If we assume that the eastward propagating MJO can keep the coupled structure of atmospheric circulation and convection as the one in the Indian Ocean, there are easterly winds to the east of the convection center and westerly winds to the west. However, as the observation shows, there are persistent westerly winds during the passage of the MJO over the Maritime Continent. The significant diurnal cycle over the Maritime Continent can be the essential reason. Due to the intraseasonal impact of the diurnal cycle of tropical convection, the resulting cyclone dominates in the lower troposphere of the southern hemisphere and generates westerlies at low latitudes of

the southern hemisphere as shown in Fig.4, which can explain the persistent lower-level westerly 494 winds over the Maritime Continent. If the strong westerlies due to the intraseasonal impact of the 495 diurnal cycle can dominate over the Maritime Continent in the southern hemisphere, the resulting 496 low-latitude westerlies can be significant during the passage of the MJO. Here we consider both 497 the MJO with horizontal quadrupole structure (Sec.4a) and the intraseasonal impact of the diurnal 498 cycle in Sec.3. Fig.13 shows the horizontal velocity field under the impact of both MJO and diurnal 499 cycle at 5 km. The black box denotes the region between  $15^{\circ}S \sim 0^{\circ}$  over the Maritime Continent 500 where the diurnal cycle is significant during boreal winter. One crucial feature is that during the 501 passage of the MJO, there are persistent westerly winds in the region denoted by the black box in 502 Fig.13, which matches well with the observations mentioned earlier. 503

As for the upper troposphere, the convection center is situated in upper-level easterlies during 504 the passage of the MJO across the Maritime Continent. Rui and Wang (1990) investigated the 505 development and dynamical structure of intraseasonal low-frequency convection anomalies in the 506 equatorial region with 200 and 850 mb wind data. They found that during the period when the 507 MJO convection anomaly reaches the Maritime Continent, there are strong 200 mb easterlies over 508 the Maritime Continent in the southern hemisphere. If we assume that the eastward propagating 509 MJO can keep the coupled structure of atmospheric circulation and convection as the one in the 510 Indian Ocean, there are westerly winds to the east of the convection center and easterly winds to 511 the west at the upper troposphere, which does not match the observation described above. One of 512 the reasons is the intraseasonal impact of the diurnal cycle. Due to the anticyclone in the upper 513 troposphere of the southern hemisphere induced by the diurnal cycle (shown in Fig.4), the resulting 514 upper-level easterlies at low latitudes of the southern hemisphere can explain the persistent upper-515 level easterly winds over the Maritime Continent. Here we consider both the MJO with horizontal 516 quadrupole structure (Sec.4a) and the intraseasonal impact of the diurnal cycle in Sec.3. Fig.14 517

shows the horizontal velocity field under the impact of both MJO and diurnal cycle at 12 km. The
white box denotes the region where the diurnal cycle is significant during boreal winter. There are
strong easterly winds over the region denoted by the white box when the convection center moves
to Maritime Continent, which matches the observations well.

In order to explore the primary structure of the vertical motion, Rui and Wang (1990) also 522 calculate the differential divergence  $D_{200} - D_{850}$ , which can be considered as an estimate of the 523 vertical motion at middle troposphere. One significant feature is that at the period when the con-524 vection center reaches the Maritime Continent, the large differential divergence anomaly also 525 moves into the Maritime Continent and reaches its maximum magnitude at the low latitude of 526 the southern hemisphere, meaning the intensifying rising motion in the middle troposphere. On 527 the other hand, the intraseasonal impact of the diurnal cycle induce a heating center in the mid-528 dle troposphere of southern hemisphere and cooling in the upper and lower troposphere (shown 529 in Fig.3).Correspondingly, there is rising motion dominating in the middle troposphere of the 530 southern hemisphere. Thus one possible reason for the intensifying rising motion in the middle 531 troposphere is due to the diurnal cycle. Here we use the same model setup as above and Fig.15 532 shows the contour of vertical motion at the middle troposphere (z = 7.85 km) associated with both 533 the MJO and the intraseasonal impact of diurnal cycle. The white box denotes the region where 534 the diurnal cycle is significant during boreal winter. One significant feature in this figure is that 535 when the MJO moves to the region denoted by the white box, the rising motion associated with 536 the MJO is strengthened a lot due to the intraseasonal impact of diurnal cycle, which resembles 537 the observation described above. 538

<sup>539</sup> b. the symmetric MJO with westerly winds burst induced by synoptic-scale heating and planetary-

#### 540 scale heating

The individual MJO events usually differ to each other in the propagation extent and the convec-541 tion strength. In order to guarantee the statistical significance, the composite MJO is often utilized 542 to represent some key features of the MJO events based on a longer time period of observational 543 data from satellites. By focusing on the composite MJO using 10 years of outgoing longwave 544 radiation (OLR) and 7 years of wind data, Rui and Wang (1990) found that the eastward prop-545 agating convective anomaly typically gets weakened in the Maritime Continent (Rui and Wang 546 1990). One of the explanations for such weakening convection anomaly is attributed to the direct 547 topographic effect such as blocking and wave-making effects (Wu and Hsu 2009). Alternatively, 548 here we try to explain the weakening MJO convection by the intraseasonal impact of the diurnal 549 cycle of tropical convection over the Maritime Continent, which can be interpreted as the indirect 550 topographic effect since the significant diurnal cycle is associated with the low heat capacity of 551 the land (Frenkel et al. 2011a,c, 2013). 552

Here we consider both the symmetric MJO with westerly winds burst in Sec.4b and the intrasea-553 sonal impact of the diurnal cycle in Sec.3. The relative strength of the diurnal cycle is adjusted 554 to  $\frac{3}{4}$  so that the magnitude of its temperature anomaly is comparable with that from the MJO. In 555 order to fully discuss the intraseasonal impact of the diurnal cycle on the MJO, it is interesting to 556 consider different phases of the MJO during its passage over the Maritime Continent. Here we use 557 three phases (phase I, phase II, phase III) to denote different longitudes where the MJO convective 558 center is located. Phase I corresponds to the case when the MJO convective center is  $8.1 \times 10^3$  km 559 to the west of the diurnal cycle heating center. In phase II, the MJO convective center is  $2.4 \times 10^3$ 560 km to the west and phase III is the case with the MJO convective center  $3.2 \times 10^3$  km to the east. 561

Fig.16-18 show the total planetary-scale circulation response with temperature anomaly as the 562 MJO propagates across the Maritime Continent. Meanwhile, the diurnal cycle of tropical convec-563 tion is assumed to be significant over the Maritime Continent during boreal winter. The center of 564 the diurnal cycle heating is set at X = 0. One important feature is that at Phase II, the temperature 565 anomaly south of the equator associated with the MJO is weakened by the intraseasonal impact 566 of the diurnal cycle. In fact, the intraseasonal impact of the diurnal cycle introduces a tempera-567 ture anomaly in the first and third baroclinic modes, which is in opposite sign with that from the 568 MJO model. Such temperature anomaly cancellation can potentially explain the fact that the MJO 569 convection field gets weakened and even stalls during its passage over the Maritime Continent. 570

## <sup>571</sup> c. the asymmetric MJO with upward/westward tilt induced by synoptic-scale heating and <sup>572</sup> planetary-scale heating

It is also interesting to consider the asymmetric MJO with upward/westward tilt when the MJO 573 convective center located south of the equator. Here we consider both the symmetric MJO with 574 westerly winds burst in Sec.4c and the intraseasonal impact of the diurnal cycle in Sec.3. The 575 relative strength of the diurnal cycle is adjusted to its 0.8 so that the magnitude of its temperature 576 anomaly is comparable with that from the MJO. Also, we consider the three phases (phase I, phase 577 II, phase III) as Sec.5b. Fig.19-21 shows the temperature anomaly under the intraseasonal impact 578 of the diurnal cycle during the passage of the asymmetric MJO at Phase I, II and III. During the 579 phase II, the temperature anomaly in the active phase of the MJO is cancelled by that from the 580 intraseasonal impact of the diurnal cycle. Such weakening temperature anomaly can potentially 581 explain the fact that some MJOs gets weakened and even stalls during its passage over the Maritime 582 Continent. 583

#### **6.** Concluding summary and discussion

Tropical convection over the Maritime Continent is organized on multiple spatiotemporal scales, 585 ranging from cumulus clouds on the daily time scale over a few kilometers to intraseasonal oscil-586 lations over planetary scales. The diurnal cycle, the significant process on the daily time scale, has 587 stronger magnitude over the Maritime Continent than that over the Indian Ocean and the western 588 Pacific Ocean. On the other hand, the MJO, the significant component of the intraseasonal vari-589 ability of tropical convection, typically propagates eastward across the Maritime Continent during 590 boreal winter. To improve the present-day comprehensive numerical simulations for tropical con-591 vection over the Maritime Continent, a better understanding about the scale interaction between 592 the diurnal cycle and the MJO is necessarily required. In this article, we focus on the intraseasonal 593 impact of the diurnal cycle over the Maritime Continent on the MJO during boreal winter. 594

In the theoretical direction, the multi-scale analytic model with two time scales 595 (daily/intraseasonal) provides assessment of the intraseasonal impact of planetary-scale inertial 596 oscillations in the diurnal cycle (Yang and Majda 2014). In detail, this multi-scale model pro-597 vides two sets of equations governing planetary-scale tropical flow on the daily and intraseasonal 598 time scale separately. Here we use the set of equations on the daily time scale to model the diurnal 599 cycle and that on the intraseasonal time scale for the planetary-scale circulation response on the in-600 traseasonal time scale. The latter is forced by eddy flux divergences of zonal momentum and tem-601 perature from the daily time scale. Furthermore, the full multi-scale model considers two spatial 602 scales (synoptic/planetary) and two time scales(daily/intraseasonal), and thus the planetary-scale 603 circulation response is also forced by eddy flux divergences of zonal momentum and temperature 604 from the synoptic scale to the planetary scale. In fact, the upscale transfer from the synoptic scale 605

to the planetary scale of momentum and temperature has been applied to successfully model the
 MJO based on its multi-scale features (Majda and Biello 2004; Biello and Majda 2005, 2006).
 In the model for the diurnal cycle, diurnal heating in the first and second baroclinic mode is

prescribed to mimic latent heat release associated with three cloud types (congestus, deep and 609 stratiform) life cycle (Frenkel et al. 2011a,c, 2013). Such organized tropical flow in the diurnal 610 cycle can generate eddy flux divergences of momentum and temperature, which further drives the 611 planetary-scale circulation response on the intraseasonal time scale (Yang and Majda 2014). In 612 particular, here we consider the diurnal heating during boreal winter with the heating center sitting 613 to the south of the equator. The resulting upscale flux divergence of temperature has the domi-614 nating impact on the circulation response and exhibits a heating center in the middle troposphere 615 of the southern hemisphere and cooling at both the upper and lower troposphere surrounding the 616 heating center. The corresponding planetary-scale circulation response on the intraseasonal time 617 scale shows that such intraseasonal impact of the diurnal cycle can induce a cyclone (anticyclone) 618 in the lower (upper) troposphere as well as significant temperature anomalies in the tropics. In 619 a moist environment, particularly, the negative potential temperature anomaly in the lower tro-620 posphere can increase the convective available potential energy(CAPE) and reduce the convective 621 inhibition(CIN), which enhances the buoyancy of parcels in the free troposphere and provides a fa-622 vorable condition for tropical convection. Meanwhile, the negative temperature anomaly reduces 623 the saturation value of water vapor and promotes more convection in the lower troposphere. A 624 positive temperature anomaly in the middle troposphere has the opposite effect and can suppress 625 deep convection. 626

<sup>627</sup> By using the planetary-scale equations on the intraseasonal time scale, we model the original <sup>628</sup> MJO by the circulation response in a moving heat source without the impact of the diurnal cycle. <sup>629</sup> Since the real individual MJO events may differ in convection magnitude and circulation pattern to

each other, we consider MJO models forced by three different types of the synoptic/planetary heating in a moving heat source. Each MJO model can capture several key features of the MJO such
as the horizontal quadrupole structure and upward/westward tilt. Then, by considering the diurnal
cycle during the passage of the MJO over the Maritime Continent, we try to answer the questions
how the intraseasonal impact of the diurnal cycle will modify the behavior of the original MJO
and whether the resulting kinematic and thermodynamic characteristics match the observations.

The results are as follows. For the MJO with the horizontal quadrupole structure induced by the 636 planetary-scale heating, the intraseasonal impact of the diurnal cycle tends to strengthen westerly 637 winds in the lower troposphere and easterly winds in the upper troposphere during the passage 638 of the MJO over the Maritime Continent, which explains the fact that the MJO convection center 639 typically sits in the westerlies in the lower troposphere and easterlies in the upper troposphere 640 there. In addition, the intraseasonal impact of the diurnal cycle can also strengthen the vertical 641 motion in the middle troposphere. As for the symmetric MJO with westerly wind burst induced by 642 the synoptic-scale and planetary-scale heating, the temperature anomaly associated with the MJO 643 tends to get cancelled by that from the intraseasonal impact of the diurnal cycle, which can explain 644 the fact that MJO events typically get weakened across the Maritime Continent. In fact, such tem-645 perature anomaly cancellation is also significant in the asymmetric MJO with upward/westward 646 tilt induced by the synoptic-scale and planetary-scale heating. Tung et al. (2014) found that dur-647 ing the passage of the MJO over the Maritime Continent, the symmetric MJO signals such as the 648 heating and drying signals diminish entirely and the corresponding off-equatorial signals propa-649 gates with weakening strength. In contrast, the off-equatorial convection in the asymmetric MJO 650 convection passes the Maritime Continent without inhibition. One possible factor developed here 651 to support the asymmetric MJO propagating off the equator is the negative temperature anomaly 652

<sup>653</sup> induced by the intraseasonal impact of the diurnal cycle, which provides a favorable condition for
 <sup>654</sup> tropical convection off the equator.

This study has several important implications for physical interpretation and model prediction. 655 First, the diurnal cycle of tropical convection has significant upscale transfer of temperature from 656 the daily time scale to the intraseasonal time scale through temperature flux divergence, which 657 leads to another mechanism about the upscale impact of tropical convection from small spatiotem-658 poral scales, besides convective momentum transport (Majda and Biello 2004; Biello and Majda 659 2005). Secondly, the intraseasonal impact of the diurnal cycle can significantly modify the MJO 660 during its passage over the Maritime Continent, which helps to explain the complex behavior of 661 the MJO over the Maritime Continent and its scale interaction with the diurnal cycle. Thirdly, it 662 emphasizes the significance of the representation of the diurnal variability of tropical precipitation 663 for comprehensive numerical simulations. The present model can also be elaborated in several 664 ways. For example, the diurnal heating prescribed here is assumed to have zero mean on the daily 665 time scale. The diurnal heating with nonzero daily mean can generalize the framework and may 666 be more realistic for the tropical convection over the Maritime Continent. In addition, we only 667 consider the diurnal cycle of tropical convection on the planetary scale here. The diurnal cycle 668 on the synoptic scale or even smaller scales can be interesting for modelling individual tropical 669 convection events such as cumulus clouds. 670

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#### APPENDIX A

#### the dimensional units and notation in the multi-scale model

The full multi-scale model for the intraseasonal impact of the diurnal cycle of tropical convection 676 (Yang and Majda 2014) is derived from the hydrostatic, anelastic Euler equations on an equatorial 677  $\beta$ -plane, which are the appropriate equations for large-scale phenomenon in the tropical tropo-678 sphere. This derivation follows using multiple-scale techniques developed in (Majda and Klein 679 2003; Majda 2007). These equations have been nondimensionalized first so that time scale is mea-680 sured in units of the equatorial time scale  $T_E = (c\beta)^{-1/2} \approx 8.3h$ , the horizontal length scale is in 681 units of the equatorial deformation radius  $L_E = (c/\beta)^{1/2} = 1500 km$ , the vertical length scale is 682 in units of the troposphere height divided by  $\pi$ ,  $H = H_T/\pi \approx 5km$ . Here c is defined as the dry 683 Kelvin wave speed and  $\beta$  denotes the Rossby parameter in the Beta plane approximation. The free 684 troposphere occupies the domain  $-20 * 10^3 km \le x \le 20 * 10^3 km$ ,  $-5 * 10^3 km \le y \le 5 * 10^3 km$ , 685  $0km \le z \le 16km$ . The dimensional units for all physical variables and some constant parameters 686 are summarized in Table 1. 687

In order to consider the large-scale quantities after averaging about the small scales, two averaging operators on the synoptic scale and daily time scale have been defined as follows

$$\bar{f}(X,t,T,y,z) = \lim_{L \to \infty} \frac{1}{2L} \int_{-L}^{L} f(x,X,t,T,y,z) \, dx \tag{A1}$$

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$$\langle f \rangle (x, X, T, y, z) = \lim_{T^* \to \infty} \frac{1}{2T^*} \int_{-T^*}^{T^*} f(x, X, t, T, y, z) dt$$
 (A2)

For all physical variables f, we can have its planetary-scale mean and synoptic-scale fluctuation decomposition  $f = \bar{f} + f'$  and f' satisfies  $\bar{f}' = 0$ . Similarly, we can also have the intraseasonal time mean and daily fluctuation decomposition  $f = \langle f \rangle + \tilde{f}$  and  $\tilde{f}$  satisfies  $\langle \tilde{f} \rangle = 0$ .

<sup>694</sup> By using the averaging operator on the daily time scale, we can define the daily time mean for <sup>695</sup> all physical variables as follows,  $U = \langle u \rangle$ ,  $V = \langle v_2 \rangle$ ,  $W = \langle w_2 \rangle$ ,  $\Theta = \langle \theta \rangle$ ,  $P = \langle u \rangle$ . Here  $v_2$  and  $w_2$ <sup>696</sup> are at the second order and u, p,  $\theta$  are at the first order in the asymptotic expansion.

#### APPENDIX B

#### the expressions and parameters in the heating profile for the diurnal cycle and MJO

#### a. the heating profile for the diurnal cycle

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In the heating profile for the diurnal cycle in Eq.2, the envelope function F(X) and the meridional profile H(y) are chosen as follows,

$$F(X) = A_0 \cos\left[\frac{\pi X}{2L}\right]^+; H(y) = H_0 e^{-a(y-y_0)^2}$$
(B1)

here F(X) is chosen to be half cosine function to mimic the Maritime Continent in about 6600 km702 longitude width  $(L = \frac{2}{9})$ , and its magnitude is  $A_0 = \sqrt{5}$ . The symbol for half cosine function 703 used in the following context has the same meaning. The meridional profile is chosen to be a 704 Gaussian shape function for simplicity.  $y_0 = -0.8$  is chosen to mimic the case for boreal winter 705 so that the latitude with maximum magnitude is at 10.8°S,  $H_0 = 1, a = 2$ . The dimensionless 706 parameters  $\alpha = 2/3, \beta = \pi/4$  are chosen to be physically consistent with the three type clouds 707 (congestus, deep and stratiform) life cycle. The dimensionless k is chosen to be wavenumber 1 708 and  $\omega$  corresponds to 1 day frequency for the diurnal cycle. 709

#### <sup>710</sup> b. the heating profile in the MJO model at the section 4a

In the MJO model in Eq.7, the envelope function of the heating F(X) and the meridional profile H(y) are chosen as follows,

$$F(X) = A_0(a^2 - X^2)e^{-a_0X^2}; H(y) = H_0e^{-(y - y_0)^2}$$
(B2)

<sup>713</sup> here we choose the parameters in the envelope function  $A_0 = 56$ , a = 0.2357,  $a_0 = 9$  so that the <sup>714</sup> zonal average of F(X) around the equator is zero. Such envelope function can mimic the planetary-<sup>715</sup> scale convection with the active phase in the middle and suppressed phases on the two sides. Also, the circulation response to the planetary-scale heating is not sensitive to the damping coefficients due to the zero zonal mean. As for the meridional profile, we choose  $H_0 = 2, y_0 = 0$  to mimic the MJO when it propagates along the equator and the convection is trapped around equatorial regions. In order to mimic the deep convection and stratiform clouds heating, we choose  $\alpha = -\frac{1}{4}$ .

#### <sup>720</sup> c. the heating profile in the MJO model at the section 4b

In the MJO model in Eq.9, the envelope function of the heating F(X - sT) and the meridional profile H(y) are chosen as follows,

$$F(X-sT) = A_0 \cos\left[\frac{\pi (X-sT)}{2L_F}\right]^+; H(y) = H_0 e^{-a_0(y-y_0)^2}; \alpha (X-sT) = -\frac{8(X-sT)}{3L_F}$$
(B3)

here  $L_F = 1/3$  represents 5000 km half width of the envelope,  $A_0 = 1$ .  $y_0$  can be adjusted for different seasons,  $H_0 = 2\sqrt{2}$ ,  $a_0 = 0.6$ . It has been shown that the upscale flux divergence is insensitive to many details of the wave train (Biello and Majda 2005). Thus we pick the cosine function for the wavelike structure for the synoptic scale fluctuations.  $\lambda = 0.65$  measures the typical length scale of the wave packet and  $\phi(T)$  is for the time varying phases of the convective supercluster.  $\alpha$  is the ratio of stratiform to deep convective heating and  $x_0 = 0.5$  is phase difference between the stratiform and deep convective heating.

#### <sup>730</sup> *d. the heating profile in the MJO model at the section 4c*

In the MJO model in Eq.12, the envelope function of the heating F(X - sT), the meridional profile H(y) and the relative strength of the second baroclinic mode are chosen as follows,

$$F(X-sT) = A_0 \cos\left[\frac{\pi (X-sT)}{2L_F}\right]^+; H(y) = H_0 e^{-(y-y_0)^2}; \alpha (X-sT) = \frac{3(X-sT)}{2L_F}$$
(B4)

here  $A_0 = 1.08$  is the magnitude of the convective envelope. L = 1/3 represents 5000 km half width of the envelope. s = 0.1 corresponds to  $5ms^{-1}$ ,  $H_0 = 2$ . The maximum value for the meridional profile  $y_0 = -0.8$  is chosen so that the heating reaches maximum value south of the equator to mimic the boreal winter case. The envelope function is nonzero only in the domain -L < X - st < L, thus the relative strength coefficient  $\alpha$  varies in the range [-3/2, 3/2].

738

#### APPENDIX C

#### 739

### the synoptic-scale equatorial weak temperature gradient (SEWTG) equations

The SEWTG equations was first established based on the systematic derivation of the intraseasonal planetary equatorial synoptic dynamics (IPESD)model from the primitive equations (Majda and Klein 2003). Then they are utilized for wave trains of thermally driven equatorial synoptic-scale circulations in a multi-scale model for the MJO (Majda and Biello 2004; Biello and Majda 2005, 2006). The equations, in dimensionless units, read as follows,

$$-yv' + p'_x = 0 \tag{C1a}$$

745

746

747

$$yu' + p'_y = 0 \tag{C1b}$$

$$w' = S'_{\theta} \tag{C1c}$$

748

$$p'_z = \theta'$$
 (C1d)

 $u'_x + v'_y + w'_z = 0$  (C1e)

<sup>749</sup> here all physical variables including the synoptic heating has zero mean on the synoptic scales.

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880		Here square brackets mean the value of one unit of the dimensionless variables
881		corresponding to the given scale.

TABLE 1. The dimensional units for all physical variables and some constant parameters. Here square brackets
 mean the value of one unit of the dimensionless variables corresponding to the given scale.

Physical quantity	Mathematical symbol	Value	
Froude number	ε	0.1	
Gravity wave speed	С	50m/s	
Brunt-vaisala frequency	Ν	$0.01s^{-1}$	
Troposphere height	$H_T$	16 <i>km</i>	
Equatorial time scale	$T_E$	$(c\beta)^{-1/2} = 8.3h$	
Equatorial deformation radius	$L_E$	$(c/\beta)^{1/2} = 1500 km$	
Synoptic scale	[ <i>x</i> , <i>y</i> ]	$L_E = 1500 km$	
vertical scale	[ <i>z</i> ]	$H_T/\pi = 5km$	
Daily scale	[ <i>t</i> ]	$T_E = 8.3h$	
Zonal planetary scale	[X]	$L_P = L_E/\varepsilon = 15000 km$	
Intraseasonal scale	[T]	$T_I = T_E/\varepsilon = 3.5 day$	
Horizontal velocity	$\tilde{u}, \tilde{v}$	$5ms^{-1}$	
Vertical velocity	ŵ	$1.6 cm s^{-1}$	
Potential temperature anomaly	$ ilde{ heta}$	1.53 <i>K</i>	
Zonal velocity on the intraseasonal time scale	U	$5ms^{-1}$	
Meridional velocity on the intraseasonal time scale	V	$0.5 m s^{-1}$	
Vertical velocity on the intraseasonal time scale	W	$0.16 cm s^{-1}$	
Potential temperature anomaly on the intraseasonal time scale	Θ	1.53 <i>K</i>	
Momentum dissipation coefficient	d	1/7days	
Radiative cooling coefficient	$d_{ heta}$	1/7 days	

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969	the heating center



FIG. 1. The envelope function of the diurnal heating in longitude-latitude diagram during boreal winter. The value here is dimensionless. This mimics the localized effect of the Martime Continent in the model.



FIG. 2. The diurnal heating in time-height diagram during boreal winter. The value is dimentionless.



FIG. 3. The eddy flux divergences of momentum and temperature  $F^{u}, F^{\theta}$  in the latitude-height diagram during boreal winter. The left panels from top to bottom show eddy flux divergence of momentum  $F^{u}$ , its meridional component  $-\frac{\partial}{\partial y} \langle \tilde{v}\tilde{u} \rangle$  and its vertical component  $-\frac{\partial}{\partial z} \langle \tilde{w}\tilde{u} \rangle$ . The right panels from top to bottom show eddy flux divergence of temperature  $F^{\theta}$ , its meridional component  $-\frac{\partial}{\partial y} \langle \tilde{v}\tilde{\theta} \rangle$  and its vertical component  $-\frac{\partial}{\partial z} \langle \tilde{w}\tilde{\theta} \rangle$ . One dimensionless unit of  $F^{u}$  is 1m/s/day and that of  $F^{\theta}$  is 0.45K/day.



FIG. 4. The horizontal flow field (shown by vectors) and pressure anomaly (shown by color) due to the intraseasonal impact of the diurnal cycle. The height in the top panel and bottom panels are 11 km and 5 km, respectively. The unit of pressure anomaly is  $250m^2s^{-2}$ .



FIG. 5. The temperature anomaly at the latitude-height diagram due to the intraseasonal impact of the diurnal cycle. The red color means warm and blue color means cold. The unit of temperature anomaly is K.



FIG. 6. The mean heating (SMH) for the Madden-Julian Oscillation. The red color means heating and blue color means cooling. The unit of the heating is 4.5K/day



FIG. 7. The horizontal flow field (shown by vectors) and pressure anomaly (shown by color) forced by the standard mean heating(SMH). The top panel shows the flow field at height z=11 km. The bottom panel is for height z=5km. The unit of pressure anomaly is  $250m^2s^{-2}$ .



FIG. 8. Contours of synoptic scale heating and vectors of zonal/vertical velocity.



FIG. 9. The planetary-scale response to the equatorially symmetric MJO forced by both synoptic-scale heating and mean heating. The color shows pressure perturbation, the flow field is shown by vectors. From top to bottom, these 4 panels show the heights at z=0 km, z=4 km, z=8 km, z=12 km. The pressure anomalies is dimensionless in unit of  $250m^2s^{-2}$ 



FIG. 10. The planetary-scale response to the equatorially symmetric MJO forced by both synoptic-scale heating and mean heating. The color shows temperature anomaly, the flow field is shown by vectors. From top to bottom, these 4 panels show the heights at z=0 km, z=4 km, z=8 km, z=12 km. The unit of temperature anomaly is K.



FIG. 11. Planetary-scale response to equatorially asymmetric synoptic-scale and mean heating centered at 900 km south. The panels shows flow vectors, red means positive pressure perturbation, and blue means negative pressure perturbation at heights (a) 0, (b) 4, (c) 8, (d) 12 km. The pressure anomaly is dimensionless.



FIG. 12. Planetary-scale response to equatorially asymmetric synoptic-scale and mean heating centered at 999 900km south. The panels shows flow vectors, red means positive temperature anomaly, and blue means negative 1000 temperature anomaly at heights (a) 0, (b) 4, (c) 8, (d) 12 km. The temperature anomaly is in units of K.



FIG. 13. The horizontal flow field and pressure anomalies at z = 5 km due to the intraseasonal impact of the diurnal cycle and the MJO. The panels from top to bottom show different phases of MJO. The red circles shows the center of mean heating for MJO. The black box shows the regime where diurnal cycle is significant during boreal winter. The winds direction is shown by vectors and their magnitude is shown by the length of vectors. The pressure anomalies are shown in color.



FIG. 14. The horizontal flow field and pressure anomalies at z = 12 km due to the intraseasonal impact of the diurnal cycle and the MJO. The panels from top to bottom show different phases of MJO. The red circles shows the center of mean heating for MJO. The white box shows the regime where diurnal cycle is significant during boreal winter. The winds direction is shown by vectors and their magnitude is shown by the length of vectors. The pressure anomalies are shown in color.



FIG. 15. Contour of vertical motion at the middle troposphere due to the MJO and intraseasonal impact of diurnal cycle. The panels from top to bottom show different phases of MJO. The positive value means rising motion and negative value means sinking motion. The white box shows the location where the diurnal cycle is significant. The red arrow shows the longitude at which the center of MJO convection sits. The unit of vertical velocity is 0.16*cm/s* 



FIG. 16. The temperature anomalies associated with the equatorially symmetric MJO and the intraseasonal impact of the diurnal cycle at phase I. The color shows temperature anomalies, the flow field is shown by vectors. From top to bottom, these 4 panels show the heights at z=0 km, z=4 km, z=8 km, z=12 km. The unit of temperature anomaly is K. The red dot shows the center of the MJO convective activities.



FIG. 17. The temperature anomalies associated with the equatorially symmetric MJO and the intraseasonal impact of the diurnal cycle at phase II. The color shows temperature anomalies, the flow field is shown by vectors. From top to bottom, these 4 panels show the heights at z=0 km, z=4 km, z=8 km, z=12 km. The unit of temperature anomaly is K. The red dot shows the center of the MJO convective activities.



FIG. 18. The temperature anomalies associated with the equatorially symmetric MJO and the intraseasonal impact of the diurnal cycle at phase III. The color shows temperature anomalies, the flow field is shown by vectors. From top to bottom, these 4 panels show the heights at z=0 km, z=4 km, z=8 km, z=12 km. The unit of temperature anomaly is K. The red dot shows the center of the MJO convective activities.



FIG. 19. The temperature anomaly under the intraseasonal impact of the diurnal cycle during the passage of the asymmetric MJO at Phase I. The panels shows flow vectors, red means positive temperature anomaly, and blue means negative temperature anomaly at heights (a) 0, (b) 4, (c) 8, (d) 12 km. The temperature anomaly is in units of K. The white dot shows the heating center.



FIG. 20. The temperature anomaly under the intraseasonal impact of the diurnal cycle during the passage of the asymmetric MJO at Phase II. The panels shows flow vectors, red means positive temperature anomaly, and blue means negative temperature anomaly at heights (a) 0, (b) 4, (c) 8, (d) 12 km. The temperature anomaly is in units of K. The white dot shows the heating center.



FIG. 21. The temperature anomaly under the intraseasonal impact of the diurnal cycle during the passage of the asymmetric MJO at Phase III. The panels shows flow vectors, red means positive temperature anomaly, and blue means negative temperature anomaly at heights (a) 0, (b) 4, (c) 8, (d) 12 km. The temperature anomaly is in units of K. The white dot shows the heating center.