# Arctic Sea-Ice Reemergence: The Role of Large-Scale Oceanic and Atmospheric Variability

# <sup>3</sup> MITCHELL BUSHUK, \* DIMITRIOS GIANNAKIS, AND ANDREW J. MAJDA

Courant Institute of Mathematical Sciences, New York University, New York, New York

<sup>\*</sup>*Corresponding author address:* Mitch Bushuk, Center for Atmosphere Ocean Science, Courant Institute of Mathematical Sciences, New York University, 251 Mercer Street, New York, NY, 10012. E-mail: bushuk@cims.nyu.edu

#### ABSTRACT

Arctic sea-ice reemergence is a phenomenon in which spring sea-ice anomalies are positively 5 correlated with fall anomalies, despite a loss of correlation over the intervening summer 6 months. This work employs a novel data analysis algorithm for high-dimensional multivariate 7 datasets, coupled nonlinear Laplacian spectral analysis (NLSA), to investigate the regional 8 and temporal aspects of this reemergence phenomenon. Coupled NLSA modes of variability 9 of sea-ice concentration (SIC), sea-surface temperature (SST), and sea-level pressure (SLP) 10 are studied in the Arctic sector of a comprehensive climate model and in observations. 11 It is found that low-dimensional families of NLSA modes are able to efficiently reproduce 12 the prominent lagged correlation features of the raw sea-ice data. In both the model and 13 observations, these families provide an SST-sea-ice reemergence mechanism, in which melt 14 season (spring) sea-ice anomalies are imprinted as SST anomalies and stored over the summer 15 months, allowing for sea-ice anomalies of the same sign to reappear in the growth season 16 (fall). The ice anomalies of each family exhibit clear phase relationships between the Barents-17 Kara Seas, the Labrador Sea, and the Bering Sea, three regions that comprise the majority 18 of Arctic sea-ice variability. These regional phase relationships in sea ice have a natural 19 explanation via the SLP patterns of each family, which closely resemble the Arctic Oscillation 20 and the Arctic Dipole Anomaly. These SLP patterns, along with their associated geostrophic 21 winds and surface air temperature advection, provide a large-scale teleconnection between 22 different regions of sea-ice variability. Moreover, the SLP patterns suggest another plausible 23 ice reemergence mechanism, via their winter-to-winter regime persistence. 24

# <sup>25</sup> 1. Introduction

Arctic sea ice is a sensitive component of the climate system, with dynamics and variabil-26 ity that are strongly coupled to the atmosphere and ocean. This sensitivity is evident in the 27 recent precipitous decline in September sea-ice extent, of roughly 9% per decade since 1979 28 (Stroeve et al. 2007; Serreze et al. 2007). Trends in sea-ice extent are negative for all months 29 of the year and all Arctic regions except for the Bering Sea (Cavalieri and Parkinson 2012). 30 In addition to these strong trends, Arctic sea ice also exhibits large internal variability. Stud-31 ies using comprehensive climate models have estimated that 50-60% of recent Arctic sea-ice 32 changes can be attributed to externally forced trends, with the remainder resulting from 33 internal variability in the climate system (Kay et al. 2011; Stroeve et al. 2012). Therefore, 34 the challenge of making accurate projections of future Arctic sea-ice conditions crucially 35 hinges on: (1) quantifying the sea-ice response to changes in external forcing (i.e., green-36 house gas forcing) and (2) understanding the nature and magnitude of internal variability 37 in the coupled ice-ocean-atmosphere system. This study will focus on the latter. 38

The Arctic regions of interest in this study are shown in Fig. 1. The leading empiri-39 cal orthogonal function (EOF) of observational Arctic sea-ice concentration (SIC) exhibits 40 strong out-of-phase anomalies between the Labrador and Greenland-Barents Seas and weaker 41 out-of-phase anomalies between the Bering Sea and Sea of Okhotsk (Deser et al. 2000). Re-42 gression of sea level pressure (SLP) onto the corresponding principal component (PC) yields 43 a spatial pattern which closely resembles the Arctic Oscillation (AO, Thompson and Wallace 44 1998), the leading pattern of SLP variability north of 20°N. Deser et al. (2000) observe a 45 connection between the low-frequency (interannual to decadal) variability of the atmosphere 46 and the low-frequency variability of sea-ice. In particular, they find that the AO and its 47 associated geostrophic winds are physically consistent with the ice anomalies of the lead-48 ing SIC mode, suggesting that atmospheric circulation anomalies force sea-ice anomalies. 49 These winds have thermodynamic and dynamic effects on sea ice via advection of surface 50 air temperature and ice advection. Many other studies have analyzed sea-ice variability in 51

the context of the AO, finding that the AO affects sea ice on a wide range of time scales ranging from seasonal (Serreze et al. 2003) to decadal (Rigor et al. 2002; Rigor and Wallace 2004; Zhang et al. 2004). These studies suggest that a "high-index" AO produces an Ekman divergence, leading to reductions in sea-ice thickness and concentration. This process has been proposed as a mechanism for the recent decline in Arctic sea ice.

Others have questioned the efficacy of the AO as a predictor for sea-ice changes (Maslanik 57 et al. 2007), suggesting that other patterns of large-scale atmospheric variability may play 58 a more important role. In particular, an SLP pattern known as the Arctic Dipole Anomaly 59 (DA) has drawn considerable recent attention (Wu et al. 2006; Wang et al. 2009; Tsuk-60 ernik et al. 2010; Overland and Wang 2005, 2010; Watanabe et al. 2006). The DA exhibits 61 opposite-signed SLP anomalies between the Eastern and Western Arctic, which drive strong 62 meridional winds. These winds act to enhance (reduce) sea-ice export from the Arctic basin 63 through Fram Strait when the DA is in positive (negative) phase. Recent record lows in 64 summer sea-ice extent generally correspond to years in which the DA index was positive 65 (Wang et al. 2009). DA-like SLP patterns have also been associated with the large inter-66 nal variability observed in the sea-ice component of the Community Climate System Model 67 Version 3 (CCSM3, Collins et al. 2006; Wettstein and Deser 2014). Other studies have sug-68 gested that the location and frequency of storms (Screen et al. 2011), and the phase of the 69 Pacific-North-America (PNA) pattern (L'Heureux et al. 2008) also play an important role 70 in setting the summer sea-ice minimum. 71

The PCs corresponding to large-scale atmospheric patterns, such as the AO and DA, are quite noisy and contain significant spectral power at time scales ranging from monthly to decadal. A typical approach has been to initially low-pass filter the atmospheric component (by forming annual or winter means), as a way of smoothing out these PCs and emphasizing interannual to decadal variability. Besides the studies already cited, a large number of works have analyzed the impact of this low-frequency atmospheric variability on Arctic sea ice (Walsh et al. 1996; Proshutinsky and Johnson 1997; Mysak and Venegas 1998; Yi et al. <sup>79</sup> 1999; Johnson et al. 1999; Deser et al. 2000; Polyakov and Johnson 2000; Moritz et al. 2002).
<sup>80</sup> These studies emphasize that sea-ice regimes are modulated by low-frequency atmospheric
<sup>81</sup> circulation regimes.

The variability of Arctic sea ice is also strongly coupled to sea surface temperature 82 (SST) variability (e.g., Francis and Hunter 2007). Blanchard-Wrigglesworth et al. (2011) 83 proposed a mechanism for sea-ice–SST co-variability, in which sea-ice and SST anomalies 84 trade off, allowing for unexpected "memory" effects in sea ice. These memory effects were 85 termed "sea-ice reemergence", inspired by the similar North Pacific and North Atlantic SST 86 phenomena (Alexander et al. 1999; Timlin et al. 2002; de Cotlogon and Frankignoul 2003). 87 Sea-ice reemergence is a lagged correlation phenomenon, in which spring sea-ice anomalies 88 are positively correlated with fall sea-ice anomalies, despite a loss of correlation over the 89 intervening summer months. There is also a similar, but weaker, reemergence between 90 fall sea-ice anomalies and anomalies the following spring. The spring-fall mechanism of 91 Blanchard-Wrigglesworth et al. (2011) suggests that spring sea-ice anomalies imprint SST 92 anomalies of opposite sign, which persist over the summer months. During the fall, ice grows 93 southward and interacts with these SST anomalies, reproducing ice anomalies of the same 94 sign as the spring. This reemergence mechanism has been observed in the North Pacific sector 95 in CCSM3 model output and observations (Bushuk et al. 2014). Deser et al. (2002) note a 96 similar winter-to-winter persistence of sea-ice anomalies in the Labrador Sea, and propose 97 an atmospheric mechanism in which sea-ice anomalies persist due to persistent large-scale 98 atmospheric circulation regimes. 99

Sea-ice reemergence may also have implications for sea-ice predictability. Day et al. (2014) found that sea-ice forecast skill was strongly dependent on initialization month, with certain months exhibiting a slower decay of forecast skill than others. The authors suggested that this initialization month dependence was attributable to sea-ice reemergence mechanisms. Day et al. (2014) also examined sea-ice reemergence in five global climate models (GCMs) and observations, finding robust reemergence signals, of varying strength, across all models <sup>106</sup> and a weaker reemergence signal in the observational record.

In this study, we examine the coupled variability of Arctic SIC, SST, and SLP using 107 nonlinear Laplacian spectral analysis (NLSA), a recently developed data analysis technique 108 for high-dimensional nonlinear time series (Giannakis and Maida 2012a, b, 2013, 2014). The 109 NLSA algorithm is a nonlinear manifold generalization of singular spectrum analysis (SSA, 110 Broomhead and King 1986; Vautard and Ghil 1989; Ghil et al. 2002). SSA is also commonly 111 referred to as extended empirical orthogonal function (EEOF) analysis. Here, we apply 112 the multivariate version of the NLSA algorithm, coupled NLSA (Bushuk et al. 2014), which 113 provides a scale-invariant analysis of multiple variables with different physical units. Coupled 114 NLSA yields spatiotemporal modes, analogous to EEOFs, and temporal modes, analogous to 115 PCs. These modes are constructed using a set of empirically derived Laplacian eigenfunctions 116 on the nonlinear data manifold and, unlike linear approaches, do not maximize explained 117 variance. Compared to linear techniques, NLSA (and other related nonlinear methods; Berry 118 et al. 2013) provide superior time-scale separation and are able to effectively capture low-119 variance modes that may have important dynamical significance. These low-variance modes 120 are known to be crucial in producing accurate representations of nonlinear dynamical systems 121 (Aubry et al. 1993; Giannakis and Majda 2012b), and in the present context, are efficient in 122 explaining reemergence phenomena (Bushuk et al. 2014). 123

We use coupled NLSA modes to study the basin-wide and regional characteristics of 124 Arctic sea-ice reemergence in a comprehensive climate model and observations. We com-125 pute modes using CCSM3 model output from a 900-year equilibrated control integration. 126 Modes are also obtained for the 34-year observational record, using SIC and SST data from 127 the Met Office Hadley Center Sea Ice and Sea Surface Temperature (HadISST) dataset and 128 Era-Interim SLP reanalysis data. No preprocessing of the data is required, enabling simulta-129 neous extraction of interannual, annual, and semiannual patterns of variability. Using these 130 modes, we identify low-dimensional families which efficiently describe sea-ice reemergence. 131 These families capture a significant portion of the reemergence signal, and have the sur-132

prising property of being relatively low-variance. The families also reveal time-dependent aspects of reemergence, which were not accessible in previous studies. The SST and SIC modes of each family exhibit an SST-sea-ice reemergence mechanism consistent with that of Blanchard-Wrigglesworth et al. (2011). Interannual components of large-scale SLP variability, which emerge objectively from this analysis, are found to be related to coherent sea-ice reemergence events in geographically distinct regions, and suggest an SLP-sea-ice reemergence mechanism.

This paper is organized as follows: In section 2, we summarize the coupled NLSA algorithm. In section 3, we describe the CCSM3, HadISST, and ERA-Interim datasets used in this study. In section 4, we study the SIC, SST, and SLP spatiotemporal modes obtained via coupled NLSA. In section 5, we examine the regional and temporal characteristics of sea-ice reemergence, and in section 6, we investigate oceanic and atmospheric reemergence mechanisms. We conclude in section 7. Movies, illustrating the spatiotemporal evolution of NLSA modes, are available as online supplementary material.

# <sup>147</sup> 2. Coupled NLSA methodology

In this study, we apply the coupled NLSA approach, as developed in Bushuk et al. (2014), 148 to Arctic SIC, SST, and SLP. This technique is an extension of the recently developed NLSA 149 algorithm (Giannakis and Majda 2012b, 2013), and provides a scale-invariant approach for 150 multivariate time series analysis. Unlike other multivariate data analysis approaches, coupled 151 NLSA does not require initial normalization of the input fields to unit variance. Rather, 152 the coupled NLSA algorithm implicitly selects the variance ratio between different physical 153 fields, without requiring a choice of normalization by the user. Here, we briefly summarize 154 the method and refer the reader to the more thorough description of Bushuk et al. (2014). 155 Figure 2 is a schematic that summarizes the flow of data in the coupled NLSA algorithm. 156 Let  $x_t^{\text{SIC}}$ ,  $x_t^{\text{SST}}$ , and  $x_t^{\text{SLP}}$  be time series for SIC, SST, and SLP, respectively, each sampled 157

<sup>158</sup> uniformly at time step  $\delta t$ , with *s* time samples. The dimensions of  $x_t^{\text{SIC}}$ ,  $x_t^{\text{SST}}$ , and  $x_t^{\text{SLP}}$ <sup>159</sup> are  $d_{\text{SIC}}$ ,  $d_{\text{SST}}$ , and  $d_{\text{SLP}}$ , respectively, which are the number of spatial gridpoints for each <sup>160</sup> variable. First, we choose a time lag window  $\Delta t = q \delta t$ , and time-lag embed our data into the <sup>161</sup> higher dimensional spaces  $\mathbb{R}^{d_{\text{SIC}}q}$ ,  $\mathbb{R}^{d_{\text{SST}}q}$ , and  $\mathbb{R}^{d_{\text{SLP}}q}$ . Time-lagged embedding is performed <sup>162</sup> via the delay-coordinate mappings

$$\begin{split} x_t^{\text{SIC}} &\mapsto X_t^{\text{SIC}} = (x_t^{\text{SIC}}, x_{t-\delta t}^{\text{SIC}}, ..., x_{t-(q-1)\delta t}^{\text{SIC}}), \\ x_t^{\text{SST}} &\mapsto X_t^{\text{SST}} = (x_t^{\text{SST}}, x_{t-\delta t}^{\text{SST}}, ..., x_{t-(q-1)\delta t}^{\text{SST}}), \\ x_t^{\text{SLP}} &\mapsto X_t^{\text{SLP}} = (x_t^{\text{SLP}}, x_{t-\delta t}^{\text{SLP}}, ..., x_{t-(q-1)\delta t}^{\text{SLP}}). \end{split}$$

The coupled NLSA approach uses these time-lagged embedded data to construct a set of 163 orthonormal basis functions on the nonlinear data manifold. These basis functions are 164 eigenfunctions of a discrete Laplacian operator, and are computed using a kernel (similarity) 165 function defined through the physical variables of interest, as determined by the user of the 166 algorithm. The kernel and corresponding Laplacian eigenfunctions can be thought of as 167 nonlinear analogs of the covariance matrix and corresponding PCs of SSA, respectively. In 168 this work, the kernel function, K, is an exponentially decaying similarity function constructed 169 using SIC, SST, and SLP, viz. 170

$$K_{ij} = \exp\left(-\frac{\|X_i^{\text{SIC}} - X_j^{\text{SIC}}\|^2}{\epsilon \|\xi_i^{\text{SIC}}\| \|\xi_j^{\text{SIC}}\|} - \frac{\|X_i^{\text{SST}} - X_j^{\text{SST}}\|^2}{\epsilon \|\xi_i^{\text{SST}}\| \|\xi_j^{\text{SST}}\|} - \frac{\|X_i^{\text{SLP}} - X_j^{\text{SLP}}\|^2}{\epsilon \|\xi_i^{\text{SLP}}\| \|\xi_j^{\text{SLP}}\|}\right),$$

where  $i, j \in [q + 1, q + 2, \dots, s]$ . Here,  $\epsilon$  is a scale parameter controlling the width of the Gaussian, and  $\xi_i^k = X_i^k - X_{i-1}^k$  is the phase space velocity of the  $k^{\text{th}}$  variable. Note that because of the division by  $\|\xi_i^k\|$ , the argument of the exponential is unit-independent, allowing for a natural comparison of the different variables in the system. Performing an appropriate normalization, we convert K to a Laplacian matrix, L, and solve the eigenvalue problem

$$L\phi_i = \lambda_i \phi_i$$

This yields a set of discrete Laplacian eigenfunctions  $\{\phi_1, \phi_2, \ldots, \phi_{s-q}\}$ , each of which is a temporal pattern of length s - q. By virtue of the delay-coordinate mapping of the data, these patterns are conditioned to reveal intrinsic dynamical time scales in the data, such as those associated with quasi-periodic orbits (Berry et al. 2013). In practice only  $l \ll s$ of these eigenfuctions are used and need to be computed. The eigenfunctions are used as a temporal filter for the data, analogous to Fourier modes, but intrinsic to the dynamical system generating the data.

Let  $\Phi_l$  be the matrix whose columns consist of the leading l eigenfunctions. Let  $X^k$ :  $\mathbb{R}^{s-q} \mapsto \mathbb{R}^{qd_k}$  be the lag-embedded data matrix for the  $k^{\text{th}}$  variable:

$$X^{k} = \begin{bmatrix} X_{q+1}^{k} & X_{q+2}^{k} & \dots & X_{s}^{k} \end{bmatrix}.$$

Projecting  $X^k$  onto the leading l Laplacian eigenfunctions, we construct linear maps  $A_l^k$ :  $\mathbb{R}^l \mapsto \mathbb{R}^{qd_k}$ , given by

$$A_l^k = X^k \mu \Phi_l. \tag{1}$$

Here  $\vec{\mu}$  is the stationary distribution of the Markov chain corresponding to K, and  $\mu$  is a diagonal matrix with  $\vec{\mu}$  along the diagonal. Note that the variables used to construct the eigenfunctions do not necessarily need to coincide with the variables for which we compute the  $A_l^k$  operators. For example, we can use the SIC–SST–SLP eigenfunctions to filter any other variable of interest in our system.

Singular value decomposition (SVD) of the operator for the  $k^{\text{th}}$  variable,  $A_l^k$ , yields a set 193 of spatiotemporal modes  $\{u_n^k\}$  of dimension  $qd_k$ , analogous to EEOFs, and a corresponding 194 set of length l vectors,  $\{V_n^k\}$ . These  $\{V_n^k\}$  are the expansion coefficients in eigenfunction 195 basis. Expanding using the first l eigenfunctions, we recover a set of temporal modes  $\{v_n^k\}$ 196 of length s - q, where  $v_n^k = \Phi_l V_n^k$ . These modes, indexed by n, are ordered by decreasing 197 singular value. Forming products  $u_n^k \sigma_n^k (v_n^k)^T$  and projecting from lagged embedding space 198 to physical space using the standard approach (Ghil et al. 2002), we obtain reconstructed 199 fields  $\tilde{u}_n^k(t)$ . 200

### $_{201}$ 3. Dataset description

#### 202 a. CCSM3 model output

This study analyzes model output from a 900-yr equilibrated control integration (model 203 run b30.004) of CCSM3 (Collins et al. 2006). This data was downloaded from the Earth 204 System Grid website. We use monthly averaged data for SIC, SST, and SLP, which come 205 from the Community Sea Ice Model (CSIM, Holland et al. 2006), the Parallel Ocean Program 206 (POP, Smith and Gent 2004), and the Community Atmosphere Model version 3 (CAM3, 207 Collins et al. 2004), respectively. The model uses a T42 spectral truncation for the atmo-208 spheric grid (roughly  $2.8^{\circ} \times 2.8^{\circ}$ ), and the ocean and sea-ice variables are defined on the same 209 grid, of 1° nominal resolution. This study focuses on a pan-Arctic domain, which we define 210 as all gridpoints north of 45°N. Note that the seasonal cycle has not been removed from this 211 dataset. This is crucial for capturing intermittent patterns associated with reemergence. In 212 particular, intermittent modes, described ahead in section 4, are not recoverable in datasets 213 that have been deseasonalized (Giannakis and Majda 2013). As will be shown ahead in 214 section 5, these modes are essential in low dimensional descriptions of sea-ice reemergence. 215 The spatial dimensions (number of spatial gridpoints) of these datasets are  $d_{\text{SIC}} = d_{\text{SST}} =$ 216 13,202 and  $d_{\rm SLP} = 2,048$ . Using a two-year embedding window with q = 24 (Giannakis and 217 Majda 2012b; Bushuk et al. 2014), this yields lagged embedding dimensions (the product of 218 the number of spatial gridpoints and the embedding window) of  $qd_{\rm SIC} = qd_{\rm SST} = 316,848$ 219 and  $qd_{\rm SLP} = 49,152$ . These data are monthly averaged, and consist of s = 10,800 time 220 samples for the 900-yr simulation period. The value  $\Delta t = 24$  months was used as the time 221 lag because this embedding window is longer than the seasonal cycle, which is a primary 222 source of non-Markovianity in this dataset. A number of different embedding windows were 223 tested, yielding qualitatively similar results for  $\Delta t \geq 12$  months, and qualitatively different 224 results for  $\Delta t < 12$  months. 225

#### 226 b. HadISST observations

We also analyze the HadISST dataset (Rayner et al. 2003), which consists of monthly 227 averaged SIC and SST data on a 1° latitude-longitude grid. The spatial dimension of the 228 Arctic domain is  $d_{\rm SIC} = d_{\rm SST} = 9,453$ . As with the CCSM3 data, we use an embedding 229 window of  $\Delta t = 24$  months, which yields lagged-embedding dimensions of  $qd_{\rm SIC} = qd_{\rm SST} =$ 230 226,872. In this study we use the satellite era data from January 1979–August 2013. Note 231 that all ice-covered gridpoints in the HadISST dataset were assigned an SST value of  $-1.8^{\circ}$ C, 232 the freezing point of salt water at a salinity of 35 parts per thousand. Also, the trend in 233 the dataset was removed by computing a long-term linear trend for each month of the year, 234 and removing the respective linear trend from each month. The seasonal cycle has not been 235 removed from this dataset. 236

#### 237 c. ERA-Interim reanalysis data

Finally, we also study monthly-averaged SLP data from the European Centre for Medium-Range Weather Forecasts (ECMWF) Interim Reanalysis project (ERA-Interim, Dee et al. 2011). These data are defined on a 0.75° latitude–longitude grid, of considerably higher resolution than the CCSM3 SLP data. The spatial dimension of the Arctic domain is  $d_{\text{SLP}} =$ 29, 280, corresponding to a lagged-embedding dimension of  $qd_{\text{SLP}} =$ 29, 280, corresponding to a lagged-embedding dimension of  $qd_{\text{SLP}} =$ 242 been detrended by subtracting the monthly trend from each month, but the seasonal cycle 244 has not been subtracted.

# 4. Coupled SIC-SST-SLP spatiotemporal modes of Arc tic variability

We utilize the coupled NLSA algorithm outlined in section 2 to study the spatiotemporal evolution of (i) SIC, SST, and SLP in CCSM3; and (ii) SIC and SST from HadISST, and SLP from ERA-Interim. Hereafter, we refer to the joint HadISST and ERA-Interim datasets as observations. For both the model and observational data, we use a lagged-embedding window of  $\Delta t = 24$  months.

#### 252 a. CCSM3 Modes

We choose  $\epsilon$ , the Gaussian locality parameter, as  $\epsilon = 0.90$ . Using the spectral entropy 253 criterion of Giannakis and Majda (2012a, 2013), we select a truncation level of l = 27254 eigenfunctions, and express the data matrices  $X^{\text{SIC}}$ ,  $X^{\text{SST}}$ , and  $X^{\text{SLP}}$  in this basis. SVD of 255 the resulting operators (see eq. 1) yields a set of spatiotemporal patterns,  $\{u_n^{\text{SIC}}\}, \{u_n^{\text{SST}}\}$ 256  $\{u_n^{\text{SLP}}\}\$ , and a set of temporal patterns,  $\{v_n^{\text{SIC}}\}\$ ,  $\{v_n^{\text{SST}}\}\$ ,  $\{v_n^{\text{SLP}}\}\$ , for each variable. The modes 257 are ordered by decreasing singular value. In general, the temporal patterns for different 258 variables need not be related. However, by virtue of the relatively low-dimensionality of the 259 eigenfunction basis relative to the original temporal dimension  $(l = 27 \ll s = 10,800)$ , and 260 the fact that the eigenfunctions incorporate information from all three variables, we find 261 strong correlations between the temporal patterns of different variables. 262

#### 263 1) TEMPORAL MODES

Figures 3, 4, and 5 show selected temporal patterns for SIC, SST, and SLP, respectively. For each variable, we observe three distinct types of temporal modes: periodic, low-frequency, and intermittent modes, indicated by P, L, and I in the figures.

The periodic temporal modes closely resemble sinusoids, with frequencies given by integer multiples of 1 yr<sup>-1</sup>. These modes appear as doubly degenerate pairs, with a phase offset of  $\pi/2$ . The leading periodic modes, representing the annual and semiannual cycles, capture more variance than the low-frequency and intermittent modes of the system. Higher harmonic periodic modes are found later in the mode spectrum. The low-frequency modes are characterized by significant interannual variability, and have a typical decorrelation time <sup>273</sup> of approximately 3 years. These modes carry significant spectral power at frequencies below <sup>274</sup> 1 yr<sup>-1</sup>, and exhibit a sharp decline in spectral power at frequencies above this.

The intermittent modes are characterized by periods of intense activity followed by pe-275 riods of quiescence. Each intermittent mode has a base frequency of oscillation, and a 276 broadband peak in spectral power centered upon this frequency. These modes carry lower 277 variance than their periodic and low-frequency counterparts, yet have potentially high dy-278 namical significance. For example, annual and semiannual intermittent modes are crucial 279 components in low-dimensional descriptions of sea-ice reemergence phenomena (Bushuk et al. 280 2014). Note that the leading low-frequency and intermittent modes are insensitive to trun-281 cation level, whereas increasing l will eventually disrupt the temporal character of some 282 intermittent modes. 283

Intermittent modes closely resemble a periodic signal modulated by a low-frequency enve-284 lope. We find that nearly all intermittent modes can be directly associated with a particular 285 low-frequency mode, which provides this modulating envelope (Bushuk et al. 2014). To de-286 termine this association we compare the envelope function of the intermittent modes to the 287 low-frequency modes. We find the envelope function via the Hilbert transform (von Storch 288 and Zwiers 1999). Let I(t) be a given intermittent mode and let H(I)(t) be the Hilbert 289 transform of I. Then the envelope function, e(t), is given by  $e(t) = \sqrt{I(t)^2 + H(I)(t)^2}$ . 290 Next, we determine which low-frequency mode provides this modulating envelope by per-291 forming a correlation between e(t) and |L(t)|, where L(t) is a low-frequency mode. Fig. 6 292 shows these correlation values for intermittent and low-frequency modes of each variable, for 293 both the model and observations. Note that the low-frequency-intermittent mode associa-294 tion is quite clear for most variables, except for the observational SLP, whose intermittent 295 envelopes generally correlate weakly with the low-frequency modes. 296

As a comparison, we also performed SSA on the concatenated and unit-variance normalized SIC-SST-SLP dataset. Similar to the findings of Bushuk et al. (2014), SSA produces periodic modes, many low-frequency modes, and some modes that loosely resemble the intermittent modes of NLSA, with a spectral maximum at a certain base frequency. We find that the SSA modes do not share the same intermittent-low-frequency mode relationships as the NLSA modes. These relationships will be important for explaining reemergence, as they reflect the interaction of large-scale low-frequency modes of variability with the familiar annual and semiannual cycles in the climate system.

#### 305 2) SIC Spatiotemporal Patterns

Figure 7 shows spatial patterns of selected modes at a snapshot in time. Movies 1 and 2, in the online supplementary material, show the spatiotemporal evolution of these modes and others. Below, we describe the prominent features of the spatiotemporal modes recovered for SIC, SST, and SLP.

The annual periodic SIC modes,  $\{P_1^{\text{SIC}}, P_2^{\text{SIC}}\}$  (Fig. 7a), have spatially uniform anomalies throughout most of the Arctic, except at high-latitude gridpoints where there is year-round ice coverage, and in the marginal ice zones, where the anomalies are slightly weaker. These anomalies reach their maximum and minimum values in March and September, respectively. The higher-frequency periodic modes have increasingly finer spatial structure, and capture a decreasing portion of the variance.

The low-frequency modes closely resemble the leading EOFs of Arctic SIC in the CCSM3 316 model.  $L_1^{\text{SIC}}$  (Fig. 7d) exhibits anomalies in the Bering, Beaufort, and Labrador Seas, which 317 are out-of-phase with the anomalies of the Barents, Kara, and Greenland Seas. Computing 318 pattern correlations between the q spatial patterns of  $L_1^{SIC}$  and the different EOFs of de-319 seasonalized Arctic SIC, we find a maximum pattern correlation of 0.97 with EOF 1.  $L_2^{\text{SIC}}$ 320 (Fig. 7g) has strong anomalies in the Bering and Labrador Seas, which are out-of-phase 321 with one another. It also has weaker anomalies in the Sea of Okhotsk, Barents and Kara 322 seas which are in-phase with the Bering Sea anomalies. This mode has a maximum pattern 323 correlation of 0.77 with EOF 3. 324

Each intermittent mode has a natural association with a certain low-frequency mode,

which acts as a modulating envelope for the intermittent mode. There is also a clear spatial 326 connection, as the intermittent modes are active in the same parts of the domain as their 327 low-frequency counterpart. The annual and semiannual intermittent mode pairs,  $\{I_1^{SIC}, I_2^{SIC}\}$ 328 and  $\{I_7^{\text{SIC}}, I_8^{\text{SIC}}\}$ , are associated with  $L_1^{\text{SIC}}$  (see Fig. 6). These modes pulse with annual 329 and semiannual frequency, respectively, and exhibit finer spatial structure than  $L_1^{\text{SIC}}$ . In 330 regions where  $L_1^{SIC}$  has monopole anomalies, these intermittent modes have dipole and tripole 331 anomalies, respectively. The annual and semiannual intermittent modes,  $\{I_3^{SIC}, I_4^{SIC}\}$  and 332  $\{I_9^{\text{SIC}}, I_{10}^{\text{SIC}}, I_{11}^{\text{SIC}}\}$ , are associated with  $L_2^{\text{SIC}}$ , and share similar spatial relationships. 333

#### 334 3) SST Spatiotemporal Patterns

 $L_1^{\rm SST}$  (Fig. 7e) has strong anomalies in the Bering Sea that extend southward into the 335 Northeast Pacific, and anomalies of the opposite sign in the Barents and Kara Seas. There 336 is also a North Atlantic signal with anomalies in the subpolar gyre region that are in-phase 337 with the North Pacific anomalies. This mode has a maximum pattern correlation of 0.98 338 with EOF 1 of Arctic SST from CCSM3.  $L_2^{\text{SST}}$  (Fig. 7h) exhibits out-of-phase anomalies 339 between the North Pacific and North Atlantic. The North Atlantic anomalies correspond to 340 variability in the subpolar gyre, and the North Pacific anomalies are strongest in the Bering 341 Sea, extending through most of the Pacific portion of the domain. This mode is most similar 342 to EOF 2, with 0.96 pattern correlation. 343

The intermittent modes associated with  $L_1^{\text{SST}}$  and  $L_2^{\text{SST}}$  are  $\{I_1^{\text{SST}}, I_2^{\text{SST}}, I_8^{\text{SST}}, I_9^{\text{SST}}\}$  and  $\{I_5^{\text{SST}}, I_6^{\text{SST}}, I_{11}^{\text{SST}}\}$ , respectively. As with the SIC modes, these modes are active in the same parts of the domain as their associated low-frequency mode, and have finer spatial structure. A primary difference is that these intermittent modes exhibit spatially propagating anomalies, as compared with their stationary SIC counterparts. This propagation is most evident in the subpolar gyre region of the North Atlantic.  $L_1^{\text{SLP}}$  (Fig. 7f) has a similar SLP pattern to the AO, with an anomaly centered over the pole, and anomalies of opposite sign in the North Atlantic and North Pacific basins. The AO is defined as the leading EOF of SLP north of 20°N. Considering EOFs of CCSM3 SLP north of 20°N, we find a maximum pattern correlation of 0.98 with EOF 1. In light of this strong correlation, we call  $L_1^{\text{SLP}}$  the AO mode.  $L_2^{\text{SLP}}$  also closely resembles the AO, with a maximum pattern correlation of 0.98 with EOF 1. However,  $L_1^{\text{SLP}}$  have distinct temporal patterns and are non-degenerate modes.

 $L_3^{\rm SLP}$  (Fig. 7i) has a strong resemblance to the DA, which consists of opposite-signed SLP 358 anomalies between the Eastern and Western Arctic. Following Wu et al. (2006), we define the 359 dipole anomaly as the second leading EOF of winter (October-March) SLP north of 70°N. Let 360 PC 2 be the PC associated with EOF 2. To determine the corresponding spatial pattern over 361 the Arctic domain (north of 45°N), we project winter Arctic SLP onto PC 2, and compare 362 the resulting spatial pattern to  $L_3^{\text{SLP}}$ . We find a maximum pattern correlation of 0.78, and 363 lower correlations when other PCs are used. Another possible technique for determining the 364 Arctic SLP signal of the DA, as performed in Wu et al. (2006), is to perform a conditional 365 composite, based on the months in which PC 2 is active. This yields a very similar pattern 366 correlation of 0.77 with  $L_3^{\rm SLP}$ . Wu et al. (2006) also perform a conditional composite in 367 which the influence of the AO is removed via linear regression. We also computed a spatial 368 pattern using this technique and found a pattern correlation of 0.78 with  $L_3^{\text{SLP}}$ . Based on 369 these findings, we refer to  $L_3^{\text{SLP}}$  as the DA mode. 370

 $L_1^{\text{SLP}}$  has associated annual and semiannual intermittent modes  $\{I_1^{\text{SLP}}, I_2^{\text{SLP}}, I_9^{\text{SLP}}, I_{10}^{\text{SLP}}\}$ .  $L_3^{\text{SLP}}$  is associated with a pair of annual intermittent modes  $\{I_7^{\text{SLP}}, I_8^{\text{SLP}}\}$ , but not any semiannual intermittent modes.

We compute the coupled NLSA observational modes using a locality parameter of  $\epsilon = 1.20$ 375 and a truncation level of l = 21 eigenfunctions. A primary difference between the observa-376 tional modes and CCSM3 modes is the variables used for the eigenfunction computation. 377 We find that computing SIC-SST-SLP eigenfunctions from the observational datasets yields 378 temporal modes which are significantly noisier (more high-frequency power) than the corre-379 sponding modes from CCSM3. This corruption occurs due to the inclusion of the SLP data 380 in the eigenfunction computation. We find that the eigenfunctions are substantially cleaner 381 when computed using SIC and SST, and we use this as the base case for this study. On the 382 other hand, the CCSM3 results are insensitive to the inclusion of SLP, with SIC-SST-SLP 383 and SIC-SST eigenfunctions yielding very similar modes and conclusions regarding sea-ice 384 reemergence. We obtain SLP observational modes by projecting the SLP data onto the 385 SIC-SST eigenfunctions and performing an SVD of the resulting operator. Note that the 386 observational SLP data is roughly 4 times finer spatial resolution than the CCSM3 SLP data. 387 This discrepancy in resolution may explain the corruption in observational modes compared 388 with CCSM3 modes. An NLSA kernel that incorporates an initial spatial smoothing of the 389 input data (making them comparable to the T42 resolution of the CCSM3 data) could al-390 leviate these issues, but we elected not to carry out these calculations since we are able to 391 identify reemergence families, ahead, using SIC and SST only as inputs to the kernel. 392

The observational temporal modes have a similar character to those obtained from CCSM3. For each variable, we find periodic, low-frequency, and intermittent modes, and in many cases the low-frequency modes act as modulating envelopes for the intermittent modes. The temporal modes for SIC, SST, and SLP are shown in Figs. 1-3 of the supplementary material.

<sup>398</sup> Next, we provide a brief description of the spatiotemporal modes that will be discussed <sup>399</sup> later in the paper. Movies 3 and 4 of the supplementary material provide a more revealing <sup>400</sup> spatiotemporal evolution of these modes and others.  $L_1^{SIC}$  (Fig. 7j) closely resembles the

leading EOF of winter Arctic sea ice reported by Deser et al. (2000). In its positive phase, 401  $L_1^{\text{SIC}}$  has positive sea-ice anomalies in the Labrador and Bering seas and negative anomalies 402 in the Greenland, Barents-Kara, and Okhotsk Seas. This mode has a maximum pattern 403 correlation of 0.88 with EOF 1 of Arctic sea ice from HadISST.  $L_1^{\rm SST}$  (Fig. 7k) is most 404 similar to EOF 2 of Arctic SST, with a maximum pattern correlation of 0.70. In positive 405 phase, this mode has positive anomalies in the Labrador Sea and subpolar gyre region, 406 negative anomalies in the Barents-Kara Seas and positive anomalies in the Bering Sea.  $L_1^{\text{SLP}}$ 407 (Fig. 71) strongly resembles the annular structure of the AO. Computing EOFs of ERA-408 Interim SLP north of 20°N, we find a maximum pattern correlation of 0.97 with EOF 1, the 409 AO pattern. Similar to the CCSM3 results, the intermittent modes are generally associated 410 with a low-frequency mode, are active in the same parts of the domain as this low-frequency 411 mode, and display finer spatial structure. 412

One feature which is conspicuously absent from the observational SLP modes is a DAlike mode. Other fields, such as 850mb geopotential height and surface winds, and smaller domains were tested, but a low-frequency DA mode analogous to the CCSM3 results was not found. Certain modes obtained were quite transient, and resembled the DA pattern at certain snapshots in time, but not persistently.

#### <sup>418</sup> c. Interpretation of low-frequency SLP modes

The low-frequency SLP modes have spatial patterns that closely resemble the famil-419 iar spatial patterns obtained via EOF analysis. However, their temporal behavior differs 420 substantially. The low-frequency NLSA temporal modes have significant one-year auto-421 correlation and carry most of their power at frequencies below  $1 \text{ yr}^{-1}$ . This lies in sharp 422 contrast to the PCs obtained via EOF analysis, which have a nearly white power spectrum 423 and decorrelate very rapidly, losing all memory after 1-2 months. Despite these extremely 424 different temporal characteristics, there is natural connection between the two: the low-425 frequency NLSA modes closely resemble a low-pass filtered version of the noisy PCs from 426

427 EOF analysis.

This is illustrated in Fig. 8, which shows temporal behavior for  $L_1^{\text{SLP}}$ , the leading low-428 frequency NLSA mode from CCSM3,  $PC_1^{SLP}$ , the principal component corresponding to the 429 leading EOF of SLP, and  $\langle PC_1^{SLP} \rangle$ , a low-pass filtered version of  $PC_1^{SLP}$ , computed by taking 430 a 24 month running mean.  $L_1^{\text{SLP}}$  has a relatively low correlation of 0.31 with PC<sub>1</sub>^{\text{SLP}}, but 431 a significantly higher correlation of 0.80 with the low-pass filtered PC,  $\langle PC_1^{SLP} \rangle$ .  $L_1^{SLP}$  and 432  $\langle PC_1^{SLP} \rangle$  share qualitatively similar autocorrelation functions and power spectra, which are 433 very different from the rapidly decaying autocorrelation and nearly white power spectrum 434 of  $PC_1^{SLP}$ . These results suggest a natural interpretation of the low-frequency NLSA modes 435 as low-pass filtered versions of the PCs from EOF analysis, which emphasize variability on 436 interannual to decadal timescales and filter out higher-frequency variability. It is important 437 to note that the low-frequency NLSA modes have weak sensitivity to the lag-embedding 438 window  $\Delta t$  (as long as  $\Delta t \ge 12$ ; see section 3). Also, a univariate NLSA analysis with only 439 SLP was performed, and similar low-frequency modes were recovered. This suggests that 440 these low-frequency patterns describe an intrinsic component of SLP variability, which in 441 this case can be reproduced by an ad-hoc running averaging of the data. 442

The observational SLP modes also display a similar correspondence, with a correlation of 0.83 between  $\langle PC_1^{SLP} \rangle$  and  $L_1^{SLP}$ . This high correlation indicates that the SIC-SST eigenfunctions used for the observational data are able to capture important variability in the raw SLP data.

# 447 5. Arctic sea-ice reemergence in models and observa-448 tions

Sea-ice reemergence is a time-lagged correlation phenomenon. SIC anomalies decorrelate over a 3-6 month timescale, however, at some time lag in the future, an increase in correlation occurs. Sea-ice reemergence is observed in two forms: a spring-fall reemergence, in which spring anomalies are reproduced the following fall, and a fall-spring reemergence, in which fall anomalies are reproduced the following spring. Both forms are observed in CCSM3 model output and HadISST observations, with the spring-fall reemergence being the significantly stronger signal in both cases.

We study sea-ice reemergence via the time-lagged pattern correlation methodology of 456 Bushuk et al. (2014). For each month of the year, pattern correlations are computed between 457 the SIC anomaly field of the given month and the SIC field at lags of 0 to 23 months into 458 the future. This is done for all (month, month+lag) pairs in the time series, and we report 459 the average of these correlation values. Note that the pattern correlations are performed on 460 anomalies from the seasonal cycle, are area-weighted, and are uncentered (global mean has 461 not been subtracted from the anomaly field). This differs from the approach of Blanchard-462 Wrigglesworth et al. (2011), where the lagged correlations were performed using a time 463 series of total sea-ice area. Performing correlations using the full SIC field, as opposed to its 464 total area, allows for inclusion of the spatial distribution of sea ice. The pattern correlation 465 approach is able to detect opposite-signed anomaly features, such as sea-ice dipoles, which 466 would be integrated away in the total area approach. It also enforces a notion of locality, 467 since anomalies must be spatially coincident in order to yield a significant pattern correlation. 468 This ensures that a reported sea-ice reemergence signal represents recurrent anomalies at the 469 same spatial location. 470

In this paper, we focus on the regions defined in Fig. 1: a pan-Arctic domain  $(0^{\circ} - 360^{\circ})$ and  $45^{\circ}N - 90^{\circ}N$ , the Barents and Kara Seas  $(30^{\circ}E - 90^{\circ}E)$  and  $65^{\circ}N - 80^{\circ}N$ , the Labrador Sea and Baffin Bay  $(70^{\circ}W - 40^{\circ}W)$  and  $45^{\circ}N - 80^{\circ}N$ , the Greenland Sea  $(40^{\circ}W - 0^{\circ}E)$  and  $55^{\circ}N - 80^{\circ}N$ , the Bering Sea  $(165^{\circ}E - 160^{\circ}W)$  and  $55^{\circ}N - 65^{\circ}N$ , and the Sea of Okhotsk  $(135^{\circ}E - 165^{\circ}E)$  and  $45^{\circ}N - 65^{\circ}N$ .

#### 476 a. Regional sea-ice reemergence in models and observations

We begin with a regional study of sea-ice reemergence using raw SIC data from HadISST observations and CCSM3 output, the results of which are shown in Fig. 9. This figure shows time-lagged pattern correlations, computed for all initial months and lags of 0 to 23 months. All correlations plotted in color are greater than 0.1 and are significant at the 95% level, based on a *t*-distribution statistic, which tests for the statistical significance of the time-mean pattern correlation values against a null hypothesis that there is no correlation.

Over a pan-Arctic domain, in both the model and observations, we observe a clear "sum-483 mer limb" of positive correlations corresponding to sea-ice anomalies that originate in the 484 melt season (March-August) and reemerge in the growth season (Fig. 9a,b). The "win-485 ter limb" of fall-spring reemergence, corresponding to anomalies originating in September-486 February, is weak over the Arctic domain, except for a small hint of the limb in the CCSM3 487 data. An interesting consequence of the time-lagged pattern correlation approach is the 488 striking similarity of pan-Arctic lagged correlations in CCSM3 and observations. This lies in 489 contrast to the total area lagged correlation methodology of previous studies, which reveal 490 a clearly enhanced reemergence signal in the model relative to observations (Blanchard-491 Wrigglesworth et al. 2011; Day et al. 2014). This indicates that, despite differences in 492 memory of total sea-ice area anomalies, the model and observations are quite similar in their 493 memory of sea-ice spatial patterns. 494

The pan-Arctic reemergence signal is similar in the model and observations, however a 495 regional analysis reveals significant differences between the two. Both CCSM3 and HadISST 496 have strong summer limb signals in the Barents-Kara domain (Fig. 9g,h) and the Greenland 497 Sea (Fig. 9k,l). The CCSM3 data also exhibits a winter limb in the Barents-Kara domain, 498 which is not significant in observations. A striking difference is found in the Labrador Sea, 499 with a strong summer limb and a significant winter limb in observations, neither of which 500 are found in the model (Fig. 9i,j). Conversely, the strong summer limbs in the Bering and 501 Okhotsk Seas found in the model data are absent in the observations (Fig. 9c,d,e,f). Note 502

that the winter limb signal in the Bering and Okhotsk Seas should not be over-interpreted, as these domains are essentially sea-ice free during the summer and early fall. Therefore, the North Pacific winter limb lagged correlations are performed using an extremely low-variance signal, and are not robust.

#### <sup>507</sup> b. Sea-ice reemergence revealed via coupled NLSA

Given the non-trivial lagged correlation structures in the CCSM3 and HadISST sea-ice 508 datasets, we seek a low-dimensional representation of sea-ice reemergence via the coupled 509 NLSA modes obtained in Section 4. We aim to answer two main questions: (1) Can the 510 reemergence signal of the raw data be efficiently reproduced by low-dimensional families of 511 modes? (2) Can these mode families reveal possible mechanisms for Arctic sea-ice reemer-512 gence? To answer the former, we perform time-lagged pattern correlations using small 513 subsets of reconstructed spatiotemporal fields from coupled NLSA. Our approach here is to 514 first construct families of SIC modes, and then to augment these families with SST and SLP 515 modes, based on correlations. 516

#### 517 1) CCSM3 REEMERGENCE FAMILIES

Based on the associations between low-frequency and intermittent modes identified in 518 section 4a.1, we construct two families of SIC modes, each consisting of a low-frequency 519 mode and annual and semiannual intermittent modes. These families, which we refer to as 520  $\mathcal{F}_1^M$  and  $\mathcal{F}_2^M$ , are able to qualitatively reproduce the reemergence signal of the raw data. They 521 are given by  $\mathcal{F}_1^M = \{L_1^{\text{SIC}}, I_1^{\text{SIC}}, I_2^{\text{SIC}}, I_7^{\text{SIC}}, I_8^{\text{SIC}}\}$  and  $\mathcal{F}_2^M = \{L_2^{\text{SIC}}, I_3^{\text{SIC}}, I_4^{\text{SIC}}, I_9^{\text{SIC}}, I_{10}^{\text{SIC}}, I_{11}^{\text{SIC}}\}$ . 522 Here, the M superscript indicates that these families come from model output. Each family 523 is particularly active in the Barents-Kara, Bering, and Labrador Seas, but shares different 524 phase relationships between the different regions. Within each family, the low-frequency and 525 intermittent modes are closely related, in the sense that the low-frequency mode provides the 526

modulating envelope for the intermittent modes. This means that all modes in a given family 527 tend to be active or inactive at the same times. Note that similar envelope associations were 528 observed in the reemergence families identified in Bushuk et al. (2014), suggesting that this 529 approach may be useful in a broader context. Many other mode subsets were tested, but were 530 unable to reproduce the lagged correlation structure as effectively as these families, likely 531 because they lack the envelope relationships that characterize the families. Moreover,  $\mathcal{F}_1^M$ 532 and  $\mathcal{F}_2^M$  appear to be the minimal mode subsets, as smaller sets are unable to qualitatively 533 reproduce the reemergence signal. 534

In Fig. 10d, we show time-lagged pattern correlations computed over the Arctic domain 535 using NLSA family  $\mathcal{F}_1^M$ . Comparing with the time-lagged pattern correlation structure of the 536 raw data, shown in Fig. 10a, we observe qualitatively similar features. The  $\mathcal{F}_1^M$  correlations 537 have a clear summer limb structure, with correlations that decay to near zero over the 538 summer months and reemerge the following fall. They also have a slightly weaker winter 539 limb, which may correspond to the weaker fall-spring reemergence seen in the raw data. The 540  $\mathcal{F}_1^M$  correlations are substantially higher than the raw data correlations because the family's 541 activity is primarily governed by  $L_1^{\text{SIC}}$ , which has a decorrelation time of 3 years. 542

This NLSA family has a qualitatively similar correlation structure to the raw data, yet it 543 is natural to ask whether this family is capturing the portion of the signal responsible for the 544 summer limb in the raw data. As a method for addressing this question, we compute time-545 lagged cross correlations between the raw data and the NLSA subspaces, shown in Fig. 10b 546 and 10c. To explain panels b and c, we introduce LC(A, B), a function that computes time-547 lagged pattern correlations, with the dataset B lagging A. Using this notation, Fig. 10a 548 shows LC(Raw, Raw) and Fig. 10d shows  $LC(\mathcal{F}_1^M, \mathcal{F}_1^M)$ . In Fig. 10b and 10c, we plot 549  $LC(\operatorname{Raw}, \mathcal{F}_1^M)$  and  $LC(\mathcal{F}_1^M, \operatorname{Raw})$ , respectively. 550

If the reemergence signal of  $\mathcal{F}_1^M$  is not representative of the signal in the raw data, one would expect these cross correlations to be small. However, we observe strong summer limbs in panels 10b and 10c, similar to the correlation structure of the raw data. The fact that these panels are similar to panel 10a, indicates that family  $\mathcal{F}_1^M$  is capturing the portion of the data responsible for the sea-ice reemergence signal.

In Fig. 10e-h, we plot the same quantities as Fig. 10a-d, but for Family  $\mathcal{F}_2^M$ .  $LC(\mathcal{F}_2^M, \mathcal{F}_2^M)$ also has a strong summer limb and a weaker winter limb, but each of these limbs is weaker than their respective counterparts in  $LC(\mathcal{F}_1^M, \mathcal{F}_1^M)$ . Also,  $LC(\text{Raw}, \mathcal{F}_2^M)$  and  $LC(\mathcal{F}_2^M, \text{Raw})$ , plotted in Fig. 10f and 10g, shows partial summer limbs, but these correlations are weaker than the reemergence signal of the raw data. This indicates that family  $\mathcal{F}_2^M$  is capturing some of the reemergence signal, but not as significant a portion as family  $\mathcal{F}_1^M$ .

#### 562 2) HADISST REEMERGENCE FAMILIES

The observational modes also admit a mode family which is able to reproduce the reemer-563 gence signal of the raw HadISST data. This family is given by  $\mathcal{F}_1^O = \{L_1^{\text{SIC}}, I_1^{\text{SIC}}, I_2^{\text{SIC}}, I_6^{\text{SIC}}\},\$ 564 where the O indicates observational data. There is no clear second family which has non-565 trivial cross-correlations with the raw observational data. In Fig. 11 we plot time-lagged 566 cross correlations for  $\mathcal{F}_1^O$ .  $LC(\mathcal{F}_1^O, \mathcal{F}_1^O)$  has a clear summer limb and a weaker winter limb. 567 We also find a strong summer limb structure in  $LC(\text{Raw}, \mathcal{F}_1^O)$  and  $LC(\mathcal{F}_1^O, \text{Raw})$ , except for 568 a small gap in the limb for anomalies beginning in July. This indicates that the family  $\mathcal{F}_1^O$ 569 is capturing a substantial portion of the reemergence signal in the raw data. 570

#### <sup>571</sup> c. Variance explained by reemergence families

Another way to test the effectiveness of the families in capturing the reemergence signal is to directly subtract the families from the raw sea-ice data, and compute time-lagged pattern correlations on the resulting dataset. Fig. 12c shows  $LC(\text{Raw} - \mathcal{F}_1^M - \mathcal{F}_2^M, \text{Raw} - \mathcal{F}_1^M - \mathcal{F}_2^M)$ , and Fig. 12d shows  $LC(\text{Raw} - \mathcal{F}_1^O, \text{Raw} - \mathcal{F}_1^O)$ . Each of these has a clearly reduced summer limb relative to LC(Raw, Raw), which are shown in Fig. 12a for CCSM3 and Fig. 12b for HadISST. This demonstrates that the reemergence families are capturing a substantial <sup>578</sup> portion of the reemergence signal. In terms of total sea-ice area anomalies, family  $\mathcal{F}_1^M$ <sup>579</sup> explains 41%, 25%, and 8% of the variance in the Bering, Barents-Kara, and Labrador Seas, <sup>580</sup> respectively. Similarly,  $\mathcal{F}_2^M$  explains 18%, 1%, and 14% of the variance, and  $\mathcal{F}_1^O$  explains <sup>581</sup> 7%, 30%, and 18% of the variance, in these respective regions.

The variance explained by these families is lower if one considers the full (non-integrated) 582 sea-ice anomaly field. Over the full Arctic domain family  $\mathcal{F}_1^M$  explains 5% of the variance, 583  $\mathcal{F}_2^M$  explains 3% and  $\mathcal{F}_1^O$  explains 7%. While these values seem somewhat low, it is inter-584 esting to note that the leading two EOFs from CCSM3 capture 7% and 6% of the variance, 585 respectively. These values are lower than those typically reported in EOF studies for three 586 reasons: (1) the spatial domain is large; (2) there has been no temporal smoothing or averag-587 ing performed; and (3) the spatial resolution is relatively fine. For example, the leading EOF 588 of Deser et al. (2000) captures 35% of the sea-ice variance in the Arctic, but this is based on a 589 time series of winter mean sea-ice anomalies. This temporal averaging substantially smooths 590 the data, and the leading EOF captures variance more efficiently in this time-filtered dataset. 591 By contrast, the leading 10 EOFs of CCSM3 Arctic sea ice capture 38% of the variance. 592

The comparison with SSA, a variance greedy algorithm, is also illuminating. The leading 593 two SSA modes capture 2.5% and 2% of the variance, respectively, and the leading 10 594 non-periodic SSA modes capture 14% of the variance. By comparison, the leading 10 non-595 periodic NLSA modes capture 10% of the variance, which is modestly less than SSA. The 596 main reason for this discrepancy is that the intermittent modes of NLSA carry less variance 597 than low-frequency modes. Despite being low-variance, these intermittent modes are crucial 598 components of the reemergence families and illustrate an important point: low-variance 599 modes can play an important role in explaining dynamical phenomena. 600

#### 601 d. Temporal variability of sea-ice reemergence

To this point, all reported lagged correlations have been time-mean values, computed over the full time series. Next, we consider the time-dependent aspects of sea-ice reemergence.

Fig. 12e shows lagged correlations of the raw CCSM3 sea-ice data, conditional on the low-604 frequency modes of  $\mathcal{F}_1^M$  or  $\mathcal{F}_2^M$ ,  $L_1^{\text{SIC}}$  and  $L_2^{\text{SIC}}$ , being active. Specifically, we condition on 605 all times for which  $|L_1^{SIC}(t)| > 2$  or  $|L_2^{SIC}(t)| > 2$  (which corresponds to 11% of the data). 606 Similarly, Fig. 12f shows lagged correlations of the raw HadISST data conditional on the 607 low-frequency mode of  $\mathcal{F}_1^O$  being active  $(|L_1^{SIC}(t)| > 1.5)$ , which corresponds to 14% of the 608 data). We observe a clearly enhanced reemergence signal (both summer and winter limbs) 609 during times when these modes are active. Figs. 12g and 12h show lagged correlations 610 conditional on these modes being inactive  $(|L_1^{SIC}(t)| < 1 \text{ and } |L_2^{SIC}(t)| < 1 \text{ for CCSM3 and}$ 611  $|L_1^{SIC}(t)| < 1$  for HadISST). This corresponds to 45% and 59% of the data, respectively. In 612 both cases, particularly with CCSM3, we observe a diminished reemergence signal. These 613 results indicate that reemergence events have significant temporal variability, characterized 614 by regimes of quiescence and other regimes of intense activity. Another notable feature is the 615 robust initial decay of correlation for lags of 0–3 months. The most significant differences 616 between Figs. 12e, f and Figs. 12g, h occur at lags greater than 3 months, indicating that 617 reemergence events display more temporal variability than the initial decay of persistence. 618 Note that due to the shortness of observational record, the conditional correlations from 619 HadISST are less robust than those from CCSM3. 620

In CCSM3, about half of the record is characterized by a very weak reemergence signal (Fig. 12g), whereas other times exhibit strong reemergence (Fig. 12e). This may have important implications for sea-ice predictability, since predictability resulting from reemergence will have a strong temporal dependence, dependent on the strength of the reemergence signal at a given time. The results here also demonstrate the efficacy of certain low-frequency NLSA modes as predictors for the strength of reemergence events. Therefore, these modes could be a valuable addition to statistical sea-ice forecast models.

As another method to test the temporal variability of reemergence events, we measure the strength of the reemergence signal as a function of time. We define the reemergence strength as the sum of correlation values along the summer limb, compute this quantity for each year of the time series, and create a probability density function (PDF). The PDF (not shown here) is close to Gaussian, with a slight skew towards large reemergence events. If we let  $\mu$  be the mean of the PDF, we find that 23% of reemergence events are less that 0.5 $\mu$  and 23% of events are greater than 1.5 $\mu$ . This spread in event distribution demonstrates that reemergence strength fluctuates strongly in time.

This temporal characterization of Arctic reemergence events is a new result of this study, which was inaccessible in previous studies of reemergence based on time-lagged total area correlations. In the time-lagged pattern correlation methodology, correlations are performed space, rather than time, which allows for the temporal variations of these correlations to be studied. The mode families also allow for an investigation of the temporal variability of reemergence events and mechanisms, and this will be returned to in section 6c, ahead.

#### 642 e. SIC-SST-SLP reemergence families

<sup>643</sup> We have identified families of coupled NLSA SIC modes which are able to reproduce the <sup>644</sup> reemergence signal of the raw SIC data. Next, we focus on the spatiotemporal evolution <sup>645</sup> of these families, and their associated SST and SLP patterns. As noted earlier, there are <sup>646</sup> strong correlations between the temporal modes of SIC, SST, and SLP. We use this fact to <sup>647</sup> augment the families  $\mathcal{F}_1^M$ ,  $\mathcal{F}_2^M$ , and  $\mathcal{F}_1^O$  with associated SST and SLP modes.

The low-frequency mode of  $\mathcal{F}_1^M$  is  $L_1^{\text{SIC}}$ . Performing correlations between this mode and all low-frequency SST and SLP PCs, we find maximum correlations of -0.99 with  $L_1^{\text{SST}}$  and -0.69 with  $L_3^{\text{SLP}}$ . Similarly, for the  $L_2^{\text{SIC}}$  mode of  $\mathcal{F}_2^M$ , we find maximum correlations of -0.93 with  $L_2^{\text{SST}}$  and 0.64 with  $L_1^{\text{SLP}}$ . For the observational family,  $\mathcal{F}_1^O$ , we find that  $L_1^{\text{SIC}}$  has maximum correlations of 0.998 with  $L_1^{\text{SST}}$  and -0.81 with  $L_1^{\text{SLP}}$ . Note that the low-frequency mode correlations are higher between SIC and SST than between SIC and SLP, indicating that the temporal co-variability between SIC and SST is somewhat stronger.

Each family consists of a low-frequency mode and associated annual and semiannual intermittent modes. In order to form the augmented families, we identify the intermittent <sup>657</sup> modes associated with the low-frequency SST and SLP modes identified above. Based on <sup>658</sup> the envelope correlations shown in Fig. 6, we define the following augmented families:

$$\begin{aligned} \mathcal{F}_{1}^{M} &= \{L_{1}^{\text{SIC}}, I_{\{1,2,7,8\}}^{\text{SIC}}, L_{1}^{\text{SST}}, I_{\{2,3,8,9\}}^{\text{SST}}, L_{3}^{\text{SLP}}, I_{\{7,8\}}^{\text{SLP}}\}, \\ \mathcal{F}_{2}^{M} &= \{L_{2}^{\text{SIC}}, I_{\{3,4,9,10,11\}}^{\text{SIC}}, L_{2}^{\text{SST}}, I_{\{5,6,11\}}^{\text{SST}}, L_{1}^{\text{SLP}}, I_{\{1,2,9,10\}}^{\text{SLP}}\} \\ \mathcal{F}_{1}^{O} &= \{L_{1}^{\text{SIC}}, I_{\{1,2,5,6\}}^{\text{SIC}}, L_{1}^{\text{SST}}, I_{\{1,2,7,8\}}^{\text{SST}}, L_{1}^{\text{SLP}}, I_{\{1\}}^{\text{SLP}}\}. \end{aligned}$$

<sup>659</sup> Here, the intermittent mode indices are given in braces for each variable.

# 660 6. Sea-ice reemergence mechanisms

#### 661 a. SST-sea-ice reemergence mechanism

We now examine the sea-ice reemergence mechanisms suggested by the SIC-SST-SLP families defined above. Bushuk et al. (2014) showed that low-dimensional families of NLSA modes produce an SST-sea-ice reemergence mechanism in the North Pacific sector which is consistent with that proposed by Blanchard-Wrigglesworth et al. (2011). Can a similar mechanism be observed in Arctic NLSA modes? In both the model and observations, the answer is yes.

Figure 13 shows spatial reconstructions of SIC, SST, and SLP using family  $\mathcal{F}_1^M$ . These spatial patterns are composites, produced by averaging over all times where  $L_1^{\text{SIC}}(t) > 1$ (which corresponds to 17% of the data). Similar patterns, with opposite sign, are obtained by compositing over times when  $L_1^{\text{SIC}}(t)$  is in negative phase. This figure shows four months of the year, but the time evolution of  $\mathcal{F}_1^M$ , shown in Movie 5 of the supplementary material, is much more illuminating.

In the winter months of January–March, we observe strong negative sea-ice anomalies in the Barents Sea and strong positive anomalies in the Bering and Labrador Seas. These anomalies reach their maximum southerly extent in March. We observe SST anomalies of opposite sign, which are roughly spatially coincident with the sea-ice anomalies, but also extend further south in each of the three seas. Note that in March the Kara sea, the northern Bering Sea and the northern Labrador Sea are all SST anomaly-free. The ice anomalies move northward and weaken over the melt season, which begins in April. In June, the ice anomalies in the Barents-Kara region are located primarily in the Kara sea. Also, the Bering and Labrador anomalies have moved into the northern parts of these seas and weakened substantially.

As the ice anomalies move northward, they imprint an anomaly of opposite sign in the 684 SST field. In particular, the previously anomaly-free Kara and northern Bering and Labrador 685 Seas now have strong SST anomalies. The ice continues to retreat northwards over the melt 686 season, reaching its minimum extent in September. In September the sea-ice anomalies 687 are extremely weak in the Barents-Kara, Bering, and Labrador Seas, yet each of these seas 688 has retained an SST anomaly. The SST anomaly retained in the Barents-Kara and Bering 689 Seas is particularly strong, with a weaker anomaly in the Labrador Sea. As the growth 690 season begins, the ice moves southward, interacts with the SST anomalies that have been 691 stored over the summer months, and reinherits anomalies of the same sign as the previous 692 spring. In December, we observe that most of the summer imprinted SST anomalies have 693 disappeared, and the sea-ice anomalies have reemerged with the same sign as the spring 694 anomalies. This reemergence family is typically active for a 2-8 year period, during which 695 we observe reemerging sea-ice anomalies of a consistent sign (see Movie 5). 696

<sup>697</sup> We observe a similar SST-sea-ice reemergence mechanism in family  $\mathcal{F}_2^M$ , shown in Fig. 14 <sup>698</sup> and Movie 6 of the supplementary material. This figure is based on a composite over all <sup>699</sup> times in which  $L_2^{\text{SIC}} > 1$  (which corresponds to 16% of the data). This family exhibits <sup>700</sup> strong winter sea-ice anomalies in the Bering and Labrador Seas, which are out of phase <sup>701</sup> with each other. These anomalies disappear over the melt season, leaving an SST imprint in <sup>702</sup> the northern parts of these seas in June and September. We observe a sea-ice reemergence <sup>703</sup> during the growth season, as the SST anomalies are converted into ice anomalies. This <sup>704</sup> family does not have a strong signal in the Barents-Kara Seas.

The observational family,  $\mathcal{F}_1^O$ , displays a clear sea-ice reemergence, which is active pri-705 marily in the Barents-Kara, Bering, Okhotsk, Labrador, and Greenland Seas (Movie 7). This 706 family, shown for the year 1991 in Fig. 15, also displays the SST-sea-ice reemergence mech-707 anism, but in a slightly less clean manner than the model output.  $\mathcal{F}_1^O$  has positive winter 708 sea-ice anomalies in the Bering and Labrador Seas, and negative anomalies in the Barents-709 Kara, Greenland and Okhotsk Seas. The family has winter SST anomalies of opposite sign 710 to these sea-ice anomalies, which extend southward of the sea-ice anomalies. Comparing the 711 March panels to the June and September panels, an SST imprinting can be observed in the 712 Barents-Kara Sea and, to a lesser extent, the Labrador and Bering Seas. Sea-ice anomalies 713 of the same sign reappear in the fall, and this pattern roughly repeats the following year. 714

The reemergence families are able to capture the SST-sea-ice mechanism of Blanchard-Wrigglesworth et al. (2011), previously only accessible via time correlation analysis of raw sea-ice and SST fields. This mode-based representation of reemergence allows one to track the temporal variability and strength of the SST-sea-ice reemergence mechanism, as will be done ahead in section 6c. Also, the low-dimensionality of these families has implications for predictability, since a small number of predictors (specifically, the low-frequency modes of the families) define the amplitude and sign of reemergence events.

#### <sup>722</sup> b. Sea-ice teleconnections and reemergence via low-frequency SLP variability

<sup>723</sup> Movies 5-7 reveal consistent phase relationships between sea-ice anomalies in the Barents-<sup>724</sup> Kara, Bering, and Labrador Seas. The SST mechanism described above provides a local <sup>725</sup> mechanism for sea-ice reemergence, but does not explain this phase-locking between geo-<sup>726</sup> graphically disconnected seas. We find that the SLP patterns of  $\mathcal{F}_1^M$ ,  $\mathcal{F}_2^M$ , and  $\mathcal{F}_1^O$  (shown <sup>727</sup> in the third column of Figs. 13, 14, and 15) provide pan-Arctic scale teleconnections between <sup>728</sup> these different regions.

We begin with family  $\mathcal{F}_1^M$  (Fig. 13), which has an SLP pattern closely resembling the DA. This pattern is characterized by four main centers of action: pressure anomalies of the same sign over Greenland and Northwest North America and opposite-signed anomalies over Western Russia and Eastern Siberia. The geostrophic winds associated with this SLP pattern are primarily meridional, blowing across the Arctic from the Bering to the Barents-Kara Seas, or vice versa. We find that the ice advection and surface air temperature advection associated with these large-scale winds is consistent with the observed phase relationships in regional sea-ice anomalies.

From January–March, the dipole anomaly is very active, with strong northerly winds 737 over the Bering Sea and strong southerly winds over the Barents-Kara Seas. The northerly 738 winds advect cold Arctic air over the Bering Sea and also push the ice edge southwards 739 and advect additional ice into the sea. Each of these effects encourages the formation of 740 a positive sea-ice anomaly in the Bering Sea. Similarly, the Barents-Kara Seas experience 741 warm southerly winds, which melt additional ice, and also push the ice edge northward, 742 contributing to the observed negative sea-ice anomaly. Also, the SLP anomaly centered 743 over Greenland produces northerly geostrophic winds over the Labrador Sea, contributing 744 to its positive sea-ice anomalies for the same reasons. The SLP anomalies and corresponding 745 winds weaken substantially over the summer months, as do the sea-ice anomalies in each 746 of these regions. In October, the SLP anomalies begin to reappear with the same sign and 747 a similar spatial pattern to the previous winter. This coincides with the beginning of the 748 sea-ice growth season and the reemergence of ice anomalies from the previous spring. In 749 December, we observe a strong dipole SLP anomaly, and, again, observe sea-ice anomalies 750 in the Bering, Barents-Kara and Labrador Seas, which are physically consistent with this 751 pattern. 752

Besides explaining the observed teleconnection in sea-ice anomalies, these SLP patterns also suggest an SLP-sea-ice reemergence mechanism via their winter-to-winter regime persistence.  $L_3^{\text{SLP}}$ , the low-frequency SLP mode of  $\mathcal{F}_1^M$ , has a strong one-year autocorrelation of 0.70. Because SLP anomalies produce a significant sea-ice response, recurring SLP patterns will produce recurring sea-ice patterns. Thus, the observed winter-to-winter persistence of T58 the SLP patterns of  $\mathcal{F}_1^M$  provides a candidate mechanism for sea-ice reemergence.

As mentioned earlier in section 4c, the SLP patterns of  $\mathcal{F}_1^M$  represent a low-pass filtered 759 version of the full atmospheric signal. The SLP patterns of  $\mathcal{F}_1^M$  should be thought of as a 760 slowly evolving atmospheric circulation regime, rather than a snapshot of the full SLP field 761 at each point in time. For example, the temporal evolution of the full SLP field is similar to 762 the time series of  $PC_1^{SLP}$  in Fig. 8, whereas the SLP patterns of  $\mathcal{F}_1^M$  are similar to the low-pass 763 filtered PC (red curve in Fig. 8). It is the persistence of the atmospheric circulation regime 764 of  $\mathcal{F}_1^M$  that provides a plausible mechanism for sea-ice reemergence. Sea-ice anomalies are 765 known to have a persistence of 2-5 months (Blanchard-Wrigglesworth et al. 2011), therefore 766 the sea-ice anomalies at a given time represent an integrated response to earlier atmospheric 767 and oceanic forcing. Given this, one would expect that sea-ice anomalies are not strongly 768 dependent on the chaotic month-to-month fluctuations of the atmosphere, but are more 769 dependent on a temporally smoothed version of this fluctuating field. Therefore, the low-770 pass filtered SLP patterns of  $\mathcal{F}_1^M$  provide a plausible physical link between atmospheric 771 and sea-ice variability. The study of Blanchard-Wrigglesworth et al. (2011) dismisses SLP 772 persistence as a source of sea-ice reemergence because of the low one-month autocorrelation 773 of the SLP pattern that best explains changes in sea-ice extent. Here, we argue that the 774 low-frequency component of similar SLP patterns may play an important role in sea-ice 775 reemergence. 776

Similar relationships between sea-ice and SLP anomalies are also observed in family  $\mathcal{F}_2^M$ 777 (Fig. 14), which has an annular SLP pattern resembling the AO, and a one-year autocorre-778 lation of 0.41. Similar to  $\mathcal{F}_1^M$ , these SLP patterns are strongly active over the winter months 779 (October–March), and fairly inactive over the summer months. The geostrophic winds of 780 this pattern are primarily zonal, but also have a meridional component, which affects sea 781 ice via surface air temperature advection. In January–March, there are northeasterly winds 782 over the Bering Sea, southeasterly winds over Labrador Sea, and northeasterly winds over 783 the Barents-Kara Seas, with corresponding positive, negative, and positive sea-ice anoma-784

lies, respectively. The SLP anomalies become small over the summer months, and reappear
during the fall months with the same sign as the previous winter. With the reappearance
of these SLP anomalies, we observe an ice reemergence, which is particularly strong in the
Bering and Labrador Seas.

The relationship between SLP and sea ice is somewhat less clear in the observations than 789 in the model. Column three of Fig. 15 shows the  $\mathcal{F}_1^O$  SLP patterns for 1991, a year when the 790 family was active. In January–March, there is an AO-like SLP pattern producing northerly 791 winds over the Labrador Sea and southerly winds over the Barents-Kara Seas. We observe 792 corresponding positive and negative sea-ice anomalies in these seas, analogous to what was 793 observed in  $\mathcal{F}_2^M$ . However, the SLP patterns differ in the North Pacific. There is mini-794 mal advection over the Bering Sea, as a high-pressure anomaly is centered directly over it. 795 This anomaly produces southerly winds over the Sea of Okhotsk, which are consistent with 796 the negative sea-ice anomaly. On the other hand, the SLP patterns do not provide a clear 797 explanation, in terms of meridional wind, for the positive Bering sea-ice anomalies. Com-798 pared to  $\mathcal{F}_2^M$ , these SLP patterns do not decorrelate as strongly over the summer months, 799 and a negative SLP anomaly is retained over the pole, which also shifts onto the Eurasian 800 continent over the summer months. The anomaly strengthens during the fall, producing 801 similar winds and sea-ice patterns to the previous winter. One notable difference between 802 the observational and model SLP families is the spatial stationarity of the SLP patterns. 803 The SLP patterns of  $\mathcal{F}_1^M$  and  $\mathcal{F}_2^M$  are relatively fixed in space and pulse on and off with the 804 annual cycle. The  $\mathcal{F}_1^O$  SLP patterns also pulse with the annual cycle, yet are transient in 805 space. The SLP centers-of-action advect substantially over the course of a year. 806

Given the seemingly similar sea-ice anomalies of  $\mathcal{F}_1^M$  and  $\mathcal{F}_1^O$ , a natural question is why these families have such different atmospheric patterns. A closer analysis of the sea-ice variability of each family reveals clear differences between the two. For each family, we compute the proportion of sea-ice variance in a given region, relative to the variance of the full Arctic domain. We find that  $\mathcal{F}_1^M$  contains 24% of its variance in the Bering Sea, 22% <sup>812</sup> in the Barents-Kara Seas, and 8% in the Labrador Sea. Conversely,  $\mathcal{F}_1^O$  contains 5% of <sup>813</sup> its variance in the Bering Sea, 35% in the Barents-Kara Seas, and 14% in the Labrador <sup>814</sup> Sea. Therefore, the dominant sea-ice feature of  $\mathcal{F}_1^M$  is the dipole between the Bering and <sup>815</sup> Barents-Kara Seas, whereas the dominant feature of  $\mathcal{F}_1^O$  is the dipole between the Labrador <sup>816</sup> and Barents-Kara Seas. The corresponding atmospheric circulation patterns of each family <sup>817</sup> act to reinforce these dominant sea-ice anomalies, and have significantly different spatial <sup>818</sup> patterns.

It should be noted that the data analysis approach employed here is capable of identifying 819 correlation, but not causality. In particular, we have not quantified the relative importance 820 of the ocean and the atmosphere in producing sea-ice reemergence. Also, we have identified 821 SLP modes with interannual to decadal variability, but have not provided a mechanism for 822 this observed variability. We speculate that, rather than intrinsic atmospheric variability, 823 this low-frequency variability of the atmosphere results from SST or sea-ice forcing. The 824 generation of low-frequency atmospheric variability has been widely studied, with many 825 authors suggesting that extratropical and tropical SST anomalies are capable of driving 826 low-frequency variability in the atmosphere (Lau and Nath 1990; Latif and Barnett 1994; 827 Trenberth and Hurrell 1994; Weng and Neelin 1998; Selten et al. 1999; Robertson et al. 2000; 828 Kushnir et al. 2002; Czaja and Frankignoul 2002). Other authors (e.g., Mysak and Venegas 829 1998), have suggested that sea-ice anomalies could drive low-frequency atmospheric patterns, 830 but modeling studies have suggested that the atmospheric response is quite weak compared 831 with the typical magnitude of atmospheric anomalies (Alexander et al. 2004; Magnusdottir 832 et al. 2004). Therefore, we speculate that anomalous SST forcing is the most likely candidate 833 for the observed low-frequency SLP patterns, but more study is required on this problem. 834 These unanswered questions could be investigated in a future study involving a hierarchy of 835 GCM experiments. 836

#### <sup>837</sup> c. Metrics for sea-ice reemergence

We now establish a set of reemergence metrics for sea ice, SST, and SLP, by which one 838 can judge the activity of sea-ice reemergence and associated mechanisms in different regions. 839 These metrics, computed for the reconstructed fields of each family, quantify the intensity 840 and sign of ice reemergence events. We focus on the values of these metrics in the Bering, 841 Barents-Kara, and Labrador Seas. The sea-ice metric is defined as the integrated (area-842 weighted) SIC anomaly in a given region. We define the SLP metric as the maximum value 843 of the meridional geostrophic wind over a given region. This is a proxy for the amount of 844 warm/cold air advection and northward/southward ice advection over a given region. The 845 SST metric is defined as the integrated (area-weighted) SST anomalies in the portion of the 846 seas that are imprinted by summer SST anomalies. Specifically, we compute the integrated 847 SST anomalies in the Kara sea  $(75^{\circ}E - 100^{\circ}E \text{ and } 65^{\circ}N - 80^{\circ}N)$ , the northern Bering Sea 848  $(165^{\circ}E - 160^{\circ}W \text{ and } 60^{\circ}N - 65^{\circ}N)$ , and the northern Labrador Sea  $(70^{\circ}W - 40^{\circ}W \text{ and } 60^{\circ}N)$ 849  $-80^{\circ}$ N). It is helpful to compare the metrics, plotted in Figs. 16–18, to Movies 5–7 which 850 show the dynamical evolution of the corresponding fields for the same time period. 851

Figure 16 shows  $\mathcal{F}_1^M$  metrics for 100 years of model output. We observe a number 852 of reemergence events, characterized by periods in which the sea-ice metric is large, with 853 consistent sign, over a number of successive winters. For example, notable periods of active 854 reemergence occur during years 101-106, 128-131, 146-155, and 175-179. The sea-ice phase 855 relationships for this family are striking, with strong positive correlation (0.95) between the 856 Bering and the Labrador Seas and strong anti-correlation (-0.95) between the Bering and 857 Barents-Kara Seas. The SST metric reveals the SST-sea-ice reemergence mechanism, as years 858 with large ice metrics have large SST metrics of the opposite sign (note the anti-correlation 859 of like-colored curves in panels A and B). During reemergence events, the SST metrics are 860 close to zero in the winter months and grow large in the summer months as the sea-ice 861 anomalies imprint the SST field. These SST metrics also show a clear in-phase relationship 862 between the Bering and Labrador Seas and out-of-phase relationship between the Bering and 863

Barents-Kara Seas. The SLP metric is clearly out-of-phase with the sea-ice metric, which illustrates the sea ice-SLP reemergence mechanism, since positive (negative) meridional wind anomalies produce negative (positive) sea-ice anomalies. During reemergence events, in the Bering and Labrador Seas, we observe that the SLP metric is large over the winter and close to zero over the summer. In the Barents-Kara Sea, we observe more persistence, as the family maintains its wind anomalies throughout an entire reemergence event.

Figure 17 shows the metrics for family  $\mathcal{F}_2^M$ . Again, we observe very strong phase relation-870 ships in sea-ice anomalies, with in-phase anomalies between the Barents-Kara and Bering 871 Seas and out-of-phase anomalies between the Bering and Labrador Seas. The SST metric 872 displays strong SST-sea-ice reemergence mechanisms in the Labrador and Bering Seas. Also, 873 as noted in section 5c, there is not a clear SST-sea-ice mechanism in the Barents-Kara Sea. 874 The SLP metric has a strong signal in the Labrador Sea, which is large in winter and small 875 in summer, and out-of-phase with the sea-ice anomalies. The SLP-sea-ice mechanism is less 876 strong in the Barents-Kara and Bering Seas, yet we do observe persistent wind anomalies 877 which are out-of-phase with the sea-ice anomalies. 878

<sup>879</sup> We show metrics for  $\mathcal{F}_1^O$  in Fig. 18. This family exhibits a strong SST-sea-ice reemergence <sup>880</sup> mechanism in the Barents-Kara Sea. The SST signal is very weak in the Bering Sea, and <sup>881</sup> in the Labrador Sea it tends to persist over periods of reemergence, rather than being <sup>882</sup> imprinted each summer. The wind anomalies in the Labrador and Barents-Kara Seas are <sup>883</sup> consistent with the sea ice-SLP reemergence mechanism. As noted earlier, the Bering Sea <sup>884</sup> wind anomalies are not consistent with the sea-ice anomalies. Rather, we observe that the <sup>885</sup> wind anomalies are inconsistent (in-phase) with the sea-ice anomalies.

#### <sup>886</sup> d. Regional sea-ice relationships conditional on SLP modes

The reemergence families suggest a number of sea-ice teleconnections which are related to large-scale SLP patterns. Are these regional teleconnections visible in the raw SIC data? Are the teleconnections strengthened by conditioning on certain low-frequency SLP modes <sup>890</sup> being active? To answer these questions, we select pairs of regions and compute lagged cross-<sup>891</sup> correlations in total sea-ice area anomalies of the raw data between these regions. Note that <sup>892</sup> the cross-correlations are obtained by computing a time series of sea-ice area anomalies for <sup>893</sup> each region, and performing lagged correlations between these two time series. Our choice <sup>894</sup> of regions and SLP modes is guided by the reemergence families. We consider the regions <sup>895</sup> and SLP pattern that display the strongest teleconnection for each family.

The results are shown in Fig. 19, for months of the year with sea-ice coverage in the 896 marginal ice zones (December–May) and for lags of -23 to 23 months. Panels A and B show 897 lagged cross-correlations between the Barents-Kara and Bering Seas for the raw CCSM3 898 data and conditional on  $|L_3^{\text{SLP}}| > 1.5$  (corresponds to 14% of the data), respectively. This 899 corresponds to the primary teleconnection of  $\mathcal{F}_1^M$ . All correlations plotted in color are 900 significant at the 95% level, based on a t-distribution statistic. In the raw data, we observe 901 negative correlations between the Bering and Barents-Kara Seas, which are strongest at lags 902 of -6 to 6 months. There is a dramatic strengthening of these negative correlations when 903 conditioned on an active  $L_3^{\text{SLP}}$  mode (the DA mode). We also observe that the correlations 904 are more persistent when the DA mode is active. Another interesting feature is the clear 905 bias in correlations towards lags in which Bering anomalies lead Barents-Kara anomalies. 906 The analogous correlations, corresponding to family  $\mathcal{F}_2^M$ , are shown in panels C and D for 907 the Labrador and Bering Seas and for SLP mode  $L_1^{\text{SLP}}$  (the AO mode). These correlations 908 are very small compared with panels A and B. The raw data displays very little correlation 909 structure and weak correlations, that are primarily negative, emerge after conditioning on 910 the AO mode. It should be noted that the limb of negative correlations, with Bering lagging 911 Labrador, corresponds to summer sea-ice anomalies in the Bering Sea, which are extremely 912 weak. Therefore, this limb has questionable significance. 913

Panels E and F show cross-correlations between the Barents-Kara and Labrador Seas for the HadISST dataset, conditional on  $|L_1^{\text{SLP}}| > 1$  (corresponds to 35% of the data). Note that we use a value of 1 rather than 1.5 for the conditional correlations because of the

shortness of the observational time series. Also, the shortness of the time series implies a 917 higher 95% significance level for correlations. We plot correlations using the same colorbar 918 as CCSM3 and simply white-out all correlations which are not significant at the 95% level. 919 The raw data displays some negative correlation, but a dramatic strengthening is observed 920 when conditioning on an active AO mode. The limb of white in panel F, extending from 921 (May, +3) to (Dec, +9) corresponds to lagged correlations with summer months. At lags 922 beyond this limb, we observe strong negative correlations. This feature is a reemergence of 923 anti-correlation between the Barents-Kara and Labrador Seas. The reemergence structure is 924 less clear for negative lags, where the Labrador leads the Barents-Kara, however we generally 925 observe anti-correlation between the two seas, which is significantly stronger than the raw 926 data. 927

# 928 7. Conclusions

We have studied Arctic sea-ice reemergence (Blanchard-Wrigglesworth et al. 2011) in a 929 comprehensive climate model and observations. This study has documented the regional 930 and temporal details of sea-ice reemergence and illustrated two potential reemergence mech-931 anisms, involving SST and SLP persistence, respectively. We have used coupled NLSA (Gi-932 annakis and Majda 2012b, 2013; Bushuk et al. 2014), a nonlinear data analysis technique for 933 multivariate timeseries, to analyze the co-variability of Arctic SIC, SST, and SLP. Coupled 934 NLSA was applied to a 900-year equilibrated control integration of CCSM3, yielding spa-935 tiotemporal modes, analogous to EEOFs, and temporal patterns, analogous to PCs. Modes 936 were also extracted from 34 years of observational data, using SIC and SST observations from 937 HadISST and SLP reanalysis from ERA-Interim. In both the model and observations, these 938 NLSA modes capture three distinct types of temporal behavior: periodic, low-frequency, 939 and intermittent variability. The low-frequency modes have spatial patterns that closely 940 resemble the leading EOFs of each variable. In particular, the low-frequency SLP modes 941

<sup>942</sup> correlate strongly with the well-known Arctic Oscillation (AO, Thompson and Wallace 1998)
<sup>943</sup> and Arctic Dipole Anomaly (DA, Wu et al. 2006) patterns of SLP variability. The temporal
<sup>944</sup> patterns of the low-frequency SLP modes, obtained here without any preprocessing of the
<sup>945</sup> raw data, closely resemble a low-pass filtered version of the corresponding PCs obtained via
<sup>946</sup> EOF analysis.

Performing time-lagged pattern correlations, we have found clear pan-Arctic sea-ice 947 reemergence signals in the model and observations. The lagged pattern correlation approach 948 employed in this study reveals a stronger reemergence signal in observations than previous 949 studies on reemergence (Blanchard-Wrigglesworth et al. 2011; Day et al. 2014). Using cou-950 pled NLSA modes, we have found low-dimensional families that are able to reproduce the 951 reemergence signal of the raw SIC data. Intriguingly, these families explain a relatively small 952 portion of the raw SIC variance, yet when removed from the raw data the resulting signal 953 exhibits significantly weaker reemergence. Moreover, the associated SST and SLP patterns 954 of these families demonstrate two possible reemergence mechanisms, consistent with those 955 proposed by Blanchard-Wrigglesworth et al. (2011) and Deser et al. (2002). The SST-sea-ice 956 reemergence mechanism, in which spring sea-ice anomalies are imprinted and stored as sum-957 mer SST anomalies, is clearly active in the Barents-Kara, Bering, and Labrador Seas. The 958 SLP-sea-ice mechanism, in which sea-ice anomalies reemerge due to the winter-to-winter 959 persistence of low-pass filtered SLP anomalies (atmospheric regimes), is also observed in 960 these regions, with the exception of the Bering Sea in the observational record. 961

A key finding of this study is that these reemergence patterns are part of a pan-Arctic scale organization involving SLP teleconnection patterns. In particular, we have found strong phase relationships between sea-ice reemergence events in geographically distinct regions. Unable to explain this teleconnection in terms of purely local SST anomalies, we find clear relationships between regional sea-ice anomalies and large-scale SLP variability. In CCSM3, an out-of-phase relationship between the Bering/Labrador and Barents-Kara Seas is found to be consistent with the phase and amplitude of the DA mode. Similarly, an out-of-phase relationship between the Bering/Barents-Kara and Labrador Seas is found to be consistent with the phase and amplitude of the AO mode. In observations, the AO mode is able to explain the strong out-of-phase anomalies of the Barents-Kara and Labrador Seas, but cannot explain the weaker anomalies of the Bering Sea. These regional phase relationships are weakly visible in the raw SIC data, and are significantly strengthened by conditioning on an appropriate SLP mode (the AO or DA) being active.

Another key aspect of this study is the regional and temporal characterization of sea-975 ice reemergence. We have identified significant regional differences in reemergence between 976 the model and observations, particularly in the Labrador Sea and the North Pacific sec-977 tor, despite their pan-Arctic agreement. We have also found that reemergence events and 978 mechanisms have significant temporal variability, and that the low-frequency modes of the 979 reemergence families act as effective predictors of periods of active or quiescent reemergence. 980 A set of reemergence metrics has been created, by which one can judge the strength and 981 sign of sea-ice reemergence events, and the associated SST and SLP mechanisms. 982

In this study, we have demonstrated two plausible mechanisms for sea-ice reemergence, involving the atmosphere and the ocean, but which mechanism is most crucial in producing ice reemergence? Is sea-ice reemergence a fully coupled phenomenon, or does it also occur in more idealized situations? This data analysis study has identified correlation, but not causation. An interesting subject for future work would be to perform a suite of coupled model experiments to study this question of causality.

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### 1154 List of Figures

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<sup>1195</sup> 9 Time lagged pattern correlations of Arctic sea ice in different regions. The <sup>1196</sup> left column shows results from CCSM3 model output, and the right column <sup>1197</sup> shows results from HadISST observations. All colored boxes are significant at <sup>1198</sup> the 95% level, based on a t-test.

<sup>1199</sup> 10 Time lagged pattern correlations of sea ice computed over the Arctic domain, <sup>1200</sup> using NLSA Familes  $\mathcal{F}_1^M$  and  $\mathcal{F}_2^M$ . Panels (A) and (D) show correlations of the <sup>1201</sup> raw data and  $\mathcal{F}_1^M$ , respectively. Panels (B) and (C) show cross-correlations of <sup>1202</sup>  $\mathcal{F}_1^M$  and the raw data, with the NLSA data lagging and leading, respectively. <sup>1203</sup> The same correlations for  $\mathcal{F}_2^M$  are shown in panels (E)-(H). All colored boxes <sup>1204</sup> are significant at the 95% level.

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FIG. 1. The regions of interest in this study: the Barents-Kara Seas (BK), the Labrador Sea (LS), the Greenland Sea (GS), the Bering Sea (BER), and the Sea of Okhotsk (OK). The Arctic domain is defined as all grid points north of 45°N.



FIG. 2. Schematic summarizing the flow of data in the coupled NLSA algorithm.



FIG. 3. Snapshots of the time series, power spectral density, and autocorrelation functions for the CCSM3 SIC PCs  $(v_k)$  from coupled NLSA. Shown here for 50-year portions of the 900-yr time series are the annual periodic  $(P_1^{\text{SIC}})$  and semiannual periodic  $(P_3^{\text{SIC}})$  modes, low-frequency modes  $(L_1^{\text{SIC}} \text{ and } L_2^{\text{SIC}})$ , annual intermittent modes  $(I_1^{\text{SIC}} \text{ and } I_3^{\text{SIC}})$ , and semiannual intermittent modes  $(I_7^{\text{SIC}} \text{ and } I_9^{\text{SIC}})$ . The autocorrelation vertical scale is [-1,1]. The power spectral densities  $(f_k)$  were estimated via the multitaper method with time-bandwidth product p = 6 and K = 2p - 1 = 11 Slepian tapers. The effective half-bandwidth resolution for the *s* monthly samples is  $\Delta \nu = p/(s\delta t) = 1/150 \text{ y}^{-1}$ , where  $\delta t = 1/12$  y is the sampling interval.



FIG. 4. Snapshots of the time series, power spectral density, and autocorrelation functions for the CCSM3 SST PCs from coupled NLSA. Shown here are the annual periodic  $(P_1^{\text{SST}})$  and semiannual periodic  $(P_3^{\text{SST}})$  modes, low-frequency modes  $(L_1^{\text{SST}}, L_2^{\text{SST}}, \text{ and } L_3^{\text{SST}})$ , annual intermittent modes  $(I_1^{\text{SST}} \text{ and } I_3^{\text{SST}})$ , and semiannual intermittent modes  $(I_7^{\text{SST}})$ . The autocorrelation vertical scale is [-1,1].



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FIG. 6. Correlations between low-frequency modes and envelope functions for intermittent modes. Mode pairs with large positive correlations indicate that the low-frequency mode provides the modulating envelope for the intermittent mode.



FIG. 7. Spatial patterns of selected sea ice, SST, and SLP NLSA modes. For each mode, we plot the spatial pattern with largest variance (of the q spatial patterns that make up the spatiotemporal pattern). Rows 1-3 show CCSM3 modes and row 4 shows observational modes, indicated by an O subscript. The fields have been normalized to have a maximum absolute value of 1.



FIG. 8. Snapshots of the time series, power spectral density, and autocorrelation functions for  $L_1^{\text{SLP}}$ , the leading low-frequency NLSA mode from CCSM3,  $\text{PC}_1^{\text{SLP}}$ , the principal component corresponding to the leading EOF of SLP, and  $\langle \text{PC}_1^{\text{SLP}} \rangle$ , a low-pass filtered version of  $\text{PC}_1^{\text{SLP}}$ , computed by taking a 24 month running mean. The red curve is  $\langle \text{PC}_1^{\text{SLP}} \rangle$  plotted on top of  $\text{PC}_1^{\text{SLP}}$ . Note that the  $\langle \text{PC}_1^{\text{SLP}} \rangle$  time series shown in the third row has been normalized to have a standard deviation of 1.



FIG. 9. Time lagged pattern correlations of Arctic sea ice in different regions. The left column shows results from CCSM3 model output, and the right column shows results from HadISST observations. All colored boxes are significant at the 95% level, based on a *t*-test.



FIG. 10. Time lagged pattern correlations of sea ice computed over the Arctic domain, using NLSA Familes  $\mathcal{F}_1^M$  and  $\mathcal{F}_2^M$ . Panels (A) and (D) show correlations of the raw data and  $\mathcal{F}_1^M$ , respectively. Panels (B) and (C) show cross-correlations of  $\mathcal{F}_1^M$  and the raw data, with the NLSA data lagging and leading, respectively. The same correlations for  $\mathcal{F}_2^M$  are shown in panels (E)-(H). All colored boxes are significant at the 95% level.



FIG. 11. Time lagged pattern correlations of sea ice computed over the Arctic domain, using HadISST Family  $\mathcal{F}_1^O$ . Panels (A) and (D) show correlations of the raw data and NLSA Family  $\mathcal{F}_1^O$ , respectively. Panels (B) and (C) show cross-correlations of  $\mathcal{F}_1^O$  and the raw data, with the NLSA data lagging and leading, respectively. All colored boxes are significant at the 95% level.



FIG. 12. Time lagged patterns correlations of sea ice computed over the Arctic domain. Lagged correlations for CCSM3 data are shown for: (A) the raw data, (C) Raw  $-\mathcal{F}_1^M - \mathcal{F}_2^M$ , (E) conditional on  $|L_1^{\text{SIC}}(t)| > 2$  or  $|L_2^{\text{SIC}}(t)| > 2$  (which corresponds to 11% of the data) and (G) conditional on  $|L_1^{\text{SIC}}(t)| < 1$  and  $|L_2^{\text{SIC}}(t)| < 1$  (45% of the data). HadISST lagged correlations are shown for: (B) the raw data, (D) Raw $-\mathcal{F}_1^O$ , (F) conditional on  $|L_1^{SIC}(t)| > 1.5$ (which corresponds to 14% of the data) and (H) conditional on  $|L_1^{SIC}(t)| < 1$  (59% of the data).



<sup>64</sup> FIG. 13. Sea ice, SST, and SLP patterns of CCSM3 reemergence Family  $\mathcal{F}_1^M$  at different months of the year. These spatial patterns are composites, obtained by averaging over all years in which  $L_1^{\text{SIC}} > 1$ .



<sup>65</sup> FIG. 14. Sea ice, SST, and SLP patterns of CCSM3 reemergence Family  $\mathcal{F}_2^M$  at different months of the year. These spatial patterns are composites, obtained by averaging over all years in which  $L_2^{\text{SIC}} > 1$ .



FIG. 15. Sea ice, SST, and SLP patterns of HadISST reemergence Family  $\mathcal{F}_1^O$  shown for different months of 1991.



FIG. 16. Reemergence metrics for ice, SST and wind of family  $\mathcal{F}_1^M$  in the Barents/Kara, Bering, and Labrador Seas, by which we judge the activity of ice reemergence. Active periods of reemergence are characterized by repeated years in which these metrics are large (either positive or negative). Note that the SIC and SST metrics have been normalized by their respective standard deviations. The SLP metric is reported in m/s.



FIG. 17. Reemergence metrics for ice, SST and wind of family  $\mathcal{F}_2^M$  in the Barents/Kara, Bering, and Labrador Seas, by which we judge the activity of ice reemergence. Active periods of reemergence are characterized by repeated years in which these metrics are large (either positive or negative).



FIG. 18. Reemergence metrics for ice, SST and wind of family  $\mathcal{F}_1^O$  in the Barents/Kara, Bering, and Labrador Seas, by which we judge the activity of ice reemergence. Active periods of reemergence are characterized by repeated years in which these metrics are large (either positive or negative).



FIG. 19. Lagged correlations in sea-ice area anomalies between different seas. (A) and (B) show CCSM3 correlations between the Barents-Kara and Bering Seas for the raw data and conditional on  $|L_3^{\text{SLP}}| > 1.5$ , respectively. (C) and (D) show CCSM3 correlations between the Bering and Labrador Seas for the raw data and conditional on  $|L_1^{\text{SLP}}| > 1.5$ , respectively. (E) and (F) show HadISST correlations between the Barents-Kara and Labrador Seas for the raw data and conditional on  $|L_1^{\text{SLP}}| > 1.5$ , respectively. (E) and (F) show HadISST correlations between the Barents-Kara and Labrador Seas for the raw data and conditional on  $|L_1^{\text{SLP}}| > 1.5$ , respectively.