

**1 Simulation of monsoon intraseasonal oscillations in a**  
**2 coarse resolution aquaplanet GCM**

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3 The skill of the global climate models (GCMs) to realistically simulate the  
4 Monsoon Intraseasonal Oscillations (MISOs) is hindered by the inadequacy  
5 of their cumulus parameterization schemes. Here, we show that by coupling  
6 a simple multcloud parameterization to an aquaplanet GCM at coarse res-  
7 olution, realistic MISOs can be simulated. We conduct three different sim-  
8 ulations with a fixed but non-homogeneous SST mimicking the Indian Ocean/Western  
9 Pacific warm pool centered at the three latitudes  $5^{\circ}\text{N}$ ,  $10^{\circ}\text{N}$  and  $15^{\circ}\text{N}$ , re-  
10 spectively, to replicate the seasonal migration of the Tropical Convergence  
11 Zone (TCZ). This results in the generation of mean circulation resembling  
12 the monsoonal flow pattern in boreal summer. Succession of eastward prop-  
13 agating Madden-Julian Oscillation (MJO) disturbances with phase speeds,  
14 amplitude and physical structure similar to summer MJOs are simulated when  
15 the WP is at  $5^{\circ}\text{N}$ . When the WP is located over  $10^{\circ}\text{N}$ , northward and east-  
16 ward propagating MISOs are simulated. This case captures the meridional  
17 see-saw of convection between the continental and oceanic TCZ observed dur-  
18 ing boreal summer over the south Asian continents. Westward propagating  
19 Rossby-wave like disturbances are simulated when the WP is moved over  $15^{\circ}\text{N}$   
20 congruous with the synoptic disturbances seen over the monsoon trough re-  
21 gion. The initiation mechanism of intraseasonal oscillations in the the model  
22 is believed to be a combination of intermittent organized convective events  
23 interacting with the large scale circulation and internal dynamics.

## 1. Introduction

24 The dry and wet seasons over the tropics typically fluctuate between 10 and 90 days,  
25 referred to as tropical intraseasonal variability [*Lau and Waliser, 2012*]. The eastward  
26 propagating Madden-Julian Oscillations [MJO; *Madden and Julian, 1971*] and northward  
27 propagating monsoon intraseasonal oscillations [MISO; *Goswami, 2012*] are the dominant  
28 components of the intraseasonal variability in the tropical atmosphere. These large-scale  
29 planetary scale oscillations are visible in atmospheric winds and precipitation with coher-  
30 ent signals in many other variables. The MJO waves originate over the warm waters of the  
31 Indian Ocean (IO) and propagate eastward towards the maritime continent and Pacific  
32 Ocean with a speed of  $\approx 5\text{ms}^{-1}$  and are very strong in boreal winter. In boreal summer,  
33 the MISO originates over the IO and moves northeastward with a speed of  $\approx 2\text{ms}^{-1}$ , in  
34 tune with the movement of the tropical convergence zone causing heavy rainfall over the  
35 south-Asian continents [*Gadgil, 2003*]. The tropical intraseasonal oscillations interacts  
36 with the underlying oceans and influences the global weather and climate system [*Zhang,*  
37 *2005*].

38 In spite of recent intensive research efforts [*Zhang, 2005; Lau and Waliser, 2012*], accu-  
39 rately simulating and predicting the intraseasonal oscillations using state-of-the-art GCMs  
40 remains challenging. Several missing links in understanding the physics and dynamics of  
41 the MJO/MISO have motivated co-ordinated efforts in observational and diagnostic stud-  
42 ies in the last few years [*Zhang et al., 2013; Bhat et al., 2001; Rao, 2005*]. Realistic  
43 simulation of the observed phase and amplitude of the MJO/MISO is the most common  
44 bias seen in the climate model simulations. When eastward propagating MJOs are sim-

45 ulated, they are either too weak, or their spatial distributions and seasonal cycles are  
46 unrealistic [*Lin et al.*, 2006; *Kim et al.*, 2009]. Similar issues exist in the simulation of  
47 MISO as well [*Sperber et al.*, 2013]. It is also noted that marked improvements have been  
48 made by a few GCMs in simulating realistic MJO/MISO [*Crueger et al.*, 2013].

49 Here, we use an aquaplanet model with a prescribed warm pool to simulate MISOs.  
50 This model is designed without land or topography, without ocean-atmospheric coupling  
51 and without moist extratropical dynamics. A mask restricting heating and cooling to the  
52 tropical belt is applied over 30°S-30°N or 40°S-40°N [see below; *Khouider et al.*, 2011].  
53 Here, we show that with an appropriate warm pool in the location of the Tropical Con-  
54 vergence Zone (TCZ), a realistic simulation of MISO can be achieved. The main element  
55 is the use of a simple-prototype multcloud model parameterization that is designed to  
56 capture the observed cloud morphology and dynamics of organized convection [*Khouider*  
57 *and Majda*, 2006a; *Khouider et al.*, 2011]. The multcloud parameterization scheme is  
58 built on the observed paradigm of organized cloud structure observed for both MJO and  
59 MISO [*Johnson et al.*, 1999; *Mapes et al.*, 2006; *Abhik et al.*, 2013] using three cloud  
60 types, congestus, deep, and stratiform. This methodology is in contrast with the tradi-  
61 tional plume-based and large-scale forcing type parameterizations. The multcloud cloud  
62 model represents the unresolved mesoscale dynamics associated with organized convec-  
63 tion by capturing the transition from shallow to deep convection through the progressive  
64 moistening due to congestus activity [*Khouider and Majda*, 2006a; *Waite and Khouider*,  
65 2010; *Mapes and Neale*, 2011; *Del Genio et al.*, 2012].

66 The present study emphasizes the fact that the mechanisms for the initiation and prop-  
67 agation of MISO are similar to that of MJOs [*Ajayamohan et al.*, 2013]. The seasonal  
68 mean monsoon depends on the relative strengths of the slowly varying boundary forcings  
69 and the internal forcings like MISO. Hence, understanding the primary mechanisms of  
70 MISO initiation and propagation is key. Several mechanisms have been proposed in the  
71 past including wind induces surface evaporation, ocean-atmospheric coupling and bound-  
72 ary layer convergence [*Wang*, 2012]. Here, using a simple model we highlight the fact that  
73 capturing the chaotic organization of the tropical convection is the primary mechanism  
74 for simulating realistic MISOs.

75 The paper is organized as follows. The diagnostics used in analyzing the results are  
76 described in Section 2. Discussion of the results and conclusions are outlined in Section 3.  
77 Videos showing the detailed evolution and propagation of the MJO and MISO are provided  
78 in the supplementary material.

## 2. MISO Diagnostics

79 The details of the Multicloud\_HOMME Model (MHM) configuration and set up are  
80 found in *Khouider et al.* [2011] and outlined in the auxiliary material for completeness.  
81 All simulations below involve a coarse grid of roughly 167 Kms at the equator. To replicate  
82 the northward migration of the TCZ, we conduct three different simulations with a fixed  
83 but non-homogeneous SST's mimicking the IO/WP warm pool centered at three northern  
84 latitudes, 5°N (WP\_5N), 10°N (WP\_10N), and 15°N (WP\_15N), respectively. The aqua-  
85 planet model runs were carried out for 2000 days for each case and the last 1000 days are  
86 analyzed to estimate the mean and intraseasonal characteristics of the monsoonal winds

87 and precipitation. The tropical mask at  $30^{\circ}\text{S}$ - $30^{\circ}\text{N}$ , seems to limit the meridional extent  
88 of the mean monsoon trough and thereby arresting the poleward propagating signal of  
89 the MISO anomalies when the WP is located at  $15^{\circ}\text{N}$ . Hence, for WP\_15N experiment,  
90 the tropical mask is expanded to  $40^{\circ}\text{S}$ - $40^{\circ}\text{N}$ .

91 The mean low-level (850hPa) winds and vorticity for the three cases together with the  
92 associated regional Hadley cell are plotted in Fig. 1. The low-level mean circulation  
93 depicts the turning of the equatorial easterlies to westerlies resulting in a strong cross-  
94 equatorial low-level jet and south-westerlies similar to the mean monsoonal flow over the  
95 south-Asian continent during the boreal summer [*Goswami and Ajayamohan, 2001*]. The  
96 upper-level (200hPa, not shown) mean winds are easterlies overall resulting in a vertical  
97 structure that transitions from barotropic along the equator to strongly baroclinic over  
98 the warm pool. In observations, the mean monsoon precipitation shows two zones of  
99 precipitation maxima, one over the continent and Bay-of-Bengal and another over the  
100 warm waters of the Indian Ocean (IO). Another feature of the mean monsoon circulation  
101 is that the ascending branch of the regional Hadley cell is located over the monsoon trough  
102 region with the descending branch over the IO [*Goswami and Ajayamohan, 2001*]. Our  
103 first test is to check whether the mean monsoon circulation is simulated realistically when  
104 the WP is moved northward. The two experiments WP\_10N and WP\_15N simulate well  
105 the mean monsoon circulation with the turning of winds from easterlies to westerlies over  
106 the eastern edge of the WP although the simulated winds are strong when the WP is  
107 located at  $15^{\circ}\text{N}$  (Fig. 1c,e). This results in a cyclonic vorticity pattern over the lower  
108 latitudes and an anticyclonic vorticity pattern above it. When the WP is over  $5^{\circ}\text{N}$ , the

109 zonal extend of these patterns are small, although turning of easterlies to westerlies are  
110 simulated (Fig. 1a). A zonally narrow regional Hadley cell prevails over the location of  
111 the heat source when the WP is at 5°N (Fig. 1b). When the WP is moved poleward,  
112 the regional Hadley cell expands in the meridional direction (Fig. 1d,f). Note that the  
113 ascending branch of the Hadley cell is at 12°N, even when the WP is moved to 15°N, due to  
114 the effect of planetary rotation on diminishing organized convection [Majda et al., 2014]. In  
115 summary, this aquaplanet model with the simplified three cloud parameterization scheme  
116 simulates the overall mean features of the monsoon circulation realistically. The fine scale  
117 features of the mean monsoon circulation like the change of westerlies to easterlies over  
118 the Bay-of-Bengal is not simulated by this model probably due to the absence of land.

119 MISOs propagate northward and eastward simultaneously [Lawrence and Webster,  
120 2002; Wang et al., 2005; Ajayamohan and Goswami, 2007]. Lawrence and Webster [2002]  
121 notes that  $\approx 80\%$  of the northward propagating intraseasonal oscillations also exhibit  
122 eastward propagating character. When the WP is at 5°N, the MHM mimics the typical  
123 character of summer MJOs with a strong eastward propagation (Fig. 2d) and a weak  
124 northward propagation (Fig. 4a). A succession of MJO-like events are clearly seen in  
125 both zonal winds and precipitation. They are formed over the warm pool and propagate  
126 slowly eastward at roughly  $5\text{ms}^{-1}$ . Often as in nature Lawrence and Webster [2002], the  
127 precipitation propagates northeastward as the MJO-like wave passes through the eastern  
128 side of the warm pool while the strongest winds continue to propagate parallel to the  
129 equator. See the video of the MJO in the supplementary material. Before exiting the  
130 WP region, these MJO-like signals trigger fast moving streaks of wind disturbances that

131 continue to move eastward but at a much faster speed of roughly  $25\text{ms}^{-1}$ . These cir-  
132 cumnavigating dry Kelvin waves act as a precursor for the successive MJOs [*Ajayamohan*  
133 *et al.*, 2013]. When the WP is moved further north to  $10^\circ\text{N}$ , both the eastward and north-  
134 ward propagating character of precipitation and low-level wind anomalies becomes evident  
135 (Fig. 2e, Fig. 4b), as in observations. When compared to the WP\_5N case, WP\_10N case  
136 exhibits weaker eastward propagation and stronger northward propagation. The MHM  
137 simulation exhibits westward propagating MISOs when the WP is moved further north  
138 to  $15^\circ\text{N}$  (Fig. 2f). It may be noted that in the observations, when the monsoon is at the  
139 peak phase westward propagating synoptic disturbances become active over the monsoon  
140 trough region. The northward propagation of MISO anomalies are also evident (Fig. 4c),  
141 however the signal is weak compared to the WP\_10N case (Fig. 4b).

142 The characteristics of the simulated MISOs are further illustrated in Fig. 3, where snap-  
143 shots of the filtered precipitation and low-level winds are plotted for a typical phase of  
144 the oscillation. The dominant eastward propagating character of summer MJOs is clearly  
145 visible when the WP is at  $5^\circ\text{N}$  (Fig. 3a-d). Similarly northward and eastward propagation  
146 is evident when the WP is at  $10^\circ\text{N}$  (Fig. 3e-h). The boreal summer monsoon can be also  
147 viewed as a see-saw representing the rocking oscillation of the TCZ, between its two pre-  
148 ferred locations [*Sikka and Gadgil*, 1980; *Gadgil*, 2003; *Goswami and Ajayamohan*, 2001;  
149 *Goswami*, 2012]. WP\_10N simulations realistically simulates this rocking oscillation of the  
150 TCZ. When convection becomes active over the equatorial region (Fig. 3e), suppressed  
151 convection prevails over the WP region and vice-versa. The eastward and northward prop-  
152 agation of MISOs, its amplification over the WP, subsequent weakening and triggering of

153 the next MISO over the entire time period of MHM simulations is further illustrated in  
154 the movies provided in the auxiliary material.

155 In addition to the diagnostics presented here, a combined empirical orthogonal function  
156 (CEOF) analysis is carried out to identify the dominant mode of the MHM simulations.  
157 Fig. 4d shows the first CEOF of the low-level winds when the WP is at 10°N over  
158 the WP. The spatial structure has a lot of similarity to the mean monsoon circulation  
159 (Fig. 1c) illustrating the common mode of similarity between MISOs and the mean  
160 monsoon circulation [*Goswami and Ajayamohan, 2001*]. Moreover, the CEOF1 pattern  
161 illustrates well the see-saw oscillation of the TCZ between the equatorial region and the  
162 location of the WP. The power spectra of PC1 of the leading EOF shows two dominant  
163 peaks, one around 6 days and another around 48 days, illustrating the synoptic and  
164 intraseasonal character of the MISOs. In summary, MISO-like oscillations simulated by  
165 the MHM has many other, if not all, the, primary features of the MISO as observed in  
166 nature.

### 3. Summary and Discussion

167 Here, we showed that by coupling a simple multcloud parameterization scheme to the  
168 dry dynamic core of an aquaplanet GCM and prescribing a WP, poleward propagating  
169 MISOs are realistically simulated. Several theories for the initiation, propagation and  
170 scale selection of the MISO have been proposed in the past [*Wang, 2012*]. The multi-  
171 cloud model parameterization [e.g. *Khouider and Majda, 2006a*] is designed based on the  
172 observed cloud structure and hence the none of the following mechanisms are present in  
173 the MHM simulations. The model is devoid of Wind Induced Surface Heat Exchange

174 (WISHE), wave-CISK (Convective Instability of the Second Kind), boundary layer fric-  
175 tion (FCI), cloud radiation forcing (CRF), ocean/sea-surface dynamics. Nevertheless, the  
176 MHM produces realistic MISO-like events with dynamical and physical features that re-  
177 semble observations. This underlines the role of multicloud mechanism in the initiation  
178 and propagation of MISOs.

179 Based on observational and reanalysis datasets, a few studies have identified that shal-  
180 low clouds in the lower troposphere lead deep convection and play a critical role in moving  
181 the deep convection northward [*Jiang et al.*, 2004, 2010; *Abhik et al.*, 2013]. This means  
182 that lower level moistening and the associated mid-tropospheric heating are key pro-  
183 cesses which determine the northward propagation of MISOs [*Abhik et al.*, 2013]. By  
184 design, the multicloud model captures this phenomenology. The intraseasonal oscillations  
185 like MJO/MISO and embedded disturbances involve the multicloud structure: a deep  
186 convective core preceded by shallow convection or congestus clouds and trailed by upper-  
187 tropospheric stratus clouds [*Johnson et al.*, 1999; *Mapes et al.*, 2006; *Jiang et al.*, 2010;  
188 *Abhik et al.*, 2013]. When properly coupled to moisture, a two vertical mode model, the  
189 first and second baroclinic modes, can generate coherent instability sufficient to initiate in-  
190 traseasonal oscillations [*Khouider and Majda*, 2006a, b]. The convection takes the form of  
191 an envelope of mesoscale and synoptic scale systems involving multiple cloud types which  
192 are embedded in and coupled with large-scale monsoonal circulation [*Johnson et al.*, 1999;  
193 *Nakazawa*, 1988].

194 The fact that the dynamical coupling of congestus, deep, and stratiform heating profiles  
195 based on the first two baroclinic modes of vertical structure is systematically represented

196 in the multcloud model might be the reason for the successful simulation of MISOs in the  
197 MHM simulations. In this model, parameterized convection is not confined into a single  
198 grid column and single time step but distributed over the length and time scales of the  
199 mesoscale convective systems and synoptic scale systems embedded in the MISOs, unlike  
200 traditional cumulus parameterizations.

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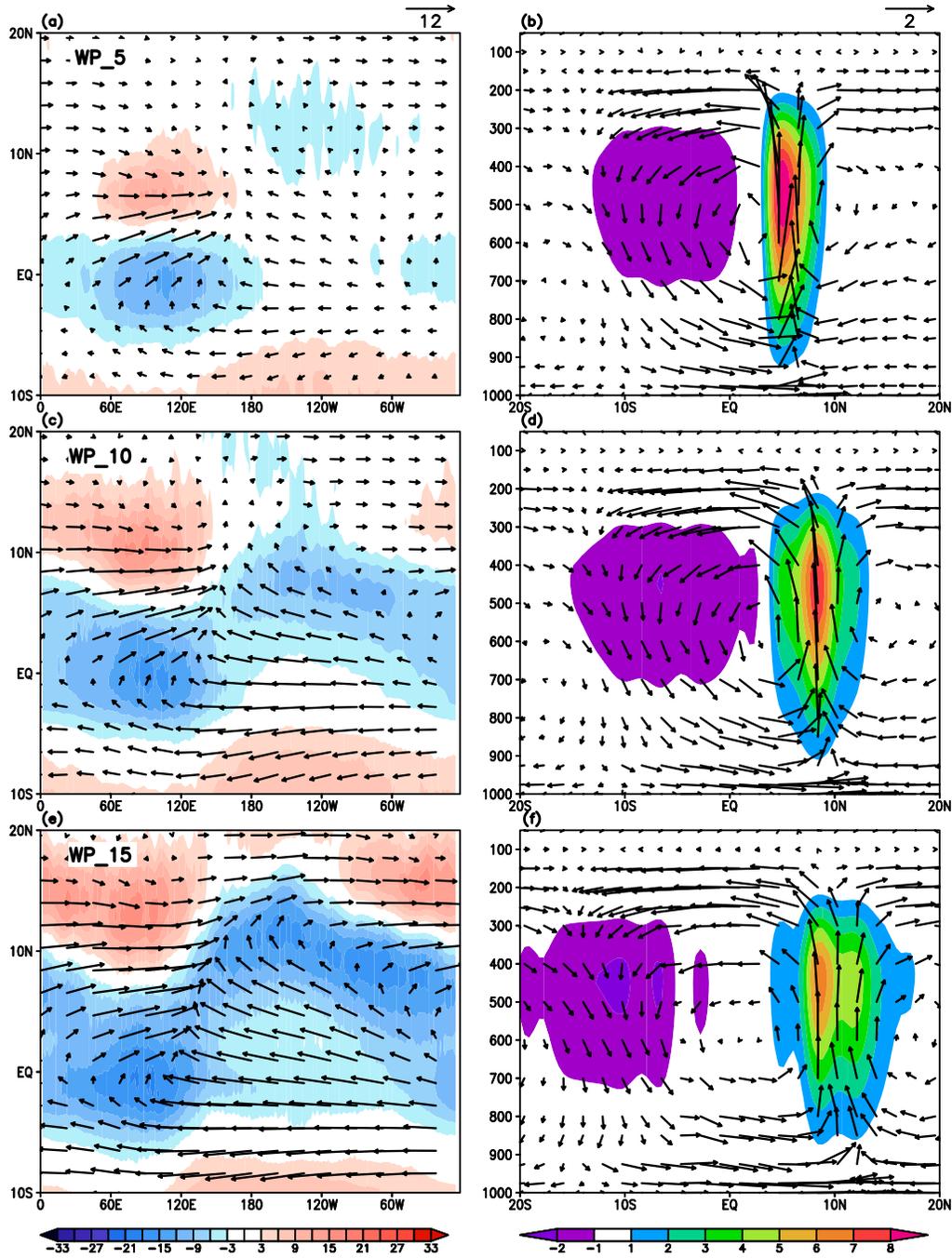
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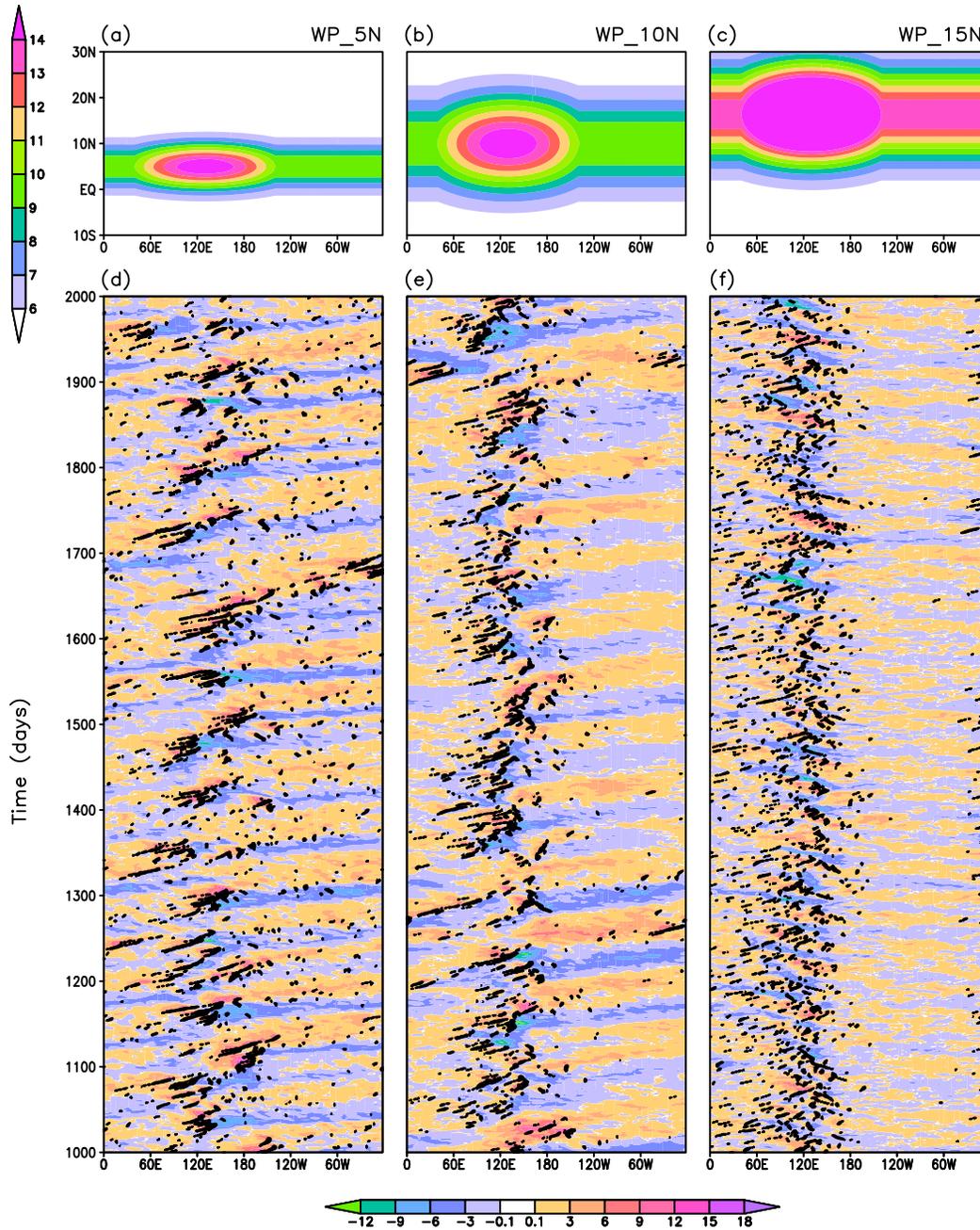
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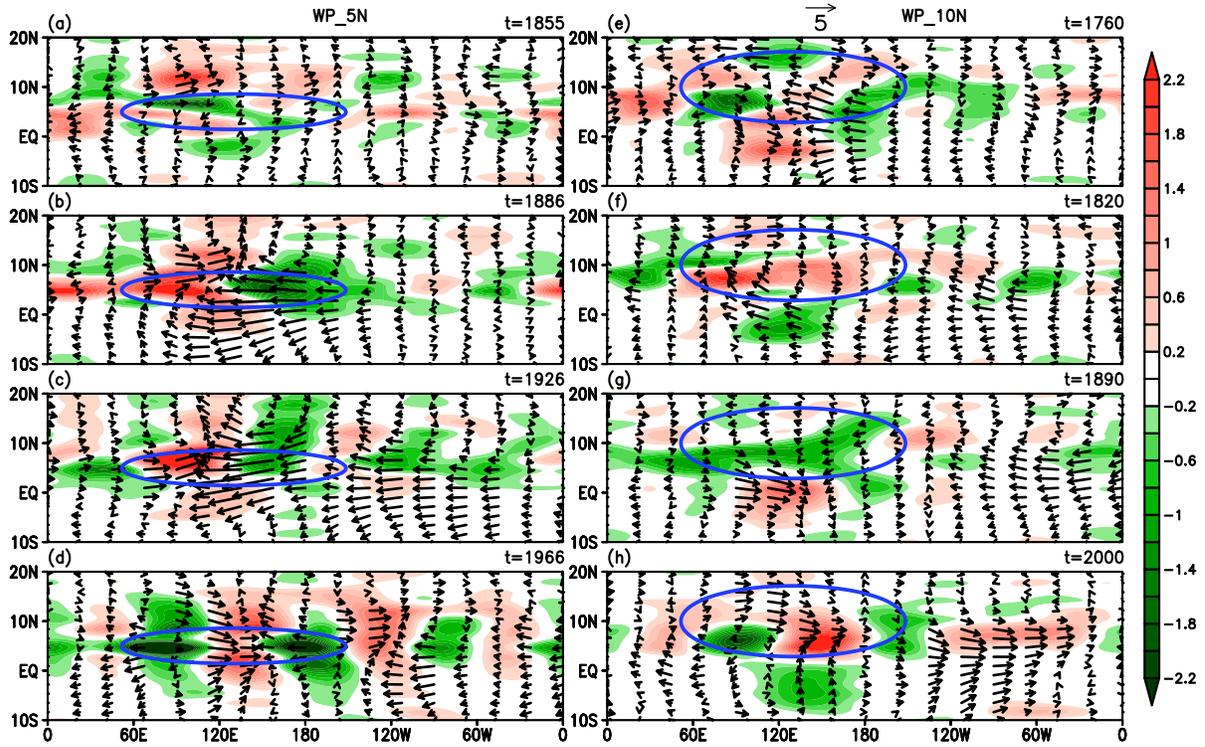
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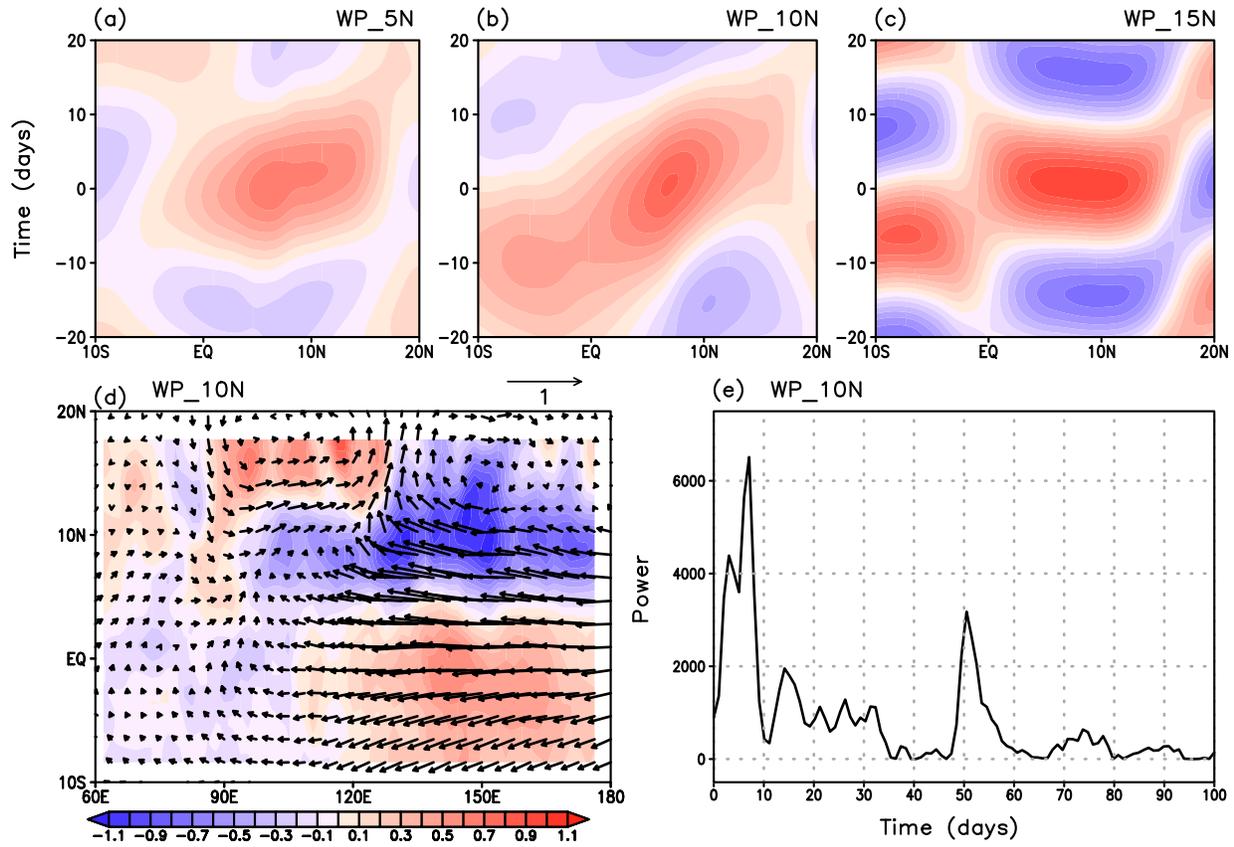
**Figure 1.** Simulation of the mean monsoon and regional Hadley cell. (a,c,d) Mean 850hPa winds (vectors,  $\text{ms}^{-1}$ ) and relative vorticity (shaded,  $\times 10^{-6}\text{s}^{-1}$ ) for the three sensitivity experiments. (b) Meridional winds and vertical velocity (vector,  $\text{ms}^{-1}$ ) and total heating ( $Q_{\text{He}}; \text{K}\cdot\text{day}^{-1}$ ) averaged over  $40^{\circ}\text{E}$ - $120^{\circ}\text{E}$ .



**Figure 2.** (a,b,c) Structure of the warm pool ( $^{\circ}\text{C}$ ) used in the simulations. (d,e,f) Hovmöller plot of low level zonal winds (shaded,  $\text{ms}^{-1}$ ) and precipitation (contour,  $\text{K.day}^{-1}$ ) averaged over the domain ( $0^{\circ}$ - $15^{\circ}\text{N}$ ) for the various experiments.



**Figure 3.** Snapshots of filtered 850hPa winds (vector,  $\text{ms}^{-1}$ ) and precipitation (shaded,  $\text{K}\cdot\text{day}^{-1}$ ) for a typical oscillation. All the parameters are filtered between wavenumbers  $\pm 4$  and time between 20 and 100 days respectively.



**Figure 4.** (a-c) Lag-Latitude plots filtered (wave numbers  $\pm 4$  and 20-100 days) 850hPa zonal winds ( $\text{ms}^{-1}$ ) of MHM simulations for various sensitivity experiments. (d) CEOF1 of 850hpa winds (vectors) and relative vorticity (shaded) anomalies. (e) Power spectra of PC1 of the CEOF.