

1           **Symmetric and antisymmetric convection signals in the**  
2           **Madden-Julian oscillation. Part I: Basic modes in infrared**  
3                           **brightness temperature**

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## ABSTRACT

7 This work studies the significance of north-south asymmetry in convection associated with  
8 the 20–90-day Madden-Julian oscillation (MJO) propagating across the equatorial Indo-  
9 Pacific warm-pool region. Satellite infrared brightness temperature data in the tropical belt  
10 for the period 1983–2006 were decomposed into components symmetric and antisymmetric  
11 about the equator. Using a recent nonlinear objective method called nonlinear Laplacian  
12 spectral analysis, modes of variability were extracted representing symmetric and antisym-  
13 metric features of MJO convection signals, along with a plethora of other modes of tropical  
14 convective variability spanning diurnal to interannual time scales. The space-time recon-  
15 struction of these modes during the 1992–1993 TOGA COARE period is described in detail.  
16 In particular, the boreal winter MJO emerges as a single pair of modes in both symmetric  
17 and antisymmetric convection signals. Both signals originate in the Indian Ocean around  
18  $60^\circ$  E. They coexist for all significant MJO events with a varying degree of relative impor-  
19 tance which is affected by ENSO. The symmetric signals tend to be suppressed when crossing  
20 the Maritime Continent, while the antisymmetric signals are not as inhibited. Their differ-  
21 ences in peak phase and propagation speed suggest fundamental differences in the underlying  
22 mechanisms. The multiscale interactions between the diurnal, MJO, and ENSO modes of  
23 convection were studied. It was found that the symmetric component of MJO convection is  
24 out of phase with the symmetric component of the diurnal cycle, while the antisymmetric  
25 component of MJO convection is in phase with the antisymmetric diurnal cycle. The former  
26 relationship breaks down during strong El Niño events, and both relationships break down  
27 during prolonged La Niñas.

# 1. Introduction

The Madden-Julian oscillation (MJO, e.g., Madden and Julian 1971, 1972) is an eastward-propagating, planetary-scale envelope of organized convective activity in the tropics. Characterized by gross features in the 20–90-day intraseasonal time range and zonal wavenumber 1–4, it dominates tropical variability in subseasonal time scales. Moreover, through tropical–extratropical interactions, it influences global weather and climate variability, fundamentally linking short-term weather forecasts and long-term climate projections (Waliser 2005). Observational studies of the seasonality of tropical intraseasonal variability have shown that the MJO signals migrate latitudinally with the seasonal cycle, peaking during boreal winter (e.g., Wang and Rui 1990; Wheeler and Hendon 2004; Zhang and Dong 2004; Masunaga 2007; Kikuchi et al. 2012). The strongest boreal winter MJO signals in deep convection and precipitation are asymmetric about the equator especially in the Western Pacific (e.g., Wheeler and Hendon 2004; Zhang and Dong 2004; Masunaga 2007), implying asymmetric heat sources associated with convection. Indeed, Zhang and Dong (2004) were not able to identify any single mean background variable to explain well the seasonality in both the Indian and Western Pacific Ocean. They also proposed that the seasonality of MJO serves as a higher-order validation against MJO simulations by GCM.

Atmospheric responses to heat sources symmetric and antisymmetric about the equator are of fundamental theoretical interest (Gill 1980). In particular, perhaps the simplest theoretical model of the MJO describes the phenomenon as the planetary-scale response of a moving heat source with prescribed propagation speed through the linear shallow-water equations for a first baroclinic mode (e.g., Matsuno 1966; Gill 1980; Chao 1987; Biello and Majda 2005; Wang and Liu 2011). Biello and Majda (2005), in their multiscale model for the planetary-scale circulation associated with MJO, have demonstrated the differences between equatorial and off-equatorial convective heat sources on the solutions.

The goal of this work is to study the significance of asymmetry in the MJO convection signals by contrasting predominantly symmetric versus predominantly antisymmetric events

55 in the observational record, in which both signals tend to coexist by nature. In particular,  
56 we study the symmetric and antisymmetric components of satellite infrared brightness tem-  
57 perature ( $T_B$ ) data over the tropical belt, extracted using an averaging method (e.g., Yanai  
58 and Murakami 1970; Wheeler and Kiladis 1999). The differences and similarities of the asso-  
59 ciated spatiotemporal patterns elucidate the interactions of the MJO with other important  
60 weather and climate processes, including the diurnal cycle and ENSO. Our methods and  
61 results are presented in a two-part series, in which the present paper addresses the extrac-  
62 tion of spatiotemporal modes of variability (including the MJO) from the symmetric and  
63 antisymmetric components of  $T_B$  data, as well as the apparent connection between some of  
64 the modes in space and across diurnal to interannual time scales. Part II (Tung et al. 2013)  
65 studies the kinematic and thermodynamic fields associated with predominantly equatorially  
66 symmetric and off-equatorial convection in phase composites constructed through the MJO  
67 modes recovered in Part I.

68 These objectives require meticulous analysis procedures, for convectively coupled tropi-  
69 cal motions are highly nonlinear and multiscaled in time and space. Substantial advances  
70 in the understanding of tropical waves, MJO, and their linear theories have been guided  
71 for decades by linear methods including Fourier-based space-time filtering, regression, and  
72 empirical orthogonal functions (EOFs) (e.g., Hayashi 1979, 1982; Salby and Hendon 1994;  
73 Lau and Chan 1985; Kiladis et al. 2005, 2009; Waliser et al. 2009; Kikuchi and Wang 2010).  
74 However, further progress should benefit significantly from a paradigm shift of analysis meth-  
75 ods. Specifically, theory has suggested that the MJO is a nonlinear oscillator (Majda and  
76 Stechmann 2009, 2011); in observations it was found that MJO may well be a stochastically-  
77 driven chaotic oscillator (Tung et al. 2011). Linear filtering of a nonlinear, chaotic system is  
78 known in principle to impede fundamental understanding of the system (Badii et al. 1988).  
79 In the long term, as data archives from observations, numerical simulations, and reanalysis  
80 continue to mount at record rates post the Year of Tropical Convection (May 2008 to April  
81 2010) (e.g., Moncrieff et al. 2007, 2012), distilling these massive and heterogeneous datasets

82 in order to gain scientific insight calls for minimally supervised analysis methods developed  
83 from first principles and efficient algorithms.

84 Here, we address the challenges associated with the multiscale nature and underlying non-  
85 linear dynamics of tropical observational data through nonlinear Laplacian spectral analysis  
86 (NLSA, Giannakis and Majda 2012a,c, 2013; Giannakis et al. 2012); a recently-developed  
87 data analysis technique to extract spatiotemporal patterns from high-dimensional dynamical  
88 systems. NLSA builds a set of data-driven orthogonal basis functions on the discretely-  
89 sampled nonlinear data manifold. By lag-embedding the observed data via the method of  
90 delays, those basis functions differ crucially from classical Fourier modes in that they contain  
91 information about the time evolution (dynamics) of the system under study, and are also  
92 adapted to the geometrical structure of the data in phase space. Compared to extended em-  
93 pirical orthogonal functions (EEOFs, e.g., Lau and Chan 1985) and the equivalent singular  
94 spectrum analysis (SSA, e.g., Ghil et al. 2002), NLSA has high skill in capturing intermittent  
95 patterns, which carry little variance but may be of high dynamical significance (Crommelin  
96 and Majda 2004). Moreover, the method applies no preprocessing such as seasonal detrend-  
97 ing or band-pass filtering, allowing one to simultaneously study processes spanning multiple  
98 timescales.

99 We find that the mode families extracted from the symmetric and antisymmetric  $T_B$   
100 components provide meaningful and complementary information about convective tropi-  
101 cal variability on diurnal to interannual timescales. In particular, intraseasonal eastward-  
102 propagating modes representing the MJO emerge naturally in both symmetric and antisym-  
103 metric data, but these modes behave distinctly in their temporal and spatial evolution, with  
104 the antisymmetric modes exhibiting significantly higher intermittency in time and ability  
105 to propagate over the Maritime Continent. Moreover, the symmetric and antisymmetric  
106 MJO modes correlate in different ways with diurnal-scale processes over equatorial Africa,  
107 the Maritime Continent, and South America, with ENSO also playing a role. As a result,  
108 indices constructed through these modes probe distinct aspects of the MJO lifecycle and its

109 interaction with other tropical convective processes. In Part II (Tung et al. 2013), horizontal  
110 and vertical wind, temperature, and humidity fields and their derived heat and moisture  
111 budget residuals associated with the significant equatorially symmetric and off-equatorial  
112 MJO convective events identified through the NLSA modes are reconstructed using reanal-  
113 ysis data.

114 The paper proceeds as follows. In section 2, we describe the data and methods used in  
115 this study. We present and discuss our results in sections 3–5, and conclude in section 6.  
116 Similarities and differences between the NLSA and SSA modes are discussed in an appendix.

## 117 **2. Data and methods**

### 118 *a. CLAUS $T_B$ : Proxy for tropical convective activity*

119 We analyze multi-satellite infrared brightness temperature ( $T_B$ ) data from the Cloud  
120 Archive User Service (CLAUS) Version 4.7 (e.g., Hodges et al. 2000). Brightness temperature  
121 is a measure of the earth’s infrared emission in terms of the temperature of a hypothesized  
122 blackbody emitting the same amount of radiation at the same wavelength ( $\sim 10\text{--}11\ \mu\text{m}$  in  
123 CLAUS). It is a highly correlated variable with the total terrestrial longwave emission. In the  
124 tropics, positive (negative)  $T_B$  anomalies are associated with reduced (increased) cloudiness,  
125 hence suppressed (enhanced) deep convection. The global CLAUS  $T_B(\lambda, \phi, t)$  data are on a  
126  $0.5^\circ$  longitude ( $\lambda$ ) by  $0.5^\circ$  latitude ( $\phi$ ) fixed grid, with three-hour time ( $t$ ) resolution from  
127 00 UTC to 21 UTC, spanning July 1, 1983 to June 30, 2006. The values of  $T_B$  range from  
128 170 K to 340 K at approximately 0.67 K resolution.

129 The subset of the data in the global tropical belt between  $15^\circ\text{S}$  and  $15^\circ\text{N}$  was taken to  
130 create symmetric and antisymmetric averages about the equator, following the approach in

131 Yanai and Murakami (1970). The symmetric average is

$$\overline{T_B(\Lambda, t)} = \frac{1}{N} \sum_{\Phi=0^\circ}^{\Phi=15^\circ} \frac{T_B(\Lambda, -\Phi, t) + T_B(\Lambda, \Phi, t)}{2}, \quad (1)$$

132 where  $N$  is the number of samples within the latitudinal ( $\Phi$ ) range; negative values of  $\Phi$   
 133 denote southern latitudes. Similarly, the antisymmetric average is

$$\overline{T_B(\Lambda, t)}_A = \frac{1}{N-1} \sum_{\Phi=0^\circ}^{\Phi=15^\circ} \frac{T_B(\Lambda, -\Phi, t) - T_B(\Lambda, \Phi, t)}{2}. \quad (2)$$

134 The resulting averages are longitude-time sequences sampled at  $d = 720$  longitudinal grid-  
 135 points in  $\Lambda$  and  $s = 67,208$  temporal snapshots in  $t$ . Prior to spatial averaging, the missing  
 136 data (less than 1%) were filled via linear interpolation in time. Figure 1 shows the portion  
 137 of the data for 1992–1993; a period which includes the Intensive Observing Period (IOP) of  
 138 the Tropical Ocean Global Atmosphere Coupled Ocean Atmosphere Response Experiment  
 139 (TOGA COARE, November 1992–February 1993, Webster and Lukas 1992).

#### 140 *b. NLSA algorithms*

141 Nonlinear Laplacian spectral analysis (Giannakis and Majda 2012c,a, 2013) is a method  
 142 for extracting spatiotemporal patterns from high-dimensional time series that blends ideas  
 143 from the qualitative analysis of dynamical systems (Broomhead and King 1986; Sauer et al.  
 144 1991), singular spectrum analysis (SSA, Aubry et al. 1991; Ghil et al. 2002), and spec-  
 145 tral graph theory for machine learning (Belkin and Niyogi 2003; Coifman and Lafon 2006).  
 146 Unlike principal components analysis (PCA), EOFs, SSA, and related variance-maximizing  
 147 algorithms, NLSA is based on the premise that dynamically-relevant low-rank decomposi-  
 148 tions of the data should be constructed via orthonormal basis functions which are intrinsic  
 149 to the nonlinear data manifold sampled by the observations. The basis functions in question  
 150 are Laplace-Beltrami (LB) eigenfunctions, computed via graph-theoretic algorithms (Belkin

151 and Niyogi 2003; Coifman and Lafon 2006) after time-lagged embedding of the data to  
 152 incorporate information about time-directed evolution.

153 Consider an  $n \times s$  data matrix  $\mathbf{X}$  consisting of  $s$ -samples of an  $n$ -dimensional variable. In  
 154 section 3 ahead, each column of  $\mathbf{X}$  will be either of the  $\overline{T_B(\Lambda, t)}$  or  $\overline{T_B(\Lambda, t)}_A$  fields from (1)  
 155 and (2), respectively, embedded over an intraseasonal lag window  $\Delta t$ . NLSA produces a  
 156 decomposition of the form

$$\mathbf{X} \approx \mathbf{U}_l \boldsymbol{\Sigma}_l \mathbf{V}_l^T \boldsymbol{\Phi}_l^T, \quad (3)$$

157 with  $\mathbf{U}_l$  an  $n \times l$  matrix with orthogonal columns,  $\boldsymbol{\Sigma}_l$  an  $l \times l$  diagonal matrix of singular  
 158 values  $\sigma_i$ ,  $\mathbf{V}_l$  an  $l \times l$  orthogonal matrix of expansion coefficients, and  $\boldsymbol{\Phi}$  an  $s \times l$  matrix  
 159 of LB eigenfunction values. Each column  $\mathbf{u}_i$  of  $\mathbf{U}_l$  represents a spatiotemporal process of  
 160 temporal extent  $\Delta t$  analogous to an EEOF. The corresponding temporal pattern, analogous  
 161 to a principal component (PC), is given by  $\tilde{\mathbf{v}}_i = \boldsymbol{\Phi} \mathbf{v}_i$ , where  $\mathbf{v}_i$  is the  $i$ -th column of  $\mathbf{V}_l$ .  
 162 The  $\tilde{\mathbf{v}}_i$  are orthogonal with respect to a weighted inner product with weights  $\boldsymbol{\mu}$  designed to  
 163 capture rapid transitions and rare events (Giannakis and Majda 2012c).

164 The parameter  $l$  corresponds to the number of LB eigenfunctions used in the NLSA  
 165 decomposition, and in practice is significantly smaller than the number of samples. This  
 166 type of data compression is especially beneficial in large-scale applications where the ambient  
 167 space dimension  $n$  and the sample number  $s$  are both large (Giannakis and Majda 2013).  
 168 Each triplet  $\{\mathbf{u}_i, \sigma_i, \tilde{\mathbf{v}}_i\}$  yields a spatiotemporal process  $\tilde{\mathbf{X}}_i = \sigma_i \mathbf{u}_i \mathbf{v}_i^T$  in lagged-embedding  
 169 space, which can be projected down to physical space to produce reconstructions of the input  
 170 signal (e.g., section 4).

171 The role of the transform matrix  $\boldsymbol{\Phi}_l$  in (3) is to select geometrically-preferred temporal  
 172 patterns on the nonlinear data manifold  $M$ . Such patterns are viewed in NLSA as good  
 173 candidates to produce physically-meaningful EEOFs through weighted averages of the form  
 174  $\mathbf{u}_i = \mathbf{X} \boldsymbol{\mu} \mathbf{v}_i$ . In contrast, the classical SSA decomposition,

$$\mathbf{X} \approx \mathbf{U}_{SSA} \boldsymbol{\Sigma}_{SSA} \mathbf{V}_{SSA}^T \quad (4)$$

175 makes no such provision, and the temporal patterns  $\mathbf{V}_{SSA}$  used for averaging can be arbitrary  
 176 functions of time, without regards to the geometrical structure of  $M$  other than global  
 177 covariance. Note that the basis functions  $\Phi_l$  can encode multiple timescales of temporal  
 178 variability despite being weakly oscillatory on the data manifold. This is because time  
 179 variability in  $\Phi_l$  is an outcome of both their geometrical structure as functions on  $M$ , and  
 180 the sampling of these functions along the trajectory on  $M$  followed by the system under  
 181 time evolution. For instance, the modes discussed in section 3 exhibit temporal variability  
 182 spanning diurnal to interannual timescales, and yet are described in terms of a moderately  
 183 small number of leading (“low-wavenumber”) LB eigenfunctions.

184 The advantages of using (3) versus (4) have been demonstrated in a number of ap-  
 185 plications, including Galerkin reduction of dynamical systems where PCA is known to fail  
 186 (Giannakis and Majda 2012c), and regression modeling in comprehensive climate models (Gi-  
 187 annakis and Majda 2012a,b). Details of the NLSA methodology applied to the symmetrically  
 188 averaged  $T_B$ ,  $\overline{T_B(A, t)}$ , including parameter selection and computational considerations, are  
 189 provided in Giannakis et al. (2012).

### 190 **3. Modes of spatiotemporal variability revealed by NLSA**

191 We have applied the NLSA algorithm described in section 2b using an intraseasonal  
 192 embedding window spanning  $\Delta t = 64$  days. This choice of embedding window was motivated  
 193 from our objective to resolve propagating structures such as the MJO with intraseasonal (20–  
 194 90 day) characteristic timescales. Unlike conventional approaches (e.g., Kikuchi et al. 2012;  
 195 Maloney and Hartmann 1998; Wheeler and Hendon 2004), neither band-pass filtering nor  
 196 seasonal partitioning was applied to the CLAU dataset prior to analysis using NLSA.

197 The singular values  $\sigma_i$  corresponding to the modes in (3) are displayed in Fig. 2. In  
 198 Fig. 2a, several representative modes relevant to our analysis are identified in the symmetric  
 199  $T_B$  data, namely an annual mode ( $i = 1$ ), an interannual mode ( $i = 2$ ), a pair of MJO modes

200 ( $i = 3, 4$ ), a pair of diurnal modes ( $i = 5, 6$ ), and a “Maritime Continent” mode ( $i = 11$ ).  
 201 In addition, the symmetric spectrum contains a pair of modes featuring an intraseasonal  
 202 peak together with interannual variability ( $i = 7, 8$ ), as well as a pair of semiannual modes  
 203 ( $i = 9, 10$ ). In particular, the structure of the interannual–intraseasonal modes suggests that  
 204 these modes may play a role in MJO–ENSO connection. We therefore refer to these modes  
 205 as “Indo-Pacific intraseasonal–interannual”. The modes in the spectrum beyond mode 11  
 206 generally describe intraseasonal and diurnal patterns which may also reveal meaningful as-  
 207 pects of tropical convective variability. However, due to the compression of information in  
 208 the original two-dimensional (2D) fields occurring in the averaged data, we defer a detailed  
 209 study of these modes to future analysis via NLSA of the full 2D CLAUS dataset.

210 Turning to the antisymmetric data, it takes at least four leading modes to account for the  
 211 semiannual, annual, and interannual variability in the antisymmetrically averaged  $T_B$  field.  
 212 In Fig. 2b, in addition to an annual mode ( $i = 1$ ) similar to that in the symmetric data,  
 213 the subsequent three modes are likely associated with African, south Asian, and American  
 214 monsoons ( $i = 2$ ), intraseasonal, seasonal, and interannual variability over the eastern Pacific  
 215 ITCZ ( $i = 3$ ), as well as the intraseasonal to semiannual variability over Africa, Indian  
 216 Ocean, and the South Pacific Convergence Zone (SPCZ) ( $i = 4$ ). Additional modes of  
 217 interest in Fig. 2b are two MJO modes ( $i = 5, 7$ ) and two diurnal modes ( $i = 6, 8$ ). Unlike  
 218 their symmetric counterparts, the antisymmetric MJO and diurnal modes do not appear  
 219 consecutively in the  $\sigma_i$  spectrum. It is later found that the amplitude of these diurnal modes  
 220 is strongly modulated by the MJO.

221 Representative spatiotemporal ( $\mathbf{u}_i$ ) and temporal ( $\mathbf{v}_i$ ) patterns associated with the modes  
 222 described above are displayed in Figs. 3–5. For consistency with Fig. 1, the temporal pat-  
 223 terns are shown for the duration of 1992–1993 in Figs. 3 and 4, along with their frequency  
 224 spectra calculated from the entire  $\sim 20$ -year-long time series. Below is a discussion of these  
 225 patterns, which is followed in section 4 by further interpretation in the context of space-time  
 226 reconstructions during the TOGA-COARE IOP.

228 The spatial patterns of the symmetric annual and interannual modes are nearly constant  
229 within the 64-day embedding window (Figs. 3a,b). Their corresponding temporal patterns  
230 reveal that these two modes explain the seasonal and longer-time variability of cloudiness  
231 in the tropical belt (Figs. 5a,b). Figure 3b features a dipole over the equatorial Pacific,  
232 suggesting that this mode is the primary ENSO mode. The semiannual pair, a member  
233 of which is shown in Figs. 3h and 5h, essentially captures the annual march of intensified  
234 deep convection in the ITCZ, SPCZ, Indo-Pacific warm pool, monsoons, and tropical storm  
235 tracks across the Southern and Northern Hemispheres. This pair of modes explains the  
236 apparent east-west migration and amplitude modulation of convection signals reflecting the  
237 north-south asymmetry in land mass and bathymetry within the tropical belt where the  
238 average is taken. The frequency spectrum also bears discernible power on the intraseasonal  
239 and interannual timescales.

240 The leading two modes of the antisymmetric data explain the annual cycle (Figs. 4a,b  
241 and 5j,k). The spatial patterns of antisymmetric annual cycle require two modes within the  
242 64-day embedding window: one is in a nearly constant state indicating the convection centers  
243 presiding in one hemisphere (Fig. 4a); the other describes the inter-hemispheric crossing of  
244 convection centers, for which timing varies longitude-wise (Fig. 4b). The temporal patterns  
245 of these modes are in quadrature (Figs. 5j,k), and both exhibit semiannual spectral peaks.  
246 However, these peaks are not as pronounced as those in the next two modes (Figs. 5p,q),  
247 which are predominantly of semiannual character.

248 The spatial patterns of these two antisymmetric semiannual modes are divided between  
249 the Eastern and the Western Hemispheres and overlap over the Indian Ocean (Figs. 4c,d).  
250 In particular, the semiannual mode in Fig. 4c is centered in the Western Hemisphere, most  
251 notably over the Eastern Pacific. Its temporal pattern during 1992–1993 shows that it is  
252 more active in the boreal summer than in the boreal winter; its frequency spectrum shows  
253 significant variability on the interannual time scale (Fig. 5p). The spatial variability of the

254 second semiannual mode is centered over the Indian Ocean, with a relatively weaker signal  
255 occurring over the Pacific. Its temporal pattern shows notable intraseasonal variability  
256 (Fig. 5q). The temporal patterns of the semiannual pair are roughly in quadrature during  
257 1992–1993, with the amplification of  $\mathbf{v}_4$  apparently leading that of  $\mathbf{v}_3$  by about a month in  
258 the boreal spring to summertime (Figs. 5p,q).

259 *(ii) Intraseasonal eastward-propagating signals*

260 The symmetric MJO modes (MJO1 and MJO2; Figs. 3d,e and 5c,d), the antisymmet-  
261 ric MJO modes (MJO1<sub>A</sub> and MJO2<sub>A</sub>; Figs. 4e,f and 5l,m), the symmetric Indo-Pacific  
262 intraseasonal–interannual modes (Figs. 3f,g and 5f,g), and the symmetric Maritime Conti-  
263 nent mode (Figs. 3i and 5i) are eastward propagating modes with pronounced intraseasonal  
264 variability, characterized by broad peaks within the 20–90-day range in their frequency spec-  
265 tra. All of the symmetric modes are more or less suppressed around 110°E (Figs. 3d–g).  
266 The spatial patterns of MJO1 and MJO2 are in quadrature, indicating that together they  
267 represent the propagation of MJO convection (roughly of global wavenumber two) over the  
268 Indian Ocean–Western Pacific sector. The spatial patterns of MJO1<sub>A</sub> and MJO2<sub>A</sub> exhibit a  
269 similar behavior. Unlike the symmetric modes, whose maximum values tend to be confined  
270 over the oceans (Figs. 3d,e), the antisymmetric MJO modes have significant local extrema  
271 over land mass such as around 30°E, 120°E, and 75°W (Figs. 4e,f). Moreover, the global  
272 extrema of the symmetric modes are in the Indian Ocean around 90°E, while those of the  
273 antisymmetric modes extend further east into the Pacific such as 120°E and the date line.  
274 The temporal patterns of MJO1, MJO2, MJO1<sub>A</sub>, and MJO2<sub>A</sub> show that these modes, es-  
275 pecially the antisymmetric ones, are essentially boreal winter–spring modes (Figs. 5c,d and  
276 5l,m).

277 The spatial patterns of the symmetric Indo-Pacific intraseasonal–interannual modes ap-  
278 pear to be complementary to MJO1 and MJO2 and explain additional variability over the  
279 Indian Ocean and in the Western Pacific near the date line (Figs. 3f,g). The spatial pat-  
280 terns of these two modes are clearly in quadrature, with maximum amplitudes in the Indian

281 Ocean, suggesting a propagating pattern together. Unlike MJO2 and MJO2, however, the  
282 temporal patterns of these modes are active semiannually in boreal summer–fall as well as  
283 winter–spring and exhibit interannual variability (Figs. 5f,g).

284 The spatial pattern of the Maritime-Continent mode indicates that it has a smaller  
285 longitudinal range than the MJO modes. It does not propagate much beyond 150°E (3i).  
286 During 1992–1993, its temporal pattern appears to be active independently from MJO1  
287 and MJO2 modes, although it could have been amplified with the presence of the latter  
288 two (Figs. 5c,d, and i). Note that the Indo-Pacific intraseasonal–interannual modes and  
289 the Maritime–Continent modes have a common intraseasonal spectral peak at  $\sim 30$  days,  
290 significantly shorter than that of the MJO modes.

291 *(iii) Diurnal cycle*

292 There are several modes in the NLSA spectrum for which the diurnal cycle is the most  
293 prominent timescale of variability. The spatial patterns of both symmetric diurnal modes,  
294 one of which is shown in (Fig. 3c), and antisymmetric diurnal modes (Figs. 3g,h) are most  
295 prominent over land, where the diurnal cycle of convection is most active. The major differ-  
296 ence between the symmetric and the antisymmetric modes is seen in the Western Hemisphere  
297 over South America and part of Africa. For instance, unlike the symmetric modes, the an-  
298 tisymmetric diurnal cycle around 60°W is as strong as that over 30°E. Such contrast can  
299 be easily explained by the antisymmetric land mass over the Western Hemisphere in the  
300 analysis domain. The more subtle difference is over the Maritime Continent area, where  
301 the antisymmetric diurnal cycle has more substantial magnitude. The temporal patterns of  
302 the symmetric diurnal modes (Fig. 5e) are drastically different from those of the antisym-  
303 metric modes (Figs. 5n,o). The former appear to take place year-round, while the latter  
304 are strongly modulated by the seasonal cycle. Mode Diurnal1<sub>A</sub> (Fig. 5n) is stronger in the  
305 boreal winter–spring and weaker in the boreal summer–fall. Mode Diurnal2<sub>A</sub> (Fig. 5o) is  
306 evidently a boreal winter–spring mode.

## 4. Reconstruction of 1992–1993

We validate our mode reconstructions using the well-studied MJO events occurring in the TOGA-COARE IOP (hereafter, COARE IOP). The two complete MJOs observed during that period (e.g., Lin and Johnson 1996b,a; Tung et al. 1999; Yanai et al. 2000) can be seen in the longitude-time section of the symmetric CLAU  $T_B$  in Fig. 1a. Marked by two distinct envelopes of cold  $T_B$  “supercloud clusters” (Nakazawa 1988), these systems propagated eastward from the Indian Ocean to the date line. The first event initiated near  $75^\circ\text{E}$  in late November, subsequently crossed the Maritime Continent around  $100^\circ\text{--}150^\circ\text{E}$ , and disappeared near  $170^\circ\text{W}$  around January 10. The second event, being slightly faster than the first, started around January 5, and reached the central Pacific in early February. Concurrent signals in the antisymmetric  $T_B$  can be seen for these two events (Fig. 1b). A weaker event took place in October 1992, prior to the start of the COARE IOP. Unlike the two strong cases, this event neither exhibited a significant symmetric component beyond the Maritime Continent (Fig. 1a), nor left a discernible trace in the antisymmetric  $T_B$  (Fig. 1b).

The COARE IOP was coincident with the amplifying phase of an El Niño event. Therefore, the MJO events propagated further east beyond the date line, where during normal years the cold sea surface temperature is not conducive to deep convection (e.g., Chen and Yanai 2000; Kessler 2001). The influence of ENSO on MJO propagation is particularly evident in the January–May 1992 portion of Fig. 1, where ENSO was stronger than during the COARE IOP. MJO convection not only propagated further east beyond the date line during that period, but also exhibited significant off-equatorial (i.e., antisymmetric) characteristics. In all of the above cases, the eastward propagating speed of the MJO was  $\sim 4\text{--}5\text{ m s}^{-1}$ . The convective systems around the Maritime Continent were especially complicated before, during, and after the passages of the MJO. In addition, two regions of apparently standing convection over equatorial Africa and America were observed (Figs. 1ab).

332      *(iv) Annual and interannual modes*

333      Figures 6 and 7 show the 1992–1993 space-time reconstruction of the modes identified  
334 with unique markers in Fig. 2. In terms of the annual modes, the amplitude of the first  
335 antisymmetric mode was about twice as strong as that of the symmetric mode, which was  
336 then stronger than the second antisymmetric mode (Figs. 6a and 7a,b). This is under-  
337 standable since the first antisymmetric mode accentuates the preferred Hemisphere for deep  
338 convection, i.e., the Southern (Northern) Hemisphere when antisymmetric  $T_B$  is negative  
339 (positive).

340      The symmetric annual mode (Fig. 6a) indicates that the regions around 70–90° E over the  
341 Indian Ocean and  $\sim 90^\circ$  W over the Eastern Pacific entered the season of overall suppressed  
342 convection, while the Amazon basin around 60° W received overall enhanced convective  
343 activity between 15°S and 15°N from late November to early December during the COARE  
344 IOP. In addition, the antisymmetric modes (Figs. 7a,b) show that centers of deep convection  
345 dictated by the seasonal mean state moved to the Southern Hemisphere in late November  
346 to early December, likely with Africa and South America being the earliest, followed by the  
347 Indo-Pacific warm pool, and Western Atlantic being the latest. The symmetric interannual  
348 mode in Fig. 6b exhibits periods of suppressed deep convection over the Western Pacific  
349 accompanied with enhanced deep convection over the Eastern Pacific. The latter are features  
350 associated with ENSO. The interannual mode was in an amplifying phase during the COARE  
351 IOP, and in a strong phase in January–May 1992. These features are consistent with the  
352 ENSO observations stated earlier.

353      *(v) MJO and other eastward propagating modes*

354      Figure 6c shows the symmetric MJO signal reconstruction based on MJO1 and MJO2  
355 modes. This reconstruction captures the salient features of the propagating envelope of  
356 the MJO deep convection, including the initiation of enhanced deep convection (hence cold  
357 anomalies) over the Indian Ocean, the passage over the Maritime Continent, and the arrival

358 and demise near the date line. The two reconstructed COARE IOP MJO events propagated  
359 at a speed of  $\sim 4\text{--}5\text{ m s}^{-1}$ . It is noteworthy that upon initiation at around  $60^\circ\text{ E}$ , MJO events  
360 traveled through the region of Indian Ocean where the seasonal mean state suppressed deep  
361 convection (Figs. 6a,c). As seen in Fig. 7c, the antisymmetric MJO signal reconstruction also  
362 captures the two MJO events during COARE IOP, although with a slightly slower speed and  
363 weaker signal during the November 1992 event. This is consistent with the seasonal mean  
364 state of deep convection centers being less antisymmetric over the warm pool region when  
365 the November event took place (Figs. 7a,b). Therefore, it is possible that the symmetric  
366 MJO signal is the only mode present at the onset of a chain of boreal winter MJO events  
367 as long as the mean state is symmetric. On the other hand, the antisymmetric MJO signal  
368 was most pronounced during the strong ENSO in January–May 1992 in Fig. 7c. That signal  
369 extends further east beyond the date line than its symmetric counterpart, and therefore likely  
370 captures the interaction between MJO and the SPCZ (Matthews et al. 1996; Matthews 2012).

371 Wang and Rui (1990) and Jones et al. (2004) studied the climatology of tropical in-  
372 traseasonal convection anomalies. The eastward-propagating type of convection anomalies  
373 originating in the Indian Ocean were divided into subtypes. Following Jones et al. (2004),  
374 the three subtypes include one with signals confined in the Indian Ocean that never fully  
375 develop significant eastward propagation towards the western Pacific, one starting with east-  
376 ward propagation but turning northward over the Indian or Western Pacific summer mon-  
377 soon regions (aka. summer Intraseasonal oscillation, ISO), and the MJO. The symmetric  
378 Indo-Pacific intraseasonal–interannual modes (Figs. 6e,f) and the Maritime Continent modes  
379 (Figs. 6h) may be mixed manifestations of the former two subtypes owing to the compres-  
380 sion of two-dimensional spatial data into one dimension. In addition, since the Indo-Pacific  
381 intraseasonal–interannual modes also describe anomalous convective activity near the date  
382 line, they could be related to an EOF mode found in Kessler (2001) representing the MJO–  
383 ENSO connection through propagation of MJO events farther east in the Central Pacific.  
384 Indeed, the stronger of the two modes (Fig. 6e) exhibits enhanced deep convection past the

385 180° date line corresponding well with the enhanced deep convection in the primary ENSO  
386 mode (Fig. 6b). The symmetric Maritime Continent mode (Fig. 6h), moreover, exhibits an  
387 eastward propagating disturbance with a speed of  $\sim 7\text{--}8\text{ m s}^{-1}$ . It mainly comprises of two  
388 deep convective systems, each with a zonal scale of order 5000 km, centered around 90°E  
389 and 135°E, respectively. This mode may represent convective activity around the Maritime  
390 Continent, which exists on its own but is modulated by the passing of the MJO.

391 The different propagation speeds among the symmetric MJO signal, the antisymmetric  
392 MJO signal, and the Maritime-Continent mode suggest fundamentally different mechanisms  
393 in operation. The MJO and the Maritime-Continent modes are therefore examined with  
394 the frequency-wavenumber spectral analysis of their space-time reconstructions over 1984–  
395 2005. Figures 8a,b show the frequency-wavenumber spectra of the 1984–2005 symmetric and  
396 antisymmetric  $T_B$  data, respectively. The spectral power in each panel has been normalized  
397 by its the maximum value. By convention (e.g., Hayashi 1982; Takayabu 1994; Wheeler  
398 and Kiladis 1999), the spectra are overlaid with dispersion curves calculated by assuming a  
399 static base state, with the marked equivalent depths for each equatorially trapped shallow  
400 water wave type (Matsuno 1966; Lindzen and Matsuno 1968). These raw spectra indicate  
401 that eastward-moving MJO is the most dominant subseasonal signal in both symmetric  
402 and antisymmetric  $T_B$ . Indeed, both reconstructed symmetric and antisymmetric MJO  
403 modes exhibit their strongest spectral peaks in the eastward-moving, wavenumber 1–3, and  
404 30–90-day range (Figs. 9a,b). On the other hand, the antisymmetric MJO has relatively  
405 stronger westward-moving components, and spreads more into higher wavenumbers than the  
406 symmetric MJO. The symmetric Maritime Continent mode (Fig. 9c) has a higher frequency  
407 peak at around 30 days. According to the reference dispersion curves, this mode may have  
408 convection-coupled Kelvin and Rossby wave components. However, we refrain from further  
409 interpretation of this mode prior to 2D NLSA.

410      (vi) *Semiannual–interannual linkage*

411      In addition to the eastward-propagating intraseasonal modes in the previous discussion,  
412 the symmetric and antisymmetric semiannual modes (see Fig. 2) also display notable inter-  
413 annual variability (Figs. 5h,p). The reconstruction based on the two symmetric semiannual  
414 modes is consistent with the intensification of Indian Ocean ITCZ to the south of the equa-  
415 tor, the onset of Australian summer monsoon, and intensification of the SPCZ in the later  
416 half of the COARE IOP (Fig. 6g). Note that these processes were suppressed by the ampli-  
417 fication of ENSO (Fig. 6b). A more evident disruption of semiannual variations happened  
418 during the strong ENSO in early 1992, as seen in Fig. 6b with the eastward shift of deep  
419 convection anomalous centers into the Eastern Pacific.

420      The reconstructed antisymmetric semiannual modes are shown in Figs. 7e,f. Unlike  
421 its symmetric counterpart, the antisymmetric semiannual-interannual mode (Fig. 7e) was  
422 negligible during the COARE IOP, being a mostly boreal summer mode (Fig. 5p). This mode  
423 characterizes the enhanced convection over boreal monsoon regions such as the Northern  
424 Indian Ocean (cf. Fig. 7a) as well as the tropical Atlantic in late boreal spring to early  
425 summer. At the same time, it shows enhanced convection to the south of the equator  
426 between  $180^{\circ}$ – $120^{\circ}$  W associated with the peak phase of ENSO in the previous winter (cf.  
427 Fig. 6b). This pattern is consistent with previous observational and modeling studies of  
428 the teleconnection mechanism known as the “tropical atmospheric bridge” between ENSO-  
429 induced SST anomalies in the central equatorial Pacific to remote tropical oceans one to two  
430 seasons after the peak phase of ENSO in the previous winter (e.g., Klein et al. 1999; Lau  
431 et al. 2005).

432      The antisymmetric intraseasonal–semiannual mode has maximum variability over the  
433 Indian Ocean, which is likely associated with the monsoon and intraseasonal variability  
434 in this region (Fig. 7f), somewhat resembling the symmetric Indo-Pacific intraseasonal–  
435 interannual modes (Fig. 6e,f). The anomalous convection over the Indian Ocean, moreover,  
436 forms a propagating dipole with equatorial Africa. It might suggest that several weeks after

437 maximum enhanced convection occurs in the southern Africa, enhanced convection over the  
438 northern Indian Ocean reaches its maximum strength. Such feature would have been missed  
439 in the symmetric averaging. During the COARE IOP, it appears that enhanced convection  
440 of this mode over the Indian Ocean was to the north of the equator at the initiation of the  
441 first MJO in November, but shifted to the south upon the initiation of the second MJO.  
442 Note that the amplitude of this mode is weaker but still comparable to the antisymmetric  
443 MJO modes (cf. Fig. 7c). In the boreal summer, this mode is likely associated with the  
444 Indian summer monsoon and ISO (e.g., Yasunari 1979; Lau and Chan 1986; Knutson and  
445 Weickmann 1987; Wang and Rui 1990; Jones et al. 2004). Lawrence and Webster (2001)  
446 studied the interannual variations of the ISO in the Indian summer monsoon and found  
447 that the ISO–Indian monsoon relationship is independent of the ENSO–Indian monsoon  
448 relationship, which is consistent with our finding of two separate modes to account for the  
449 ENSO–Indian monsoon (Fig. 7e) and the ISO–Indian monsoon (Fig. 7f) connection. One  
450 conjecture for the linkage between the boreal spring–summer patterns of these two modes  
451 during 1992–1993 is: deep convection was enhanced in the northern Indian Ocean after the  
452 onset of South Asian summer monsoon, which was then enhanced through teleconnection  
453 by the Central Pacific ENSO SST anomaly reaching maximum in the previous winter.

454 *(vii) Diurnal modes*

455 The symmetric diurnal modes are a twofold-degenerate pair (Fig. 2). Upon reconstruc-  
456 tion, they reveal the standing diurnal convective events occurring mainly over tropical Africa  
457 and South America (Fig. 6d). The signals over the Maritime Continent are relatively weak.  
458 These diurnal cycles are moderately modulated by the passing of MJO, particularly over  
459 the South American continent, but generally exist year-round. On the other hand, the an-  
460 tisymmetric diurnal modes in Fig. 7d are obviously in phase with the MJO over Africa, the  
461 Maritime Continent, and South America.

## 5. Linkage from diurnal to interannual scales

Figure 10 shows 2D phase spaces spanned by pairs of temporal patterns during 1992–1993. The arc-like trajectories in Figs. 10a,b indicate strong periodicity in the phenomena described by the two symmetric (MJO1, MJO2) and antisymmetric (MJO1<sub>A</sub>, MJO2<sub>A</sub>) MJO modes. The convectively active periods of the two COARE IOP MJO events, identified visually from Fig. 1a, are recorded here with green crosses and red dots marking the first and second events, respectively. It is conventional to define the magnitude of an event at a given time as the distance between the origin and the point on the trajectory at that time (e.g., Shinoda et al. 1998; Matthews 2000; Wheeler and Hendon 2004), i.e.

$$r_S(t) = [\text{MJO1}^2(t) + \text{MJO2}^2(t)]^{1/2}, \quad r_A(t) = [\text{MJO1}_A^2(t) + \text{MJO2}_A^2(t)]^{1/2} \quad (5)$$

Under this criterion, the second event has obviously stronger magnitude than the first. Each phase space can be divided into eight sections indicating eight MJO phases, which are marked by Roman numerals in Figs. 10a,b. These phases, which are cyclic by definition, are calibrated so that Phase I encompasses the time interval during which convective signals of the COARE IOP MJOs initiate over the equatorial Indian Ocean. Spatial field reconstructions for each phase are discussed in detail in Part II.

Figures 10c,d display the two symmetric (Diurnal1, Diurnal2) and antisymmetric diurnal (Diurnal1<sub>A</sub>, Diurnal2<sub>A</sub>) modes, respectively. As expected by the diurnal periodicity of these modes and the 3 h sampling interval of the data, the phase-space coordinates lie along rays passing through the origin, and separated by 45° polar angles. Comparing against the respective MJO modes, an interesting pattern emerges: the relatively strong symmetric MJO component during COARE IOP coincides with suppression of the symmetric diurnal cycle. In contrast, the stronger antisymmetric MJO component is associated with enhanced antisymmetric diurnal cycle. Because the antisymmetric diurnal modes are slaved to the seasonal cycle (section 3), it is natural to ask whether the simultaneous amplification of the

486 antisymmetric diurnal and MJO modes observed during COARE IOP implies more broadly  
487 a seasonal regularity of antisymmetric signals in MJO events. Any deviation from such  
488 regularity would manifest itself as a breach between simultaneously large values of  $r_A(t)$   
489 and the corresponding magnitude associated with the antisymmetric diurnal modes. Indeed,  
490 breaches of this type occur frequently in the two decades of available  $T_B$  data, and are  
491 correlated with the amplitude and sign of the ENSO mode, as we now discuss.

492 Figure 11 displays the same modes as in Fig. 10 during the strong El Niño event from 1997  
493 to 1998. As the ENSO event is amplifying, both symmetric and antisymmetric MJO signals  
494 are strong. As the ENSO reaches full strength, the symmetric MJO signal collapses while  
495 the antisymmetric MJO signal is weakened but remains present. Now, a different pattern is  
496 observed: signals of symmetric and antisymmetric MJO signals are positively correlated with  
497 those of the symmetric and antisymmetric diurnal cycle, respectively. Previous studies have  
498 shown Figure 12 shows the temporal patterns of the ENSO and Maritime Continent modes,  
499 as well as the magnitudes of the symmetric and antisymmetric MJO and diurnal modes.  
500 Strong and persistent positive values of ENSO temporal pattern in Figs. 12a1 and 12b1  
501 indicate El Niño events, such as years 1986–1987, 1991–1992, 1994–1995, 1997–1998, and  
502 2002–2003. On the other hand, prolonged negative values such as those from 1988–1989 and  
503 1999–2001 mark the La Niña events. Note that the the significant events in the magnitude  
504 time series for the MJO and diurnal modes are only those with large positive values.

505 Because of their regular seasonal variability, the magnitude of the antisymmetric diurnal  
506 modes in Figs. 12a3 and 12b3 is a useful indicator to distinguish between the winter–spring  
507 and summer–fall months. The latter are characterized by high and low antisymmetric diurnal  
508 amplitude, respectively (note that the magnitude of the symmetric diurnal modes has no such  
509 seasonal dependence). It is then evident that even though the MJO modes are mostly winter-  
510 spring modes in Fig. 12a2, they appear much more irregular in Fig. 12b2. Such disparities  
511 may be explained with the chain of pronounced ENSO events starting with strong El Niño  
512 followed by years of La Niña states from early 1997 to late 2001. Upon close examination, at

513 the amplifying stage of the El Niño event in 1997, both symmetric and antisymmetric MJO  
514 components were enhanced. Similar situations may have also taken place in 1990 and 2002.  
515 However, after the El Niño reached its full strength in 1998, the symmetric MJO component  
516 diminished, and so did the symmetric diurnal mode. The antisymmetric MJO and diurnal  
517 modes remain unaffected. This simultaneous suppression of symmetric MJO and diurnal  
518 modes also occurred in the winter of the 1991–1992 El Niño. In that winter, the symmetric  
519 MJO magnitude remained near or below the one standard deviation threshold, and the  
520 symmetric diurnal magnitude was below its temporal mean. During La Niña years, most  
521 notably from 1999–2001, both symmetric and antisymmetric MJO modes are suppressed,  
522 while the diurnal modes are unaffected or even enhanced. The interplay between the ENSO,  
523 MJO, and diurnal modes is also evident in Fig. 6.

524 In summary, during neutral and weak ENSO years, the symmetric MJO and diurnal  
525 modes are out of phase, whereas the antisymmetric MJO and diurnal modes are in phase.  
526 During significant El Niño events, the former relationship breaks down in the sense that the  
527 symmetric MJO and diurnal modes are both suppressed, probably due to strongly skewed  
528 MJO and convective activity in space. During significant La Niña events, the correlation  
529 between MJO and diurnal modes diminishes. This might be explained by the westward shift  
530 of warm SST in the Pacific Ocean, limiting the eastward propagation of MJOs, and thus  
531 weakening the magnitude of the associated temporal patterns. These conjectures should be  
532 further examined with NLSA applied to timeseries of 2D  $T_B$  fields.

533 Note that the relationships between the convectively active phase of MJO and the di-  
534 urnal cycle of deep convection have been studied for the Western Pacific warm pool during  
535 January–March 1979 (Sui and Lau 1992) and the COARE IOP (e.g., Chen and Houze 1997;  
536 Sui et al. 1997; Johnson et al. 1999), as well as over tropical oceanic regions and the Mar-  
537 itime Continent during 1998–2005 (Tian et al. 2006). Sui and Lau (1992) suggested that  
538 convective diurnal cycle over the Maritime Continent diminished during periods of active  
539 MJO convection. Tian et al. (2006), on the other hand, found that diurnal cycle of tropical

540 deep convection was enhanced (reduced) over both land and water during the convectively  
541 active (suppressed) phase of the MJO. However, those results are not readily applicable to  
542 interpret our findings. The diurnal cycle–MJO relationships presented here are easily altered  
543 by the state of ENSO, which was not explicitly addressed in these previous studies; and un-  
544 like in the previous studies of diurnal cycles over the ocean, the diurnal modes presented  
545 here mainly describe the symmetric and antisymmetric signals of convective variability over  
546 tropical landmass such as Africa and South America, and the antisymmetric signal over the  
547 Maritime Continent.

## 548 **6. Conclusions and future work**

549 In this work, we have studied the significance of north-south asymmetry in convection  
550 associated with the 20–90-day MJO propagating across the equatorial Indo-Pacific warm-  
551 pool region using high-resolution satellite infrared brightness temperature data ( $T_B$ ). Using  
552 nonlinear Laplacian spectral analysis (NLSA, Giannakis and Majda 2012c, 2013; Giannakis  
553 et al. 2012), a nonlinear manifold generalization of PCA, we decomposed the symmetric and  
554 antisymmetric averages of  $T_B$  over the 15°S–15°N equatorial belt into families of spatiotem-  
555 poral modes for the period 1983–2006 sampled every 3 h. No preprocessing such as seasonal  
556 detrending or intraseasonal bandpass filtering was applied. As a result, the recovered modes  
557 provide a multiscale decomposition of the data into modes of variability ranging from diurnal,  
558 intraseasonal, semiannual, annual, and interannual. Most of these modes are likely results  
559 of multiscale interactions in Nature, therefore exhibiting multiscale spectral characteristics.

560 MJO modes, occurring with significant strength mostly in boreal winter to spring, were  
561 recovered in each of the symmetric and antisymmetric datasets. Both symmetric and an-  
562 tisymmetric signals of MJO convection originate in the Indian Ocean around 60° E. They  
563 coexist for all significant MJO events, although with varying combination event by event  
564 most notably affected by the state of ENSO (Fig. 12n). The symmetric signal of MJO

565 convection tends to peak over the Indian Ocean before being suppressed upon reaching the  
566 Maritime Continent around  $120^\circ$  E. It then regains partial strength in the Western Pacific  
567 (Fig. 6c). On the other hand, the antisymmetric signal of MJO convection is not as in-  
568 hibited by the Maritime Continent and reaches maximum strength in the western Pacific  
569 (Fig. 7c). It propagates with a slightly slower phase speed than the symmetric signal, but  
570 travels further east into the SPCZ. Moreover, the antisymmetric MJO signal has a stronger  
571 westward-propagating component than its symmetric counterpart. These differences in peak  
572 phase and propagation speed indicate fundamental differences to the mechanisms leading to  
573 the manifestation of these signals. This is also suggested by frequency-wavenumber spectra  
574 (Figs. 9a,b).

575 Through the associated temporal patterns (analogous to PCs) of these two MJO modes,  
576 we created symmetric and antisymmetric MJO indices which were employed in turn to  
577 identify MJO events in the observation period with strong symmetric or antisymmetric  
578 components in the signals of deep convection. Another important distinction between the  
579 predominantly symmetric and antisymmetric MJO events concerns their relation with ENSO  
580 and the diurnal cycle (Fig. 12). During neutral ENSO and weak ENSO years, the symmet-  
581 ric MJO component is out of phase with the leading symmetric diurnal mode, while the  
582 antisymmetric MJO is in phase with the corresponding antisymmetric diurnal mode. The  
583 former relationship breaks down during strong El Niño events. Both relationships might  
584 break down during strong La Niña events.

585 The space-time reconstruction of the MJO and other NLSA modes during 1992–1993  
586 was studied in detailed (see section 4). Two MJO events were observed during the TOGA-  
587 COARE IOP (November 1992–February 1993). In the beginning of 1992, a strong positive  
588 ENSO event took place in the background of two more significant MJO events. The recon-  
589 structions of broadband interannual to interannual modes serve as the background states for  
590 these MJO events (Figs. 6,7). An intriguing Maritime Continent mode was found represent-  
591 ing a  $\sim 30$ -day system with mixed equatorial Rossby wave and Kelvin wave signals that takes

592 place throughout the year near the Maritime Continent (Figs. 9c and 6c). In addition, two  
593 eastward-propagating intraseasonal modes were recovered, explaining variability of the MJO  
594 at its initiation time over the Indian Ocean and its demise near the date line (Figs. 6e,f). The  
595 latter is critical for the depiction of MJO propagation under the influence of ENSO. Lastly,  
596 the intraseasonal–semiannual modes with strong variability over the Indian Ocean may be  
597 associated with the ISO in the boreal summer, in addition to the initiation of MJO in the  
598 boreal winter (Figs. 6e,f and 7f), among which the antisymmetric mode exhibit a dipole of  
599 anomalous convection over equatorial Africa and the Indian Ocean (Fig. 6f).

600 These findings motivate the reconstruction of the kinematic and thermodynamics fields  
601 associated with the symmetric and antisymmetric MJO modes, which are presented in the  
602 Part II of this work (Tung et al. 2013). We plan to study the challenging question of  
603 intermittent MJO initiation and termination, as well as the physical mechanisms of the  
604 multiscale interactions between interannual, intraseasonal and diurnal modes in future work  
605 involving NLSA of 2D fields.

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## Comparison with SSA

619 For completeness, we have compared the NLSA spatiotemporal patterns with the corre-  
 620 sponding patterns recovered through SSA using the same  $\overline{T_B(\Lambda, t)}_A$  and  $\overline{T_B(\Lambda, t)}$  datasets  
 621 and  $\Delta t = 64$  d embedding window, examples of which are shown in Figs. 13 and 14. The  
 622 main commonalities and differences between the two approaches are as follows.

623 For the first few modes in the top of the spectrum, the spatial patterns  $\mathbf{u}_i$  are qualitatively  
 624 similar, but the corresponding temporal patterns  $\mathbf{v}_i$  and spatiotemporal reconstructions from  
 625 SSA exhibit significantly weaker amplitude modulation than in NLSA. This behavior is es-  
 626 pecially prominent in the antisymmetric MJO modes, which are displayed in Figs. 13c,d  
 627 and 14a for the 1992–1993 reference period. There, instead of the sharp amplitude modu-  
 628 lation favoring winter MJO activity in the NLSA reconstructions in Fig. 6, the SSA MJO  
 629 patterns occur as more or less continuous wavetrains, with no clear distinction between sum-  
 630 mer and winter activity. Likewise, the antisymmetric diurnal modes from SSA (Figs. 13e,f  
 631 and 14b) persist at a nearly constant amplitude throughout the year without regards to sea-  
 632 son and/or passing of an MJO. Similar statements can be made about the symmetric MJO  
 633 and diurnal modes, although in this case the differences in amplitude modulation between  
 634 the NLSA and SSA modes are not as significant.

635 As one might expect, the two methods differ qualitatively for the low-variance modes ly-  
 636 ing further down in the spectrum (for other examples, see Giannakis and Majda 2012a,c). In  
 637 particular, we have found no evidence of a mode analogous to the Maritime Continent mode  
 638 in the SSA spectrum. Instead, the SSA spectrum contains several wavetrain-like modes fea-  
 639 turing simultaneous eastward- and westward-propagating structures with no obvious physical  
 640 interpretation. Moreover, the NLSA spectrum of the symmetric data contains a second set of

641 diurnal modes (not discussed in this paper), which are mainly active over the Amazon region  
642 during boreal summer. A second set of diurnal modes also arises in SSA, but these modes  
643 are active over both the Congo and Amazon regions (i.e., they are qualitatively similar to  
644 the leading set of symmetric diurnal modes), and exhibit weak amplitude modulation.

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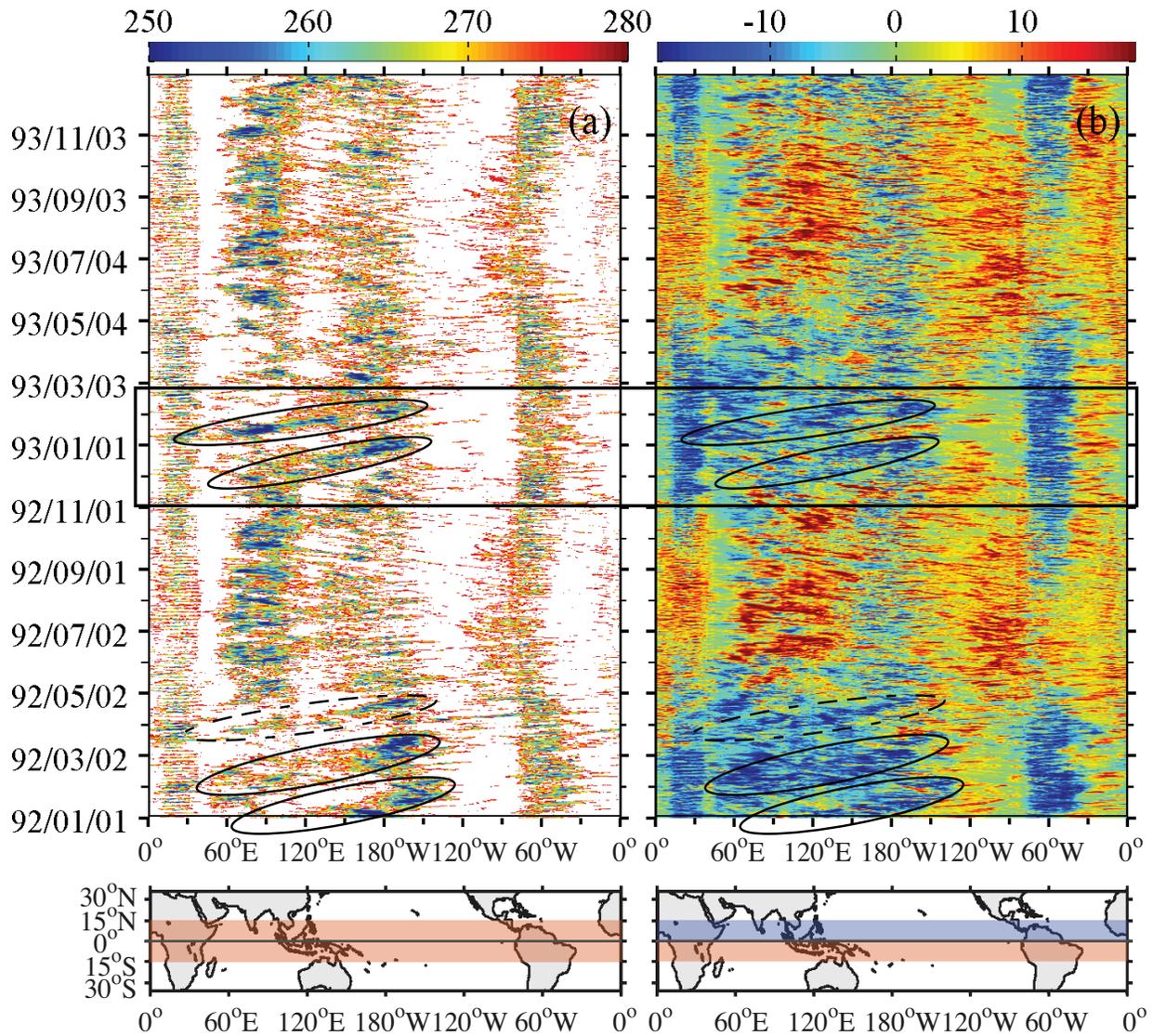


FIG. 1. Time-longitude section of (a) symmetric and (b) antisymmetric brightness temperature  $T_B$  data (in K) from CLAUS for the period 1992–1993. In (a) only thresholded values  $< 280$  K are shown to emphasize convective activity. The bottom map in (a) indicates that the symmetric component was obtained via averaging over 15°S to 15°N. The antisymmetric component in (b) was obtained by subtracting the values at the northern latitudes from the corresponding southern latitudes. The boxed interval corresponds to the TOGA-CORE IOP. Ovals mark significant MJO events.

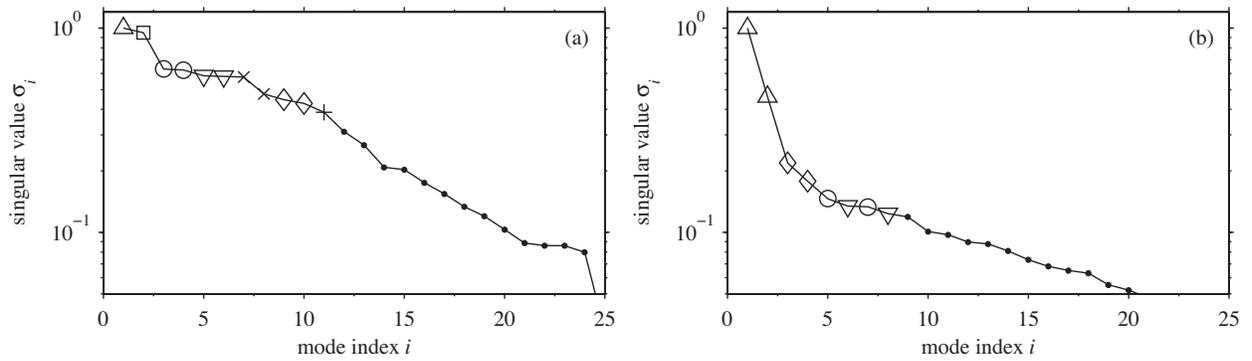


FIG. 2. Singular values  $\sigma_i$  of the NLSA modes for (a) the symmetric, and (b) antisymmetric data. (Triangles) Annual; (Square) interannual; (Diamonds) semiannual; (Circles) MJO; (Upturned triangles) diurnal; ( $\times$ ) Indo-Pacific intraseasonal–interannual; (+) Maritime Continent modes.

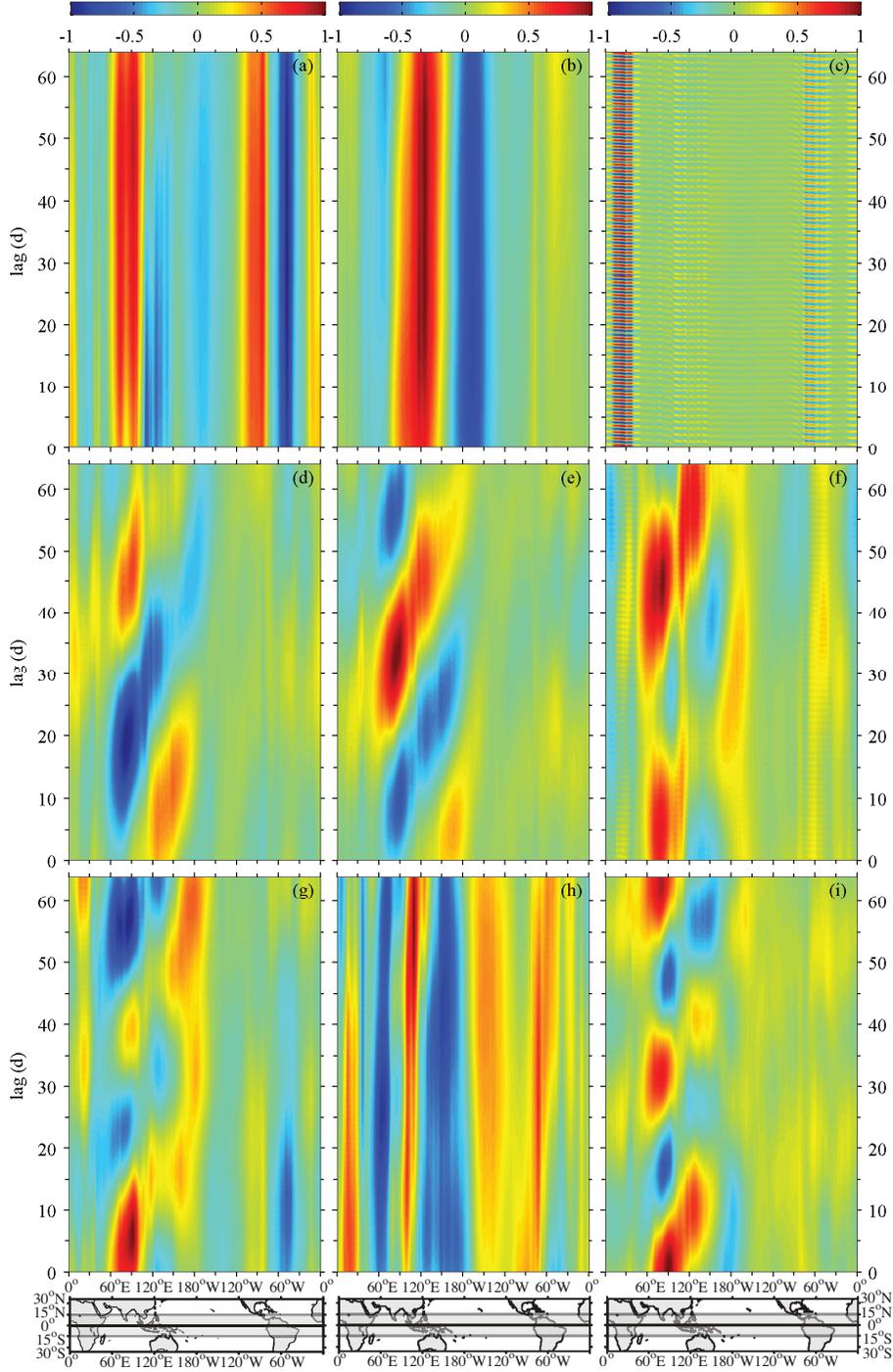


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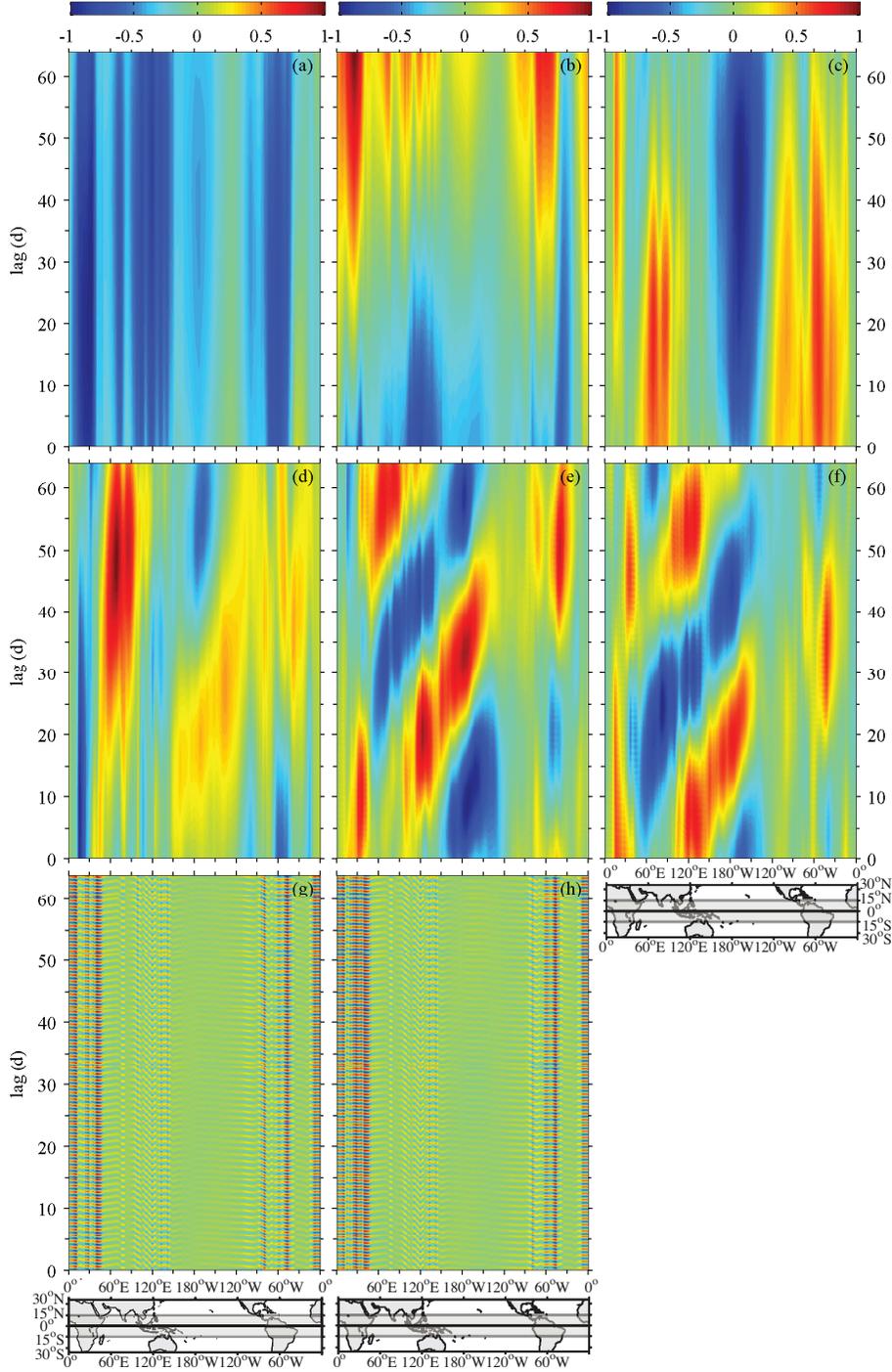


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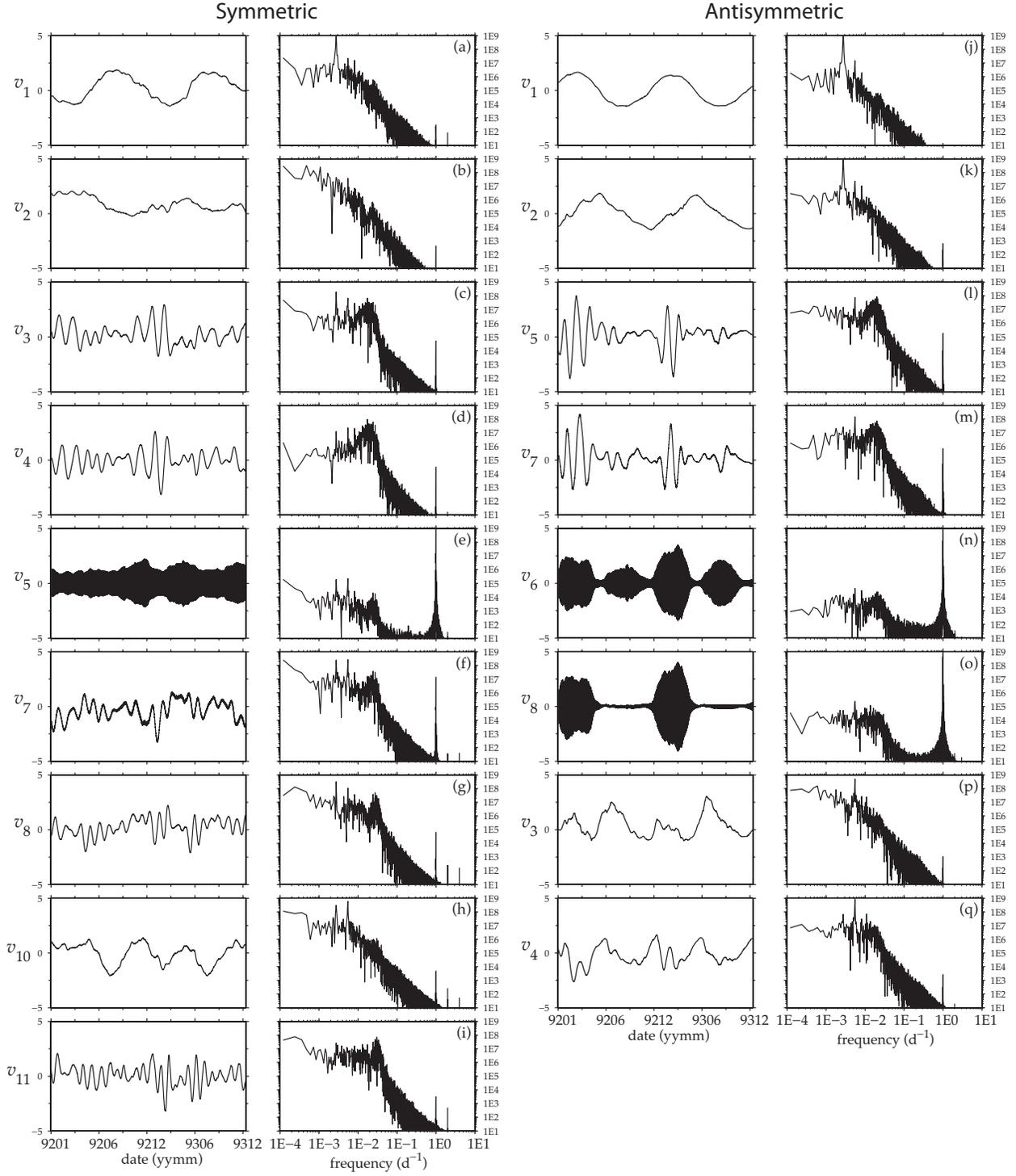


FIG. 5. Temporal patterns  $\mathbf{v}_i$  (right singular vectors) and Fourier spectra for the symmetric (a–i) and antisymmetric (j–q) modes in Fig. 2. (a,j,k) Annual modes; (b) interannual mode; (c,d and l,m) MJO pairs; (e) first symmetric diurnal mode; (f,g) Indo-Pacific intraseasonal–interannual modes; (h,p,q) semiannual modes; (i) Maritime Continent mode; (m,n) antisymmetric diurnal pair. The temporal patterns of symmetric modes  $v_6$  and  $v_{10}$  are qualitatively similar to modes  $v_5$  and  $v_9$ , respectively, and therefore not shown for conciseness.

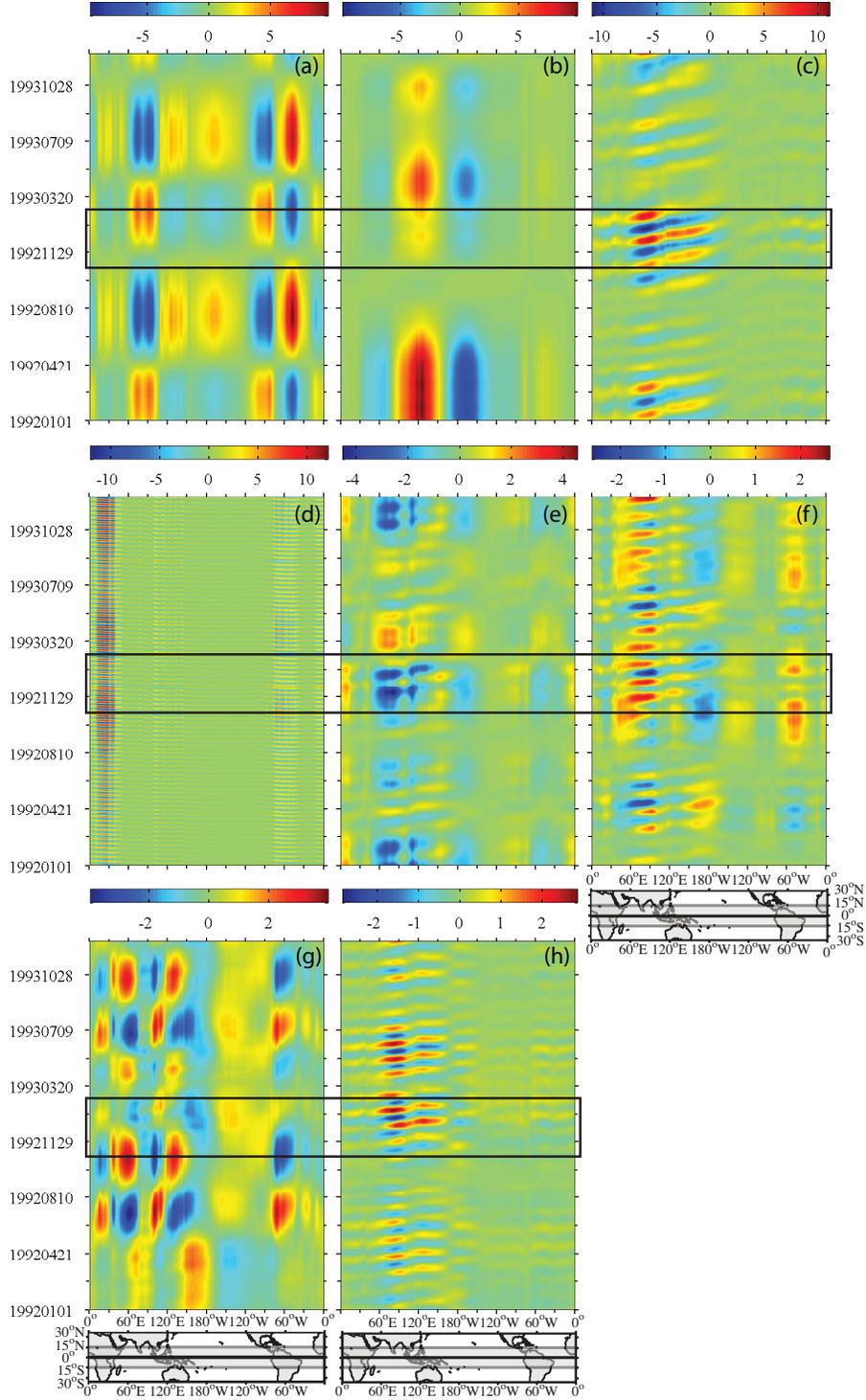


FIG. 6. Spatiotemporal reconstructions of the symmetrically averaged  $T_B$  field ( $\overline{T_B(\lambda, t)}$ , in K) for 1992–1993 using NLSA. (a) Annual mode ( $i = 1$ ); (b) interannual mode ( $i = 2$ ); (c) symmetric MJO pair ( $i = 3, 4$ ); (d) symmetric diurnal pair ( $i = 5, 6$ ); (e,f) Indo-Pacific intraseasonal–interannual modes ( $i = 7$  and  $i = 8$ ); (g) semiannual pair ( $i = 9, 10$ ); (h) Maritime Continent mode ( $i = 11$ ). The boxed interval corresponds to the TOGA-CORE IOP.

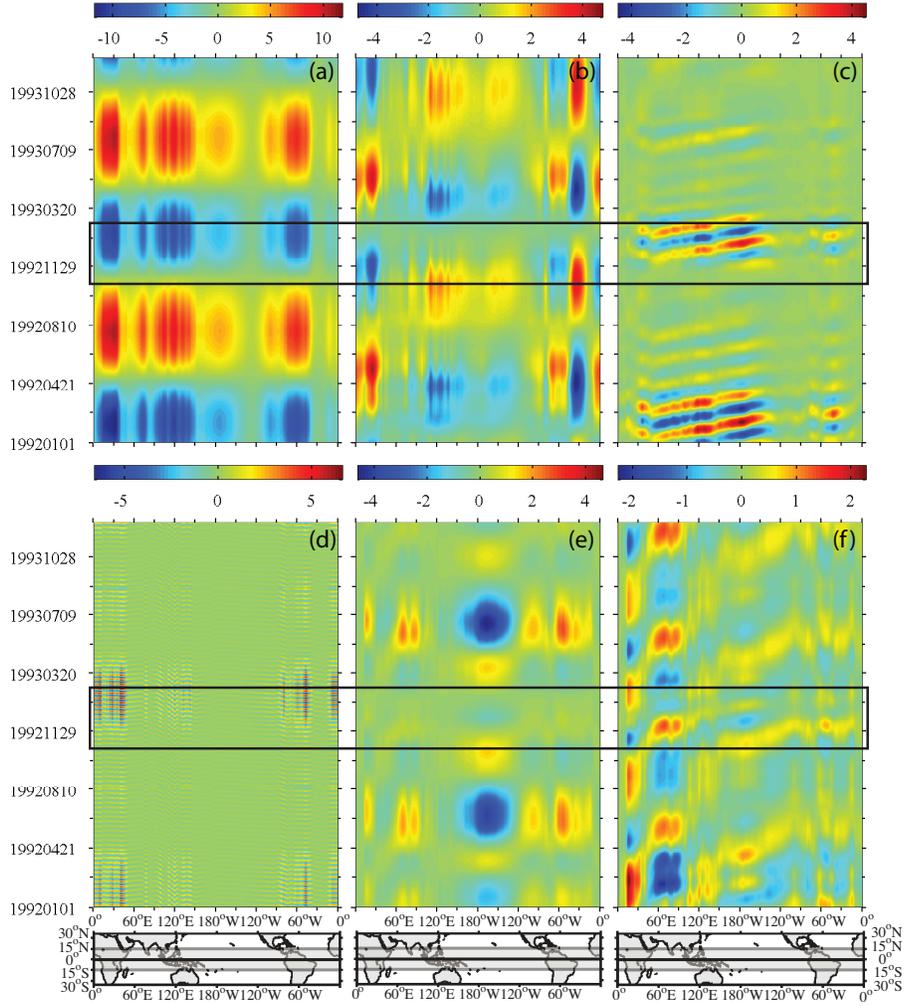


FIG. 7. Similar to Fig.6, but for spatiotemporal reconstructions of the antisymmetrically averaged  $T_B$  field  $(\overline{T_B}(\lambda, t))_A$ , in K for 1992–1993 using NLSA. (a,b) annual modes ( $i = 1$  and  $i = 2$ ); (c) antisymmetric MJO pair ( $i = 5, 7$ ); (d) antisymmetric diurnal pair ( $i = 6, 8$ ); (e) semiannual–interannual mode,  $i = 3$ ; (f) intraseasonal–semiannual mode,  $i = 4$ .

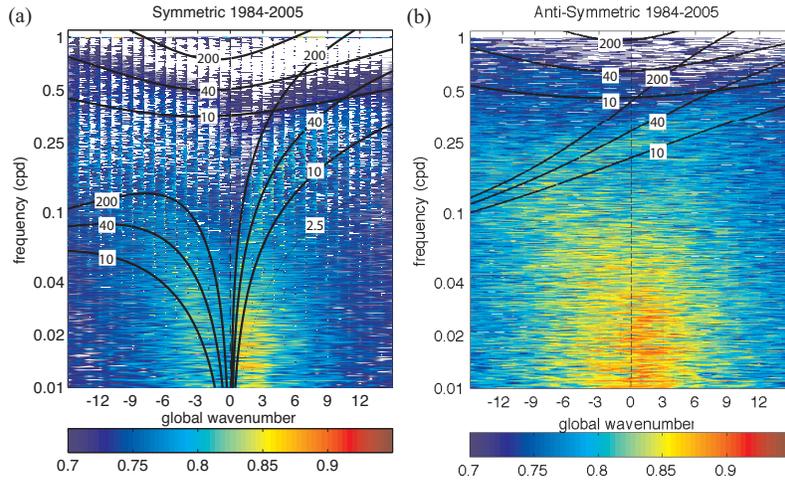


FIG. 8. Raw frequency-wavenumber power spectra for (a) symmetric and (b) antisymmetric CLAUS  $T_B$  data ( $\overline{T_B(\Lambda, t)}$  and  $\overline{T_B(\Lambda, t)}_A$ , in K) for the period 1984–2005. Logarithms of powers normalized by the domain maximum with resulting values  $\geq 0.7$  are shown. The ordinates are scaled logarithmically. The dispersion curves shown are (a) meridional mode,  $n = 1$  inertio-gravity waves and equatorial Rossby waves, and equatorial Kelvin waves; (b) meridional mode,  $n = 0$  eastward-propagating inertio-gravity waves and mixed Rossby-gravity waves, and  $n = 2$  inertio-gravity waves. The associated equivalent depths are in m.

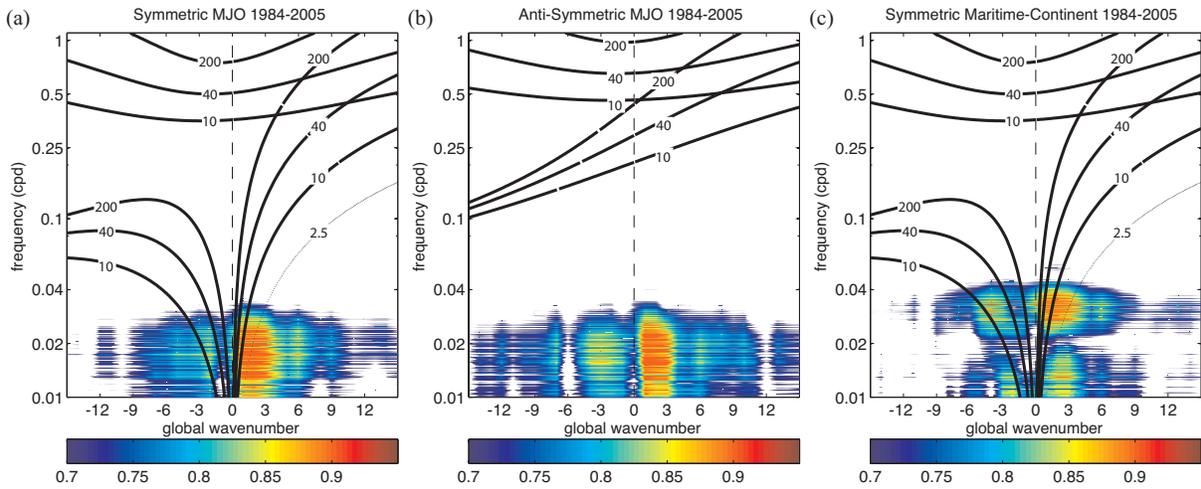


FIG. 9. Same as Fig. 8, but for (a) symmetric MJO; (b) antisymmetric MJO; (c) symmetric Maritime Continent modes.

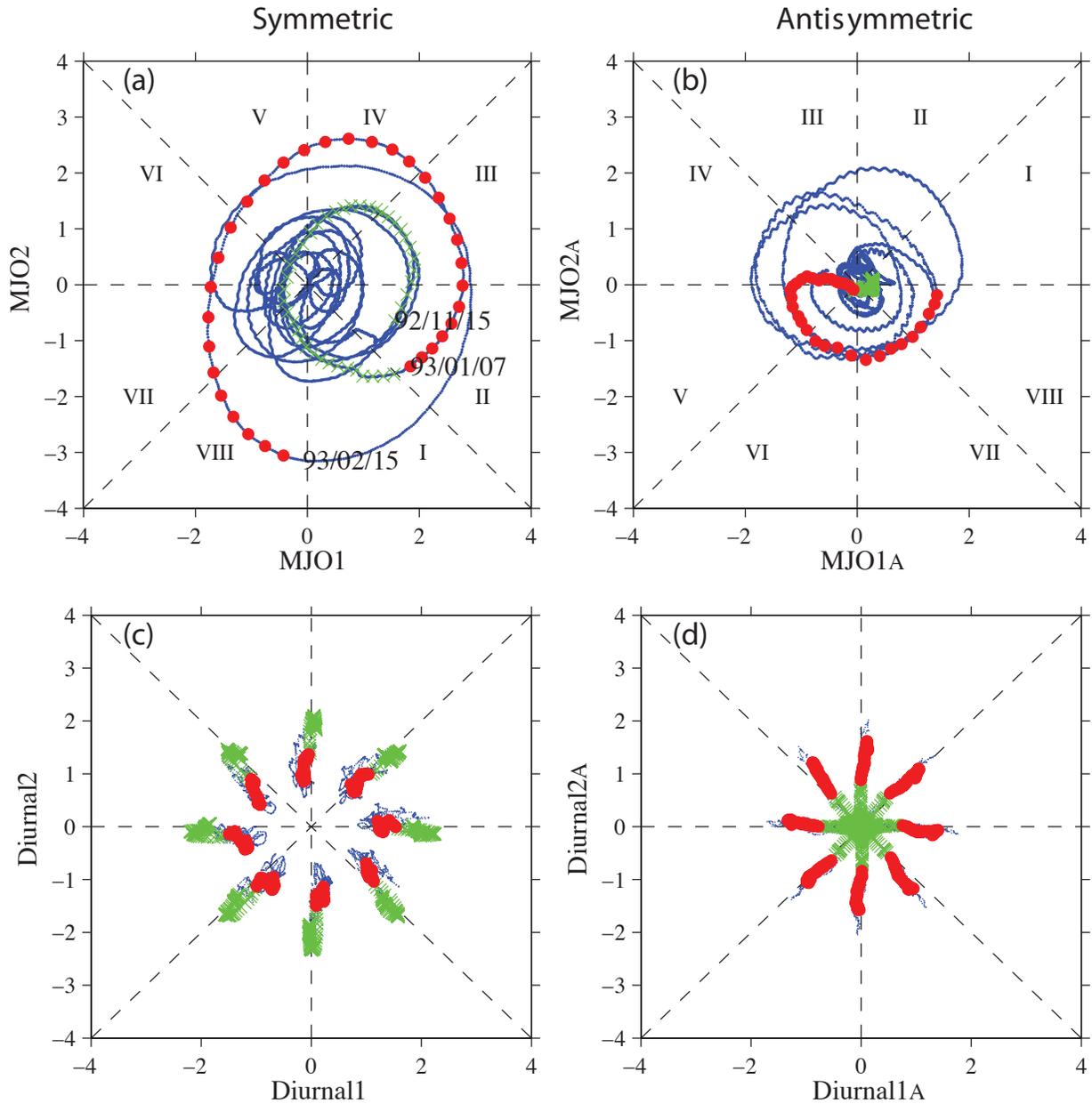


FIG. 10. Phase space diagrams for 1992–1993. (a) Symmetric MJO pair (MJO1, MJO2); (b) antisymmetric MJO pair (MJO1<sub>A</sub> and MJO2<sub>A</sub>); (c) symmetric diurnal pair (Diurnal1 and Diurnal2); (d) antisymmetric diurnal pair (Diurnal1<sub>A</sub> and Diurnal2<sub>A</sub>). The green crosses (X) mark the weaker MJO event observed during TOGA-COARE IOP from mid-November 1992 to early January 1993. The red dots (O) mark the later, stronger event terminating in mid-February 1993. Roman numerics in (a) and (b) denote the eight MJO phases, calibrated against the COARE IOP events so that they correspond to a sequence of enhanced eastward-propagating convective activity from the Eastern to Western Hemispheres.

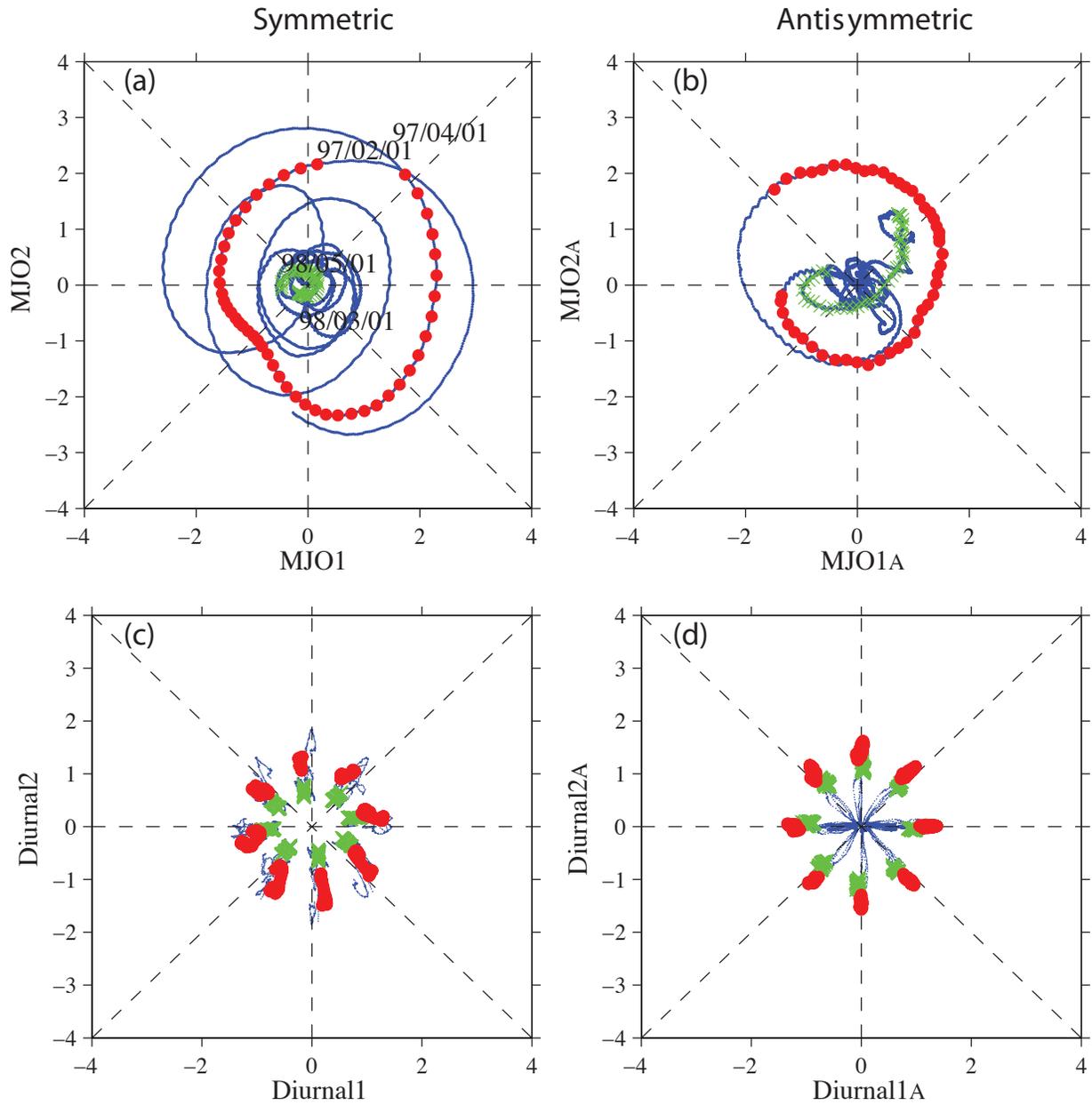


FIG. 11. Similar to Fig. 10, except for phase space diagrams for 1997–1998. The red dots (O) mark the strong MJO event occurring from February to April 1997 during ENSO amplification. The green crosses (X) mark the weak MJO occurring from March to May 1998 during strong ENSO.

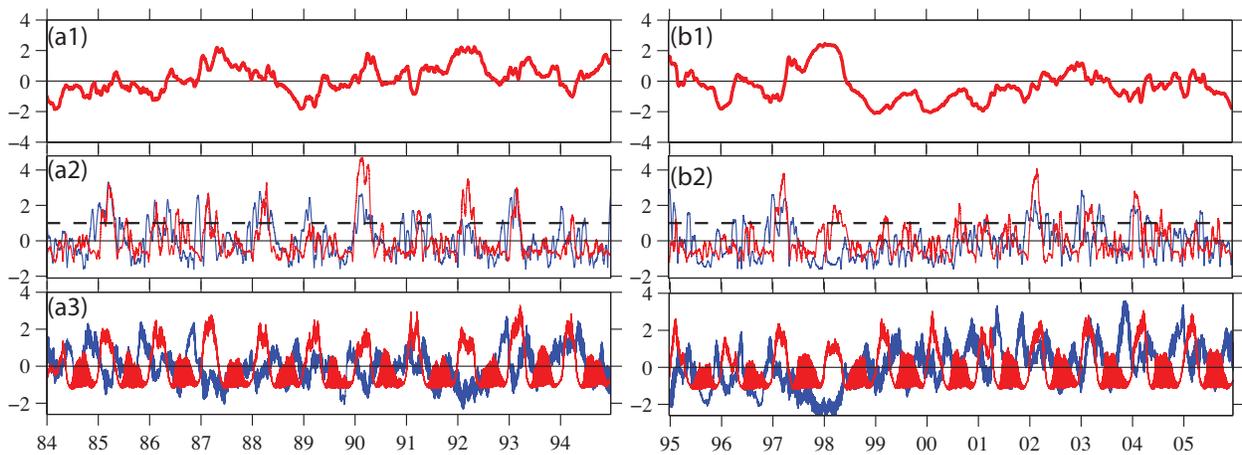


FIG. 12. Temporal patterns for (a) 1984–1994 and (b) 1995–2005. (a1, b1) Symmetric inter-annual mode (red) normalized by its standard deviation; (a2,b2) standardized magnitudes of the symmetric (blue) and antisymmetric (red) MJO modes, with dashed lines marking one standard deviation above the mean (i.e., zero in the standardized series); (a3, b3) standardized magnitudes of the symmetric (blue) and antisymmetric (red) diurnal modes.

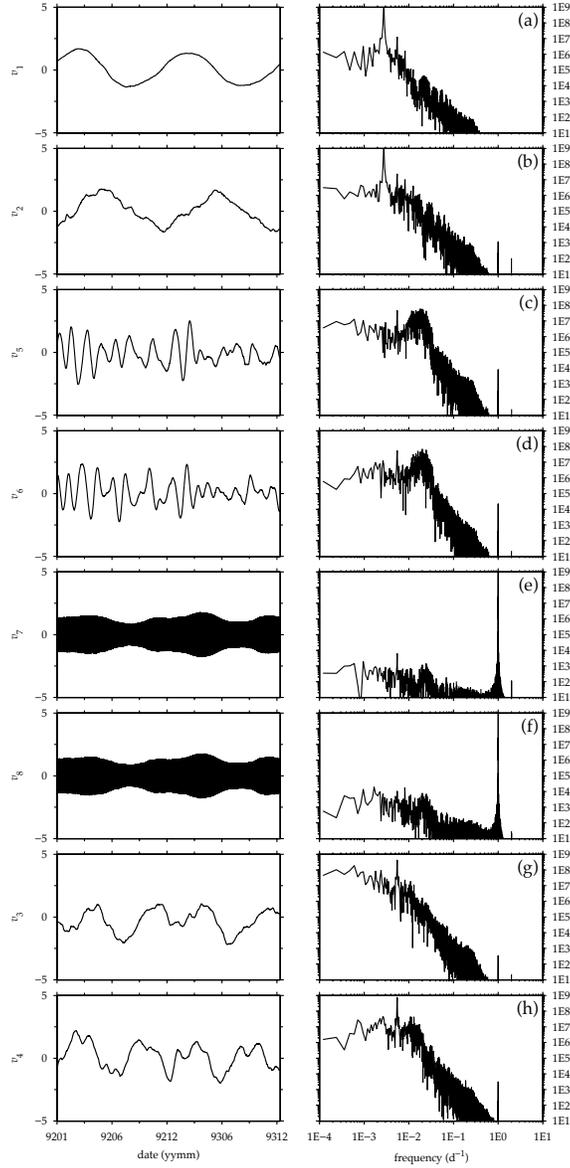


FIG. 13. Temporal patterns  $\mathbf{v}_i$  (right singular vectors) and Fourier spectra for the anti-symmetric modes from SSA. (a,b) Annual modes; (c,d) MJO pair; (e,f) diurnal pair; (g,h) semiannual modes.

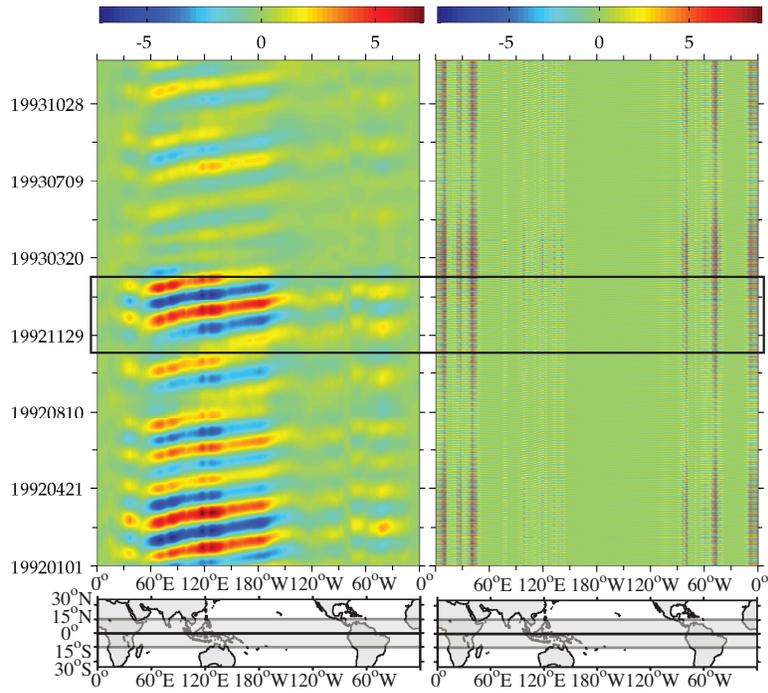


FIG. 14. Spatiotemporal reconstructions of the antisymmetrically averaged  $T_B$  field (in K) for 1992–1993 using SSA. (a) MJO pair; (b) diurnal pair. The boxed interval corresponds to the TOGA-CORE IOP.