

A Stochastic Skeleton Model for the MJO

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Abstract

The Madden-Julian oscillation (MJO) is the dominant mode of variability in the tropical atmosphere on intraseasonal timescales and planetary spatial scales. Despite the primary importance of the MJO and the decades of research progress since its original discovery, a generally accepted theory for its essential mechanisms has remained elusive. In recent work by two of the authors, a minimal dynamical model has been proposed that recovers robustly the most fundamental MJO features of (I) a slow eastward speed of roughly 5 ms^{-1} , (II) a peculiar dispersion relation with $d\omega/dk \approx 0$, and (III) a horizontal quadrupole vortex structure. This model, the skeleton model, depicts the MJO as a neutrally-stable atmospheric wave that involves a simple multiscale interaction between planetary dry dynamics, planetary lower-tropospheric moisture, and the planetary envelope of synoptic-scale activity. In this article, we show that the skeleton model can further account for (IV) the intermittent generation of MJO events and (V) the organization of MJO events into wave trains with growth and

24 demise, as seen in nature. We achieve this goal by developing a simple stochastic
25 parametrization for the unresolved details of synoptic-scale activity, that is coupled to
26 otherwise deterministic processes in the skeleton model. In particular, the intermittent
27 initiation, propagation and shut down of MJO wave trains in the skeleton model occur
28 through these stochastic effects. This includes examples with a background warm-
29 pool where some initial MJO-like disturbances propagate through the western region
30 but stall at the peak of background convection/heating corresponding to the maritime
31 continent in nature.

32 1 Introduction

33 The dominant component of intraseasonal variability in the tropics is the 40 to 50 day tropical
34 intraseasonal oscillation, often called the Madden-Julian oscillation (MJO) after its discoverers
35 (Madden and Julian, 1971; Madden and Julian, 1994). In the troposphere, the MJO is an equa-
36 torial planetary-scale wave, that begins as a standing wave in the Indian Ocean and propagates
37 eastward across the western Pacific ocean at a speed of around 5 m s^{-1} . The planetary-scale circu-
38 lation anomalies associated with the MJO significantly affect monsoon development, intraseasonal
39 predictability in midlatitudes, and the development of the El Niño events in the Pacific ocean,
40 which is one of the most important components of seasonal prediction.

41 Despite the primary importance of the MJO and the decades of research progress since its
42 original discovery, no theory for the MJO has yet been generally accepted, and the problem of
43 explaining the MJO has been called the search for the Holy Grail of tropical atmospheric dy-
44 namics (Raymond, 2001). Simple theories provide some useful insight on certain isolated aspects
45 of the MJO, but they have been largely unsuccessful in reproducing all of its fundamental fea-
46 tures together (Zhang, 2005). Meanwhile, present-day simulations by general circulation models
47 (GCMs) typically have poor representations of it (Lin et al., 2006; Kim et al., 2009). A grow-
48 ing body of evidence suggests that this poor performance of both theories and simulations in
49 general is due to the inadequate treatment of the organized hierarchy of tropical processes as a
50 whole (e.g. Hendon and Liebmann, 1994; Zhang, 2005; Moncrieff et al., 2007; Lau and Waliser,

51 2012). This hierarchy involves interactions between organized structures of tropical convection
52 (convectively-coupled waves, cloud-clusters...), that are defined on a vast range of spatiotemporal
53 scales (synoptic, mesoscale...) and that generate the MJO as their planetary envelope .

54 This organized hierarchy of tropical processes is the focus of various observational initiatives
55 and modeling studies. The challenges to deal with are two-fold. First, there is a general lack
56 of theoretical understanding of this hierarchy and of its relation to the MJO. For instance, in-
57 sight has been gained from the study of MJO-like waves in multcloud model simulations and in
58 superparametrization computer simulations, which appear to capture many of the observed fea-
59 tures of the MJO by accounting for smaller-scale convective structures within the MJO envelope
60 (Grabowski, 2001; Grabowski and Moncrieff, 2004; Moncrieff, 2004; Majda et al., 2007; Khouider
61 and Majda, 2007). In fact, the multcloud model coupled to a state of the art GCM with coarse
62 resolution has been shown to produce a MJO with realistic structure in idealized simulations
63 (Khouider et al., 2011). As another example, the role of synoptic scale waves in producing key fea-
64 tures of the MJO's planetary scale envelope has been elucidated in multiscale asymptotic models
65 (Majda and Biello, 2004; Biello and Majda, 2005; Majda and Stechmann, 2009a; Stechmann et al.,
66 2013). Secondly, a consequent limitation of current GCMs and models in general that simulate the
67 MJO is the resolution of small scale moist processes. In these models computing resources signifi-
68 cantly limit spatial resolution (to $\approx 10 - 100 km$), and there are therefore several important small
69 scales that are unresolved or parametrized according to various recipes. As regards tropical convec-
70 tion, unresolved processes at smaller scales such as deep convective clouds show some particular
71 features in space and time, such as high irregularity, high intermittency and low predictability.
72 Some good candidates to account for those processes while remaining computationally efficient
73 appear to be suitable stochastic parametrizations (Majda et al., 2008; Palmer, 2012). Generally
74 speaking, these models consist in coupling some simple stochastic triggers (e.g., birth/death, spin-
75 flip, coarse-grained lattice models...) to the otherwise deterministic processes, according to some
76 probability laws motivated by physical intuition gained (elsewhere) from observations and detailed
77 numerical simulations (Gardiner, 1994; Katsoulakis et al., 2003; Lawler, 2006). This methodology
78 has been successful in parametrizing with more realism some essential processes of tropical vari-

79 ability, in a broad range of applications (Majda and Khouider, 2002; Khouider et al., 2003; Majda
80 and Stechmann, 2008; Khouider et al., 2010; Stechmann and Neelin, 2011; Frenkel et al., 2012;
81 Frenkel et al., 2013). A particular focus of the present article is the relevance of such methodology
82 to the MJO.

83 While theory and simulation of the MJO remain difficult challenges, they are guided by some
84 generally accepted, fundamental features of the MJO on intraseasonal-planetary scales that have
85 been identified relatively clearly in observations (Hendon and Salby, 1994; Wheeler and Kiladis,
86 1999; Zhang, 2005). These features are referred to here as the MJO’s “skeleton” features (Majda
87 and Stechmann, 2009b):

- 88 I. A slow eastward phase speed of roughly 5 ms^{-1} ,
- 89 II. A peculiar dispersion relation with $d\omega/dk \approx 0$, and
- 90 III. A horizontal quadrupole structure.

91 Recently, Majda and Stechmann (2009b) introduced a minimal dynamical model, the skeleton
92 model, that captures the MJO’s intraseasonal features (I-III) together for the first time in a
93 simple model. The model is a nonlinear oscillator model for the MJO skeleton features and the
94 skeleton features of tropical intraseasonal variability in general. It depicts the MJO as a neutrally-
95 stable atmospheric wave that involves a simple multiscale interaction between (i) planetary-scale,
96 dry dynamics, (ii) planetary-scale, lower-tropospheric moisture and (iii) the planetary envelope
97 of synoptic-scale convection/wave activity. In particular, there is no instability mechanism at
98 planetary scale, and the interaction with sub-planetary processes (iii) discussed above is accounted
99 for, at least in a crude fashion (see alternatively Wang and Liu, 2011; Liu and Wang, 2012).

100 While the features (I-III) are the salient intraseasonal-planetary features of MJO composites,
101 individual MJO events often have unique features beyond the MJO’s skeleton. These features are
102 referred to here as the MJO’s “muscle” features (Majda and Stechmann, 2009b). They include for
103 example refined zonal and vertical structures as well as complex dynamic and convective features
104 within the MJO envelope (e.g., front-to-rear vertical tilts, westerly wind bursts...), with charac-
105 teristics and intensity that differ from one MJO event to another (Kikuchi and Takayabu, 2004;

106 Kiladis et al., 2005; Tian et al., 2006; Kiladis et al., 2009). Majda and Stechmann (2011) has
107 shown that the skeleton model, despite its minimal design, can account qualitatively for certain of
108 these MJO’s “muscle” features in suitable settings. In a collection of numerical experiments, the
109 non-linear skeleton model has been shown to simulate MJO events with significant variations in
110 occurrence and strength, asymmetric east-west structures, as well as a preferred localization over
111 the background state warm pool region.

112 In the present article, the goal is to account qualitatively for more realistic MJO’s “muscle”
113 features within the skeleton model. Two particular features of interest that we will recover are

114 IV. The intermittent generation of MJO events, and

115 V. The organization of MJO events into wave trains with growth and demise.

116 These features, though essential to our understanding of the MJO, remain quite elusive. There
117 is for example an on-going discussion on assessing to which extent the MJO events are either
118 generated as resulting from the internal variability of certain tropical processes or as a secondary
119 response to independently existing extratropical forcings (Zhang, 2005; Lau and Waliser, 2012).
120 A related question is why this generation is highly intermittent, with sometimes some clearly
121 identified precursors and sometimes few or none (Matthews, 2008; Straub, 2013). In addition, the
122 MJO events as observed in nature tend to organize into wave trains i.e. into series of successive
123 MJO events, either two, three, or sometimes more in a row (Matthews, 2008; Yoneyama et al.,
124 2013). There is notably a general lack of understanding of the processes controlling the growth
125 and demise of those wave trains.

126 Here, we will show that features (IV) and (V) can be accounted for only from the internal
127 variability of a few essential tropical processes such as the ones depicted in the skeleton model.
128 To achieve this goal, we will embed within the skeleton model a simple yet suitable stochastic
129 parametrization, namely a birth/death process (the simplest continuous-time Markov process),
130 that will allow for an intermittent evolution of (iii) the planetary envelope of synoptic activity
131 (Gardiner, 1994; Lawler, 2006). This stochastic parametrization follows the same prototype found
132 in the related studies mentioned above (e.g. as reviewed in Majda et al., 2008). However, while
133 those studies usually focus on parametrizing unresolved mesoscale processes (the ones unresolved

134 in GCMs), the stochastic parametrization proposed here is intended at the unresolved synoptic
135 processes in the skeleton model. Synoptic scale processes are a complex menagerie of convectively
136 coupled equatorial waves, such as 2-day waves, convectively coupled Kelvin waves, etc, with high
137 irregularity and intermittency (Kiladis et al., 2009). Some of these synoptic details (but not all)
138 are important to the MJO, as they can be both modulated by the planetary background state
139 and contribute to it, for example through upscale convective momentum transport or enhanced
140 surface heat fluxes (Majda and Biello, 2004; Biello and Majda, 2005; Majda and Stechmann, 2009a;
141 Stechmann et al., 2013; Dias et al., 2013).

142 In the present article, we will document to what extent this “stochastic skeleton model” with
143 minimal design and stochastic parametrization accounts for both the features (I-III) and (IV-V) in
144 suitable simulation settings. We will consider two simulations in statistically equilibrated regime,
145 one with a homogeneous background state and one with a background state representative of the
146 equatorial warm pool.

147 The article is organized as follows. In section 2 we recall the design and main features of the
148 skeleton model, and present the stochastic version used here. In section 3 we present the solutions
149 of the stochastic skeleton model for a homogeneous background state. In section 4 we present the
150 solutions in different settings with a background state representative of the equatorial warm pool.
151 Section 5 is a discussion with concluding remarks. In appendix A we detail the numerical method,
152 and in appendix B we briefly summarize some additional sensitivity tests that show the robustness
153 of results to changes in model parameters.

154 **2 Summary of the Skeleton Model**

155 **2.1 Non-linear Skeleton Model**

156 The skeleton model has been originally proposed by Majda and Stechmann (2009b) (hereafter
157 MS009), and further analyzed in Majda and Stechmann (2011) (hereafter MS2011). It is a min-
158 imal non-linear oscillator model, defined at planetary scale, that depicts the MJO as a neutrally
159 stable wave. The fundamental assumption in the skeleton model is that the MJO involves a sim-

160 ple multiscale interaction between (i) planetary-scale, dry dynamics, (ii) planetary-scale, lower-
161 tropospheric moisture and (iii) synoptic-scale, convection/wave activity. The last quantities (ii)
162 and (iii) in particular are represented by the variables q and a , respectively:

163 - q : Lower-tropospheric moisture anomalies.

164 - a : Amplitude of the envelope of synoptic activity.

165 Note that both quantities are defined at planetary-scale: the planetary envelope a in particular is
166 a collective (i.e. integrated) representation of the convection/wave activity occurring at synoptic-
167 scale, the details of which are unresolved. A key part of the $q - a$ interaction is how moisture
168 anomalies influence convection. Rather than a functional relationship $a = a(q)$, it is assumed that
169 q influences the tendency (i.e. the growth and decay rates) of the envelope of synoptic activity.
170 The simplest design that embodies this idea is the following (non-linear) amplitude equation:

$$\partial_t a = \Gamma q a, \quad (1)$$

171 where $\Gamma > 0$ is a constant of proportionality: positive (negative) low-level moisture anomalies
172 create a tendency to enhance (decrease) the envelope of synoptic activity.

173 The basis for equation (1) comes from a combination of observations, modeling, and theory.
174 Generally speaking, it is well-known that tropospheric moisture content plays a key role in regu-
175 lating convection (Grabowski and Moncrieff, 2004; Moncrieff, 2004; Holloway and Neelin, 2009).
176 In observations, specifically on intraseasonal-planetary scales, several studies have shown that the
177 lower troposphere tends to moisten during the suppressed convection phase of the MJO and that
178 lower-tropospheric moisture leads the MJO's heating anomaly, which suggests the relationship in
179 equation (1) (Kikuchi and Takayabu, 2004; Kiladis et al., 2005; Tian et al., 2006). This relation-
180 ship is further suggested by simplified models for synoptic-scale convectively coupled waves showing
181 that the growth rates of the convectively coupled waves depend on the wave's environment, such
182 as the environmental moisture content (Khouider and Majda, 2006; Majda and Stechmann, 2009a;
183 Stechmann et al., 2013). Stechmann et al. (2013) in particular estimates the value of Γ from these
184 growth rate variations.

185 In the skeleton model, the $q - a$ interaction parametrized in equation (1) is further combined
 186 with the linear primitive equations. This reads, in non-dimensional units,

$$\begin{aligned}
 \partial_t u - yv &= -\partial_x p \\
 yu &= -\partial_y p \\
 0 &= -\partial_z p + \theta \\
 \partial_x u + \partial_y v + \partial_z w &= 0 \\
 \partial_t \theta + w &= \overline{H}a - s^\theta \\
 \partial_t q - \overline{Q}w &= -\overline{H}a + s^q \\
 \partial_t a &= \Gamma qa,
 \end{aligned} \tag{2}$$

187 with periodic boundary conditions along the equatorial belt. The five first rows of equation (2)
 188 describe the dry atmosphere dynamics, with equatorial long-wave scaling as allowed at planetary
 189 scale. The u , v , and w are the zonal, meridional, and vertical velocity, respectively; and p and θ
 190 are the pressure and potential temperature, respectively. The sixth row describes the evolution
 191 of low-level moisture q , and the seventh row is the non-linear amplitude equation for a described
 192 previously. All variables are anomalies from a radiative-convective equilibrium, except a . The
 193 interactions between those various components is through the envelope of synoptic activity, a ,
 194 which is assumed to act at planetary-scale as a balanced source of both heating and drying. This
 195 model contains a minimal number of parameters: \overline{Q} is the background vertical moisture gradient,
 196 Γ is a proportionality constant. The \overline{H} is irrelevant to the dynamics (as can be seen by rescaling
 197 a) but permits to define a heating/drying rate $\overline{H}a$ for the system in dimensional units. The s^θ
 198 and s^q are external sources of cooling and moistening, respectively, that need to be prescribed in
 199 the system (see hereafter).

200 To obtain the skeleton model in its simplest form, it is necessary to truncate the system from
 201 equation (1) to the first vertical and meridional structures. For this flow trapped within the
 202 equatorial troposphere the relevant structures are the first vertical baroclinic mode and the first
 203 meridional Hermite function (Majda, 2003). First, we project and truncate at the first baroclinic
 204 mode, such that $u(x, y, z, t) = u(x, y, t)\sqrt{2}\cos(z)$, $\theta(x, y, z, t) = \theta(x, y, t)\sqrt{2}\sin(z)$, etc., with a

205 slight abuse of notation. The skeleton model now reads:

$$\begin{aligned}
\partial_t u - yv - \partial_x \theta &= 0 \\
yu - \partial_y \theta &= 0 \\
\partial_t \theta - (\partial_x u + \partial_y v) &= \bar{H}a - s^\theta \\
\partial_t q + \bar{Q}(\partial_x u + \partial_y v) &= -\bar{H}a + s^q \\
\partial_t a &= \Gamma qa,
\end{aligned} \tag{3}$$

206 where the dry dynamics component is now a time-dependent and non-dissipative version of the
207 Matsuno-Gill model (Matsuno, 1966; Gill, 1980). Second, we project and truncate at the first
208 Hermite function, such that $a(x, y, t) = A(x, t)\phi_0$, $q = Q\phi_0$, $s^q = S^q\phi_0$, $s^\theta = S^\theta\phi_0$, where
209 $\phi_0(y) = \sqrt{2}(4\pi)^{-1/4}\exp(-y^2/2)$. A suitable change of variables for the dry dynamics component is
210 to introduce K and R , which are the amplitudes of the equatorial Kelvin wave and of the equatorial
211 Rossby first symmetric wave, respectively. Indeed, those equatorial waves are the only ones excited
212 by the meridional heating structures on ϕ_0 , and are easily solved. The skeleton model now reads

$$\begin{aligned}
\partial_t K + \partial_x K &= (S^\theta - \bar{H}A)/2 \\
\partial_t R - \partial_x R/3 &= (S^\theta - \bar{H}A)/3 \\
\partial_t Q + \bar{Q}(\partial_x K - \partial_x R/3) &= (\bar{H}A - S^q)(\bar{Q}/6 - 1) \\
\partial_t A &= (\Gamma\gamma)QA,
\end{aligned} \tag{4}$$

213 with variables K , R , Q , and A . The $\gamma \approx 0.6$ is a cross-term resulting from the meridional
214 projection of the non-linear amplitude equation. The variables of the dry dynamics component
215 can be reconstructed a-posteriori using

$$\begin{aligned}
u &= [K - R]\phi_o + R\phi_2/\sqrt{2} \\
v &= [4\partial_x R - \bar{H}A]\phi_1/3\sqrt{2} \\
\theta &= -[K + R]\phi_o - R\phi_2/\sqrt{2},
\end{aligned} \tag{5}$$

216 where the next Hermite functions read $\phi_1(y) = 2y(4\pi)^{-1/4}\exp(-y^2/2)$, and $\phi_2(y) = (2y^2 -$
 217 $1)(4\pi)^{-1/4}\exp(-y^2/2)$. The components ϕ_1 and ϕ_2 are irrelevant to the dynamics, yet they are
 218 necessary to retrieve the quadrupole structure of the MJO (see figure 3 of MS2009). Note that
 219 they are slight differences in notation with respect to MS2009 and MS2011, where A stands for
 220 anomalies, the cross-term γ is absorbed into Γ , and the amplitudes K and R are chosen differently
 221 (as $\sqrt{2}K$ and $2\sqrt{2}R$ in comparison).

222 We recall briefly the main properties of the skeleton model for the MJO, and the reader is invited
 223 to refer to MS2009 and MS2011 for further details. The skeleton model is designed following two
 224 important principles of energy conservation. For balanced external sources of cooling/moistening
 225 $s^\theta = s^q$, the system in equation (3) conserves a vertically integrated moist static energy

$$\partial_t(\theta + q) - (1 - \bar{Q})(\partial_x u + \partial_y v) = 0, \quad (6)$$

226 and further conserves a total positive energy (as there are no dissipative processes)

$$\partial_t \left[\frac{1}{2}u^2 + \frac{1}{2}\theta^2 + \frac{1}{2} \frac{\bar{Q}}{1 - \bar{Q}} \left(\theta + \frac{q}{\bar{Q}} \right)^2 + \frac{\bar{H}}{\Gamma \bar{Q}} a - \frac{s^\theta}{\Gamma \bar{Q}} \log(a) \right] - \partial_x(u\theta) - \partial_y(v\theta) = 0. \quad (7)$$

227 The linear waves of the skeleton model are shown in figure 1, as computed from the reference
 228 parameter values used in this article (see hereafter). They are four eigenmodes that are, in order
 229 of decreasing phase speed: the dry Kelvin mode ($\approx 55 \text{ m s}^{-1}$), the MJO mode ($\approx 5 \text{ m s}^{-1}$), the
 230 moist Rossby mode ($\approx -3 \text{ m s}^{-1}$) and the dry Rossby mode ($\approx -20 \text{ m s}^{-1}$). All four of the linear
 231 modes are neutrally stable. The MJO mode in particular captures the fundamental features of the
 232 observed MJO such as a slow eastward phase speed and an oscillation frequency that is roughly
 233 constant. As seen on the associated eigenmode amplitudes, the MJO mode consists of coupled
 234 interactions between the equatorial waves K , R (dominant at small wavenumber) and the moisture
 235 and synoptic activity components Q , A (dominant at large wavenumber). At small wavenumber
 236 in particular the physical structure of the MJO mode is a horizontal quadrupole vortex structure,
 237 as seen in nature (not shown, see figure 3 of MS2009).

238 2.2 Stochastic Skeleton Model

239 We now introduce the stochastic skeleton model that is a modified version of the skeleton model
240 with a simple stochastic parametrization of the synoptic scale processes. In the skeleton model, the
241 MJO results from a simple multiscale interaction between (i) the planetary-scale dynamics and (ii)
242 moisture and (iii) the planetary envelope of synoptic activity (see discussion above). The details
243 of synoptic activity are, however, unresolved. They consist of a complex menagerie of convectively
244 coupled equatorial waves, such as 2-day waves, convectively coupled Kelvin waves, etc (Kiladis
245 et al., 2009). Some of these synoptic details (but not all) are important to the MJO, as they can
246 be both modulated by the planetary background state and contribute to it, for example through
247 upscale convective momentum transport or enhanced surface heat fluxes (Majda and Biello, 2004;
248 Biello and Majda, 2005; Majda and Stechmann, 2009a; Stechmann et al., 2013). With respect to
249 the planetary processes depicted in the skeleton model, the contribution of those synoptic details
250 appears most particularly to be highly irregular, intermittent, and with a low predictability. To
251 account for this intermittent contribution while keeping the minimal design of the skeleton model
252 (i.e., without solving entirely the synoptic details), one suitable strategy is to develop a stochastic
253 parametrization of the synoptic scale processes.

254 For such a stochastic parametrization, a simple yet non trivial design is to implement a stochas-
255 tic birth/death process (the simplest continuous-time Markov process) controlling the evolution of
256 the envelope of synoptic activity a (see chapter 7 of Gardiner, 1994; Lawler, 2006). Let a be a ran-
257 dom variable taking discrete values $a = \Delta a \eta$, where η is a non-negative integer. The birth/death
258 process allows for intermittent transitions between the states η , accounting here for intermittent
259 changes in the envelope of synoptic activity. The probabilities of transiting from one state η to
260 another over a time step Δt read as follows:

$$\begin{aligned} P\{\eta(t + \Delta t) = \eta(t) + 1\} &= \lambda \Delta t + o(\Delta t) \\ P\{\eta(t + \Delta t) = \eta(t) - 1\} &= \mu \Delta t + o(\Delta t) \\ P\{\eta(t + \Delta t) = \eta(t)\} &= 1 - (\lambda + \mu) \Delta t + o(\Delta t) \\ P\{\eta(t + \Delta t) \neq \eta(t) - 1, \eta(t), \eta(t) + 1\} &= o(\Delta t), \end{aligned} \tag{8}$$

261 where λ and μ are the upward and downward rates of transition, respectively. The envelope of
 262 synoptic activity can intermittently increase at rate λ or decrease at rate μ . This can alternatively
 263 be expressed in the form of a master equation

$$\partial_t P(\eta) = [\lambda(\eta - 1)P(\eta - 1) - \lambda(\eta)P(\eta)] + [\mu(\eta + 1)P(\eta + 1) - \mu(\eta)P(\eta)], \quad (9)$$

264 where $P(\eta)$ is the probability of the state η (not to be mistaken with the conditional probabilities
 265 in equation (8)). There are various possible ways to choose the transition rates μ and λ . Here, the
 266 design principle is that the dynamics of the skeleton model presented previously must be recovered
 267 on average. In the asymptotic limit of small transitions Δa , the mean-field equation associated to
 268 equation (9) must read:

$$\partial_t E(a) = \Gamma E(qa), \quad (10)$$

269 where E denotes the statistical expected value. One simple and practical choice of the transition
 270 rates that satisfies this design principle is as follows:

$$\lambda = \begin{cases} \Gamma|q|\eta + \delta_{\eta 0} & \text{if } q \geq 0 \\ \delta_{\eta 0} & \text{if } q < 0 \end{cases} \quad \text{and} \quad \mu = \begin{cases} 0 & \text{if } q \geq 0 \\ \Gamma|q|\eta & \text{if } q < 0 \end{cases} \quad (11)$$

271 Note that the upward and downward rates λ and μ depend here on the system variables η and
 272 q , which is characteristic of a multiplicative noise. The kronecker delta operator $\delta_{\eta 0}$ ensures that
 273 $\lambda = 1$ when $\eta = 0$ such that there is no finite-time extinction, and is null otherwise. The associated
 274 mean-field equation reads

$$\partial_t E(a) = \Delta a E(\lambda + \mu) = \Gamma E(qa) + \Delta a E(\delta_{\eta 0}) \quad (12)$$

275 which is identical to the desired mean-field equation (10) in the asymptotic limit of small transitions
 276 Δa .

277 This stochastic parametrization follows the same prototype found in previous related studies
 278 (Majda et al., 2008). The methodology consists in coupling some simple stochastic triggers (e.g.,

279 birth/death, spin-flip, coarse-grained lattice models...) to the otherwise deterministic processes,
 280 according to some probability laws motivated by physical intuition gained (elsewhere) from obser-
 281 vations and detailed numerical simulations (Gardiner, 1994; Katsoulakis et al., 2003; Lawler, 2006).
 282 The methodology has been successful in parametrizing with more realism some essential processes
 283 of tropical variability for which high irregularity, high intermittency and/or low predictability is in-
 284 volved. This includes applications for the treatment of convective inhibition (Majda and Khouider,
 285 2002; Khouider et al., 2003), of convective momentum transport (Majda and Stechmann, 2008),
 286 of the transition from congestus to cumulus to stratiform clouds (Khouider et al., 2010), of the
 287 transition to strong convection (Stechmann and Neelin, 2011), or with a realistic Walker-type cir-
 288 culation (Frenkel et al., 2012; Frenkel et al., 2013). Note that while those studies usually focus on
 289 parametrizing unresolved mesoscale processes (which are the ones unresolved in GCMs), here we
 290 parametrize the unresolved synoptic processes in the skeleton model.

291 In this article we analyze the dynamics of the stochastic skeleton model in a statistically
 292 equilibrated regime. Appendix A details the numerical method used to compute the simulations.
 293 The reference parameters values used in this article read, in non-dimensional units: $\bar{Q} = 0.9$,
 294 $\Gamma = 1.66$ ($\approx 0.3 K^{-1}day^{-1}$), $\bar{H} = 0.22$ ($10 Kday^{-1}$), with stochastic transition parameter $\Delta a =$
 295 0.001 . We will consider two experiments that differ by their background states, i.e. by the external
 296 sources of cooling/moistening s^θ and s^q . For the experiment described in section 3 those external
 297 sources are constant and zonally homogeneous, with values $s^\theta = s^q = 0.022$ ($1 Kday^{-1}$) at the
 298 equator (where we recall that $s^\theta = S^\theta\phi_0$ and $s^q = S^q\phi_0$). For the experiment described in section
 299 4 those external sources are constant yet vary zonally to be representative of a background warm
 300 pool state, with values $s^\theta = s^q = 0.022(1 - 0.6 \cos(2\pi x/L))$ at the equator and where L is the
 301 equatorial belt length. Such parameter values are consistent with the range of values used in
 302 MS2009 and MS2011. In appendix B we briefly summarize some additional sensitivity tests that
 303 show the robustness of results to changes in model parameters. In the following sections of this
 304 article, simulation results are presented in dimensional units. The dimensional reference scales are
 305 x, y : 1500 km, t : 8 hours, u : $50 m.s^{-1}$, θ, q : 15 K (see table 1 of Stechmann et al., 2008).

306 **3 The stochastic skeleton model with a homogeneous back-** 307 **ground**

308 In this section, numerical solutions are presented with a homogeneous background state, as rep-
309 resented by the constant and zonally homogeneous external sources of cooling/moistening s^θ and
310 s^q . We analyze the simulations output in the statistically equilibrated regime.

311 **3.1 Power spectra with a homogeneous background**

312 The stochastic skeleton model simulates a MJO-like signal that is the dominant signal at intraseasonal-
313 planetary scale, consistent with observations (Wheeler and Kiladis, 1999). Figure 2 shows the
314 power spectra of the variables as a function of the zonal wavenumber k (in $2\pi/40,000$ km) and
315 frequency ω (in cpd). The MJO appears here as a sharp power peak in the intraseasonal-planetary
316 band ($1 \leq k \leq 5$ and $1/90 \leq \omega \leq 1/30$ cpd), most prominent in u , q and $\overline{H}a$. This power peak
317 roughly corresponds to the slow eastward phase speed of $\omega/k \approx 5 \text{ ms}^{-1}$ with the peculiar relation
318 dispersion $d\omega/dk \approx 0$ found in observations.

319 This MJO signal results from the internal variability of the stochastic skeleton model: the main
320 generation mechanism is that the MJO mode from linear stability (see figure 1) is excited by the
321 stochastic effects. Indeed, the MJO power peak in figure 2 approximatively matches the dispersion
322 curve of this MJO mode. In addition, it is slightly more prominent in u at wavenumber 1 and
323 in q and $\overline{H}a$ at wavenumber 5, consistent with the MJO mode eigenvector amplitudes shown in
324 figure 1. Due to the multiplicative stochastic noise and non-linear interactions, there are however
325 some notable differences with the linear solutions. First, the MJO power peak is at slightly lower-
326 frequency than the MJO mode dispersion curve. Second, it also excites weaker power peaks at the
327 double and triple of its frequency (≈ 0.04 cpd and ≈ 0.06 cpd, respectively), which results from
328 the non-linear cross term qa in equation (1) or equation (10).

329 The other feature at intraseasonal-planetary scale is the power peak near the dispersion curve
330 of the moist Rossby mode from linear stability. This signal is, however, weaker than the MJO
331 signal, as can be seen for example by comparing eastward power (average within $1 \leq k \leq 3$,

332 $1/90 \leq \omega \leq 1/30$ cpd) and westward power (average within $-3 \leq k \leq -1$, $1/90 \leq \omega \leq 1/30$ cpd)
 333 (following e.g. Zhang and Hendon, 1997; Lin et al., 2006). The ratio of eastward/westward power
 334 is 3 for u , 5.5 for q , and 2.8 for $\overline{H}a$, indicating dominant eastward propagations, though it is 0.1 for
 335 θ . Note however that θ is weakly associated to the MJO signal in the skeleton model, consistent
 336 for example with the weak temperature gradient approximation in the tropics (see the appendix
 337 of MS2011; Sobel et al., 2001). There are also power peaks at high-frequencies (≥ 0.08 cpd), most
 338 prominent in θ and q , that match well the dispersion curves of the dry Kelvin and dry Rossby
 339 modes from linear stability. Finally, recall that various processes found in nature are missing due
 340 to the minimal design of the skeleton model, for example the synoptic-scale convectively coupled
 341 Kelvin waves that would appear as a power peak around $\omega/k \approx 15 \text{ ms}^{-1}$ (Wheeler and Kiladis,
 342 1999; Kiladis et al., 2009).

343 **3.2 MJO variability with a homogeneous background**

344 Figure 3 shows the Hovmollers diagrams of the model variables at the equator as well as a data
 345 projection e_{MJO} that evaluates the MJO intensity by comparison to other waves from the linear
 346 solutions (see MS2011). The data projection, $e_{MJO}(x, t)$, is obtained by filtering all signals to the
 347 intraseasonal-planetary band ($1 \leq k \leq 3$, $1/90 \leq \omega \leq 1/30$ cpd), then computing the complex
 348 scalar product $e_{MJO}(k, t) = \mathbf{X}_m \mathbf{X}_s^T$ for each wavenumber k and time t from the MJO eigenvector
 349 $\mathbf{X}_m(k)$ from linear stability (see figure 1) and the corresponding zonal Fourier series of signals
 350 $\mathbf{X}_s(k, t)$, then applying the inverse zonal Fourier transform to $e_{MJO}(k, t)$, with a slight abuse of
 351 notation. This representation, along with the other Hovmollers diagrams shown in figure 3, allows
 352 us to identify clearly the MJO variability despite the noisy signals. In figure 3 they are some
 353 additional large-scale and small-scale propagating structures that are best revealed by computing
 354 data projections on other linear solutions (dry Kelvin, dry Rossby, or moist Rossby mode), but
 355 those structures do not appear to be directly related to the MJO variability (not shown).

356 On average, the simulated MJO events propagate eastward with a phase speed of around
 357 $5 - 15 \text{ ms}^{-1}$ and a roughly constant frequency, consistent with the composite MJO features found
 358 in observations. Furthermore, the MJO events are most prominent in u at large scale and couple

359 to q and \overline{Ha} through a range of smaller scales, consistent with the power spectra shown in figure
360 2.

361 The effect of the stochastic fluctuations is to create a realistic intermittency in the simulated
362 MJO. As seen in figure 3 the MJO events are irregular and intermittent, with a great diversity in
363 strength, structure, lifetime and localization. This is an attractive feature of the present stochastic
364 skeleton model in generating MJO variability. In addition, the MJO events are organized into wave
365 trains with growth and demise, i.e. into series of successive MJO events following a primary MJO
366 event, as seen in nature (Matthews, 2008; Yoneyama et al., 2013). One series typically consists of
367 a succession of either two, three, or four MJO events in a row. In figure 3, there is for example
368 a series of four events during the time interval 100-250 days, a series of three events during the
369 time interval 300-450 days, and a series of four events during the time interval 700-900 days. The
370 primary MJO event of a series is sometimes related to a previous series, and sometimes has no
371 particular precursor conditions suggesting that it is spontaneously generated (Matthews, 2008).
372 In addition, each series can be either most prominent at wavenumber 1, wavenumber 2, or both
373 (Hendon and Salby, 1994; Wheeler and Kiladis, 1999).

374 Figure 4 shows the details of a selected MJO wave train. The MJO propagations with phase
375 speed around $5 - 15 \text{ ms}^{-1}$ are clearly visible on u , q , \overline{Ha} and e_{MJO} . It appears visually that the
376 MJO is an envelope of synoptic scale structures, as seen by the smaller scale bursts along the tracks
377 of propagation. This adds to the realism of the simulated MJO, even though the bursts result in
378 part from the superposition of additional small-scale propagations. Note that the oscillations on
379 \overline{Ha} are particularly asymmetric, with sharp and localized maxima: this is expected from the
380 non-linear nature of equation (1) or equation (10) in the skeleton model.

381 **3.3 Interannual variations of the MJO with a homogeneous background**

382 Figure 5(a) shows the magnitude of the data projection e_{MJO} (smoothed over zonal position and
383 time) over a long time interval of 10,000 days. This representation allows us to identify the low-
384 frequency growth and demise of the envelope of each MJO wave train while somewhat filtering
385 out the intraseasonal oscillations associated to individual MJO events. For comparison, the time

386 interval shown in figure 3 is from 38,200 to 39,200 days.

387 As seen in figure 5(a), there are marked interannual variations of the MJO that consist of
388 an intermittent alternation between active and inactive low-frequency phases of MJO activity
389 (Hendon et al., 1999). The active low-frequency phases correspond to time intervals with MJO
390 wave trains having a strong intensity (i.e., a strong envelope magnitude), while the inactive low-
391 frequency phases correspond to time intervals with MJO wave trains having a weak intensity (there
392 is, however, always a MJO activity, even weak). There is for example a pronounced inactive phase
393 over the time interval 36,000 to 37,000 days, followed by an active phase over the time interval
394 37,000 to 38,000 days. This low-frequency modulation of the MJO activity results from the internal
395 variability of the skeleton model alone: indeed the skeleton model here has no prescribed external
396 sources of low-frequency modulation such as for example a seasonal cycle, an El Niño variability,
397 etc (Hendon et al., 1999; Zhang, 2005).

398 The representation in figure 5(a) also allows us to highlight the overall features of the MJO
399 wave trains, as seen on the evolution of their envelopes. The MJO wave trains show overall slow
400 growth and demise, with however a great diversity in lifetime and intensity. They can be most
401 prominent at wavenumber 1, wavenumber 2, or both. Overall they propagate slowly eastward,
402 while there is visual evidence of some non-propagating standing components (Zhang and Hendon,
403 1997). In addition, they have no preferred starting location consistent with the background state
404 being zonally homogeneous.

405 4 The stochastic skeleton model with a warm pool

406 While the previous section illustrated dynamics with a homogeneous background state, this section
407 illustrates the effect of a background state representative of the equatorial warm pool in nature
408 (see also MS2011). The associated external sources of cooling/moistening s^θ and s^q are shown in
409 figure 6. The warm pool region is centered from $x \approx 10\,000\text{ km}$ to $30\,000\text{ km}$. As in the previous
410 section, we analyze the simulations output in a statistically equilibrated regime. For such a regime
411 the statistical means match the background radiative-convective equilibrium and there is increased
412 convective activity over the warm pool region as seen on the standard deviations of q and $\overline{H}a$ (not

413 shown).

414 The figures 7 to 9 repeat all the diagnostics from previous section with the background warm
415 pool state, while the interannual variations of the MJO are shown in figure 5(b). Overall, the main
416 features of the stochastic skeleton model remain very consistent with the ones presented in previous
417 section for a homogeneous background state, and so they will be described only briefly. The main
418 specific feature with the background warm pool state is that MJO events remain confined to the
419 warm pool region, which is more realistic.

420 4.1 Power spectra with a warm pool

421 Figure 7 shows the power spectra of the variables for the simulation with background warm pool
422 state (note that the statistical means have been removed prior to this diagnostic). The dispersion
423 curves from linear stability shown in figure 7 correspond to a homogeneous background state, in
424 order to make easier comparison with figure 2.

425 The MJO-like signal is the dominant signal at intraseasonal-planetary scale, consistent with
426 observations (Wheeler and Kiladis, 1999). As compared to figure 2 with a homogeneous background
427 state, there is here a slightly increased power at the wavenumbers -1 and 1, consistent with the
428 zonal scale of the background warm pool state. In addition, the power spectra are slightly more
429 blurred, which is likely due to the presence in the skeleton model of two regions (inside and outside
430 the warm pool) with different properties. The ratios of eastward to westward power remain similar:
431 they are here around 2.5 for u , 4.5 for q , 2,5 for \overline{Ha} , indicating dominant eastward propagations,
432 and 0.1 for θ .

433 4.2 MJO variability with a warm pool

434 Figure 8 shows the Hovmollers diagrams of the variables as well as the data projection e_{MJO} that
435 evaluates the MJO intensity, for the simulation with background warm pool state.

436 On average, the MJO events propagate eastward with a phase speed of around $5 - 15 \text{ ms}^{-1}$ and
437 a roughly constant frequency, consistent with observations. The effect of the stochastic fluctuations
438 is to create a realistic intermittency in the simulated MJO, with furthermore an organization into

439 MJO wave trains with growth and demise (Matthews, 2008; Yoneyama et al., 2013). As compared
440 to figure 3 with a homogeneous background state, the MJO events are here confined to the warm
441 pool region, which is more realistic, and they are overall more prominent at wavenumber 1, which is
442 consistent with the zonal scale of the background warm pool state. Similar features were found in
443 MS2011. Most of the MJO events propagate through the entire warm pool region (from $x \approx 10,000$
444 to $30,000$ km), as seen for example during the time interval 800 to 950 days. However, some of the
445 MJO events propagate through the western warm pool region but stall at the warm pool center
446 corresponding to the maritime continent in nature ($x = 20,000$ km), as seen for example during
447 the time interval 100 to 250 days (Wang and Rui, 1990; Zhang and Hendon, 1997).

448 Figure 9 shows the details of a selected MJO wave train for the simulation with background
449 warm pool state. The MJO propagations with phase speed around $5 - 15 \text{ m s}^{-1}$ are clearly visible
450 for u , q , \overline{Ha} and e_{MJO} . This MJO wave train is confined to the warm pool region, though the
451 MJO event at time interval 780-820 days stalls at the warm pool center.

452 4.3 Interannual variations of the MJO with a warm pool

453 Figure 5(b) shows the interannual variations of the MJO (i.e., the magnitude of the data projection
454 e_{MJO}) for the simulation with background warm pool state. For comparison, the time interval
455 shown in figure 8 is from 18,800 to 19,800 days.

456 There are marked interannual variations of the MJO that consist of an intermittent alternation
457 between active and inactive low-frequency phases of MJO activity (Hendon et al., 1999). As
458 compared to figure 5(a) with a homogeneous background state, the MJO activity in figure 5(b)
459 is confined to the warm pool region, which is more realistic. The alternation between active and
460 inactive low-frequency phases of MJO activity is also faster in comparison. As seen in figure 5(b),
461 some MJO wave trains occupy the entire warm pool region (from $x \approx 10,000$ to $30,000$ km), as
462 seen for example at time 15,000 days, while some occupy only the western half (from $x \approx 10,000$
463 to $20,000$ km), as seen for example during the time interval 11,000 to 12,000 days. Some MJO
464 wave trains occasionally even develop outside the warm pool region.

465 5 Discussion and Conclusions

466 We have analyzed the dynamics of a stochastic skeleton model for the MJO. It is a modified version
467 of a minimal dynamical model, the skeleton model, that has been presented in previous work by
468 two of the authors (Majda and Stechmann, 2009b; Majda and Stechmann, 2011). The skeleton
469 model has been shown in previous work to capture together the MJO's salient features of (I) a
470 slow eastward phase speed of roughly 5 ms^{-1} , (II) a peculiar dispersion relation with $d\omega/dk \approx 0$,
471 and (III) a horizontal quadrupole structure. In addition to those features, the stochastic skeleton
472 model accounts for some realistic MJO features as seen in nature such as:

473 IV. The intermittent generation of MJO events, and

474 V. The organization of MJO events into wave trains with growth and demise.

475 We have achieved these results by developing a simple stochastic birth/death process for the
476 envelope of synoptic scale activity, that is coupled to otherwise deterministic processes in the
477 skeleton model. The features (I-V) have been recovered in simulations with either a homogeneous
478 background state or a background state representative of the equatorial warm pool, and have been
479 shown to be robust to main parameter changes.

480 There is an on-going discussion on assessing to which extent the MJO events are generated either
481 as resulting from the internal variability of certain tropical processes or as a secondary response
482 to independently existing extratropical forcings (Zhang, 2005; Lau and Waliser, 2012). Here we
483 contribute to this discussion by showing that (IV) the intermittent generation of MJO events can
484 be accounted for from only the internal variability of a few essential tropical processes such as the
485 ones depicted in the skeleton model. Here, the simulated MJO events are generated spontaneously
486 as resulting from the interaction between the stochastic changes in the level of synoptic activity
487 and the otherwise deterministic planetary processes. Furthermore, this generation is operating
488 with no planetary-scale instability; hence there is also no "scale selection" in the sense of linear
489 instabilities. In fact, as seen in nature, a range of planetary scales is active: wavenumbers 1 and 2
490 appear prominently for zonal wind, and slightly smaller scales are also prominent for the convective
491 activity. On average, the characteristics of the simulated MJO events are in fair agreement with

492 the ones of the linear solutions of the skeleton model, but due to their intermittent generation
493 process they further show a great diversity in strength, structure, lifetime and localization.

494 The stochastic skeleton model presented here simulates MJO events that are (V) organized into
495 wave trains with growth and demise i.e. into series of successive MJO events, either two, three
496 or sometimes more in a row. This feature is qualitatively consistent with the observational record
497 where around 60% of MJO events immediately follow a previous event (Matthews, 2008). During
498 the recent CINDY/DYNAMO field campaign for example, three successive MJO events were
499 observed followed by a pause and an isolated fourth MJO event (Yoneyama et al., 2013; Zhang
500 et al., 2013). In addition, this organization of MJO events into wave trains results in interannual
501 variations of the MJO in the skeleton model, that consist of an intermittent alternation between
502 active and inactive low-frequency phases with enhanced or diminished MJO activity, respectively
503 (Hendon et al., 1999). This low-frequency modulation of the MJO activity results from the internal
504 variability of the skeleton model alone: indeed the skeleton model here has no prescribed external
505 sources of low-frequency modulation such as for example a seasonal cycle, an El Niño variability, etc
506 (Hendon et al., 1999; Zhang, 2005). For a representative background state of convection/heating
507 the MJO wave trains are preferentially confined to the region corresponding to the equatorial warm
508 pool in nature. In particular, some MJO-like disturbances propagate through the western warm
509 pool region but stall at the peak of background convection/heating activity corresponding to the
510 maritime continent in nature (Wang and Rui, 1990; Zhang and Hendon, 1997). As a perspective
511 for future work, the simulation results hint at various plausible mechanisms for the growth and
512 demise of the MJO wave trains. They may be controlled for example by dispersive processes (but
513 not by dissipation), by the stochastic effects, or by the energy transfers from/to the other linear
514 modes of the skeleton model (i.e., the dry Kelvin, dry Rossby, and moist Rossby modes).

515 While the stochastic skeleton model appears to be a plausible representation of the MJO
516 essential mechanisms, notably with features (I-IV) summarized above, several issues need to be
517 addressed as a perspective for future work. First, one important issue is to find an appropriate
518 observational surrogate for the envelope of synoptic scale wave activity. Secondly, due to its
519 minimal design the model does not account for several finer details of the MJO's "muscle". These

520 details include for example refined zonal and vertical structures as well as complex dynamic and
521 convective features within the MJO envelope (e.g., front-to-rear vertical tilts, the vertical structure
522 of westerly wind bursts), the characteristics and intensity of which differ from one MJO event
523 to another (Kikuchi and Takayabu, 2004; Kiladis et al., 2005; Tian et al., 2006; Kiladis et al.,
524 2009). A more complete model should also account for more detailed sub-planetary processes
525 within the MJO’s envelope, including for example synoptic-scale convectively coupled waves and/or
526 mesoscale convective systems. This may be achieved for example by building suitable stochastic
527 parametrizations, such as the one proposed in the present article, that account for more details of
528 the synoptic and/or mesoscale variability (e.g., Khouider et al., 2010; Frenkel et al., 2012; Frenkel
529 et al., 2013).

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535 **Appendix**

536 **A. Numerical Method**

537 This appendix details the numerical method used to compute the simulations. The stochastic
538 skeleton model is the vertically and meridionally truncated system of variables K , R , Q , A from
539 equation (4), where the non-linear amplitude equation is replaced by the stochastic birth/death
540 process from equation (9). In practice, we however solve a more suitable system of variables K , R ,
541 Z , a , where we introduce the new variable $Z = q + \overline{Q}\theta$. To remain consistent with the notations
542 from both section 2.1 and 2.2, consider here that the variables q , θ , a (and Z) are defined in a zonal
543 strip along the equator, with $q = q(x, 0, t)$, $\theta = \theta(x, 0, t)$ and $a = a(x, 0, t)$. Therefore, we have
544 $q = Q\phi_0(0)$, $\theta = -[K + R]\phi_0(0)$ and $a = A\phi_0(0)$ for consistency with equations (4). In addition,
545 we recall that $a = \Delta a \eta$ for consistency with equations (9).

546 All model variables K , R , Z , a are random variables, and we solve for the evolution of one
547 model realization. The spatial and temporal resolution is identical to MS2011, with a spatial step
548 Δx of 625 km spanning the equatorial belt (40,000 km) and a timestep ΔT of around 1.7 hours.
549 We use a splitting method to update the system over each timestep ΔT . First, Z and a in the
550 zonal strip are held fixed and we solve for the evolution of K and R exactly using zonal Fourier
551 series (cf. first and second rows of equation (4)). Second, K and R are held fixed and we solve for
552 the evolution of Z and a together. For this, we solve a local system of equations:

$$\begin{aligned}\partial_t Z &= (1 - \overline{Q})(s^q - \overline{H}a) \\ a(t + \tau) &= a(t) + \xi \Delta a.\end{aligned}\tag{13}$$

553 The first row of equation (13) can be deduced by combining the third and fourth rows of equation
554 (3), or alternatively the three first rows of equation (4). The second row of equation (13) ensues
555 when solving one realization of the master equation (9) (see below). Here, the equation (13) is
556 solved over each timestep ΔT as a series of consecutive transitions over smaller timesteps τ (where
557 τ as well as ξ are recomputed after each consecutive transition). The last consecutive transition
558 in particular usually occurs after the end of the timestep ΔT , and is therefore approximatively
559 omitted in order to retrieve $Z(t + \Delta T)$ and $a(t + \Delta T)$.

560 The second row of equation (13) ensues when solving one realization of the master equation
561 (9) with the Gillespie algorithm (Gillespie, 1975; Gillespie, 1977). This consists in updating a
562 sequentially according to the random variables τ and ξ . Here, $\tau \geq 0$ is the random time interval
563 between two consecutive transitions, with cumulative distribution function $P(\tau) = \exp(-(\lambda + \mu)\tau)$
564 that corresponds to a Poisson distribution. This depends on the transition rates λ and μ given
565 earlier in equation (11). In addition, ξ is the transition direction, and it takes the discrete values
566 $\{-1, 1\}$ according to the cumulative distribution function $P(\xi) = \{\mu/(\lambda + \mu), \lambda/(\lambda + \mu)\}$. For
567 the transitions rates given in equation (11), $\xi = 1$ if $q \geq 0$ and $\xi = -1$ if $q < 0$ (though
568 $\xi = 1$ unconditionnaly if $a = 0$), which is consistent with equation (1). In other words, the
569 main stochastic effect in the second row of equation (13) is that the growth/decay of the envelope
570 of synoptic activity a can be randomly enhanced or diminished as compared to the otherwise

571 deterministic equation (1).

572 In this article we analyze the dynamics of the stochastic skeleton model in a statistically
573 equilibrated regime. The statistically equilibrated regime is reached after around 10,000 days of
574 simulations, after an initial growth in oscillation amplitude. The simulations are initiated from
575 the radiative-convective equilibrium state plus an initial perturbation, as in MS2011. Due to
576 the stochastic effects, the choice of the initial perturbation has no impact on the statistically
577 equilibrated regime, yet this allows us to “start” the stochastic fluctuations because it sets $\lambda \neq 0$
578 and $\mu \neq 0$. This model is inexpensive computationally: 1000 days of simulation take around 2
579 minutes of computer time on a typical laptop computer.

580 B. Sensitivity to Parameters

581 The main features of the stochastic skeleton model are overall robust to parameter changes, as
582 shown here with a few sensitivity tests (see also section 2.2 for the reference values). While the
583 previous sections illustrated dynamics with stochastic transition parameter $\Delta a = 10^{-3}$, we have
584 also analyzed additional simulations with $\Delta a = 10^{-4}$ and $\Delta a = 10^{-5}$. The robustness of results
585 is briefly illustrated in figure 10 that shows the power spectra of u . For all simulations, the MJO
586 signal is the dominant signal at intraseasonal-planetary scale and it appears as a sharp power peak
587 slightly under the dispersion curve of the MJO mode from linear stability. For the simulations
588 with background warm pool state there is furthermore a slightly increased power at wavenumber
589 -1 and 1. Those results are consistent with the ones from previous sections. We have also found
590 overall consistent results in additional simulations with an intermediate warm pool strength, and
591 in simulations with modified parameter $\Gamma/2$ or 2Γ like in MS2009 (not shown).

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718 **Figure Captions**

719 Figure 1: Summary of the skeleton model linear stability: (a) phase speed ω/k ($m.s^{-1}$), (b)
720 frequency ω (cpd), and (c) component amplitudes of the eigenvector $\mathbf{X}_m(k) = [K, R, Q, A]$,
721 as a function of the zonal wavenumber k ($2\pi/40000km$). The black circles mark the integer
722 wavenumbers satisfying periodic boundary conditions. This is repeated for each eigenmode, from
723 top to bottom in order of decreasing phase speed: (a)(b)(c) dry Kelvin mode, (d)(e)(f) MJO mode,
724 (h)(i)(j) moist Rossby mode, and (k)(l)(m) dry Rossby mode.

725 Figure 2: Zonal wavenumber-frequency power spectra (with homogeneous background): For
726 (a) u (ms^{-1}), (b) θ (K), (c) q (K), and (d) \overline{Ha} ($Kday^{-1}$) taken at the equator, as a function of
727 zonal wavenumber (in $2\pi/40000km$) and frequency (cpd). The contour levels are in the base 10-
728 logarithm, for the dimensional variables taken at the equator. The black circles mark the dispersion
729 curves from linear stability as in figure 1. The black dashed lines mark the periods 90 and 30 days.

730 Figure 3: Hovmoller diagrams (with homogeneous background): for (a) u ($m.s^{-1}$), (b) θ (K),
731 (c) q (K), and (d) \overline{Ha} ($K.day^{-1}$) at the equator, as well as (e) the data projection e_{MJO} , as a
732 function of zonal location x (in 1000 km) and time (in days from a reference time at 38,200 days).

733 Figure 4: Hovmollers diagrams (with homogeneous background), zoomed on the time interval
734 70-270 days from figure 3: for (a) u ($m.s^{-1}$), (b) θ (K), (c) q (K), and (d) \overline{Ha} ($K.day^{-1}$) at the
735 equator, as well as (e) the data projection e_{MJO} , as a function of zonal location x (in 1000 km)
736 and time t (in days from a reference time at 38,200 days).

737 Figure 5: Interannual variations of the MJO: Hovmoller diagram of the magnitude of the data
738 projection e_{MJO} , as a function of zonal location x (1000 km) and time t (in 1000 days from the
739 simulation beginning). This is for (a) the simulation with a homogeneous background state, and
740 (b) the simulation with a background warm pool state. The data are smoothed 5 times with a
741 3000km by 20 day box kernel.

742 Figure 6: Zonal shape of the background warm pool state: $s^\theta = s^q$ ($Kday^{-1}$) at the equator as
743 a function of zonal location x (1000 km).

744 Figure 7: Zonal wavenumber-frequency power spectra (with warm pool): For (a) u (ms^{-1}), (b)
745 θ (K), (c) q (K), and (d) \overline{Ha} ($Kday^{-1}$), as a function of zonal wavenumber (in $2\pi/40000km$) and
746 frequency (in cpd). The contour levels are in the base 10-logarithm, for the dimensional variables
747 taken at the equator. The black circles mark the dispersion curves from linear stability as in figure
748 1. The black dashed lines mark the periods 90 and 30 days.

749 Figure 8: Hovmoller diagrams (with warm pool): for (a) u ($m.s^{-1}$), (b) θ (K), (c) q (K), and
750 (d) \overline{Ha} ($K.day^{-1}$) at the equator, as well as (e) the data projection e_{MJO} , as a function of zonal
751 location x (in 1000 km) and time t (in days from a reference time at 18,800 days).

752 Figure 9: Hovmoller diagrams with (warm pool), zoomed on the time interval 770-970 days
753 from figure 8: for (a) u ($m.s^{-1}$), (b) θ (K), (c) q (K), and (d) \overline{Ha} ($K.day^{-1}$) at the equator, as
754 well as (e) the data projection e_{MJO} , as a function of zonal location x (1000 km) and time t (in
755 days from a reference time at 18,800 days).

756 Figure 10: Sensitivity to Δa : Zonal wavenumber-frequency power spectra of u , for (a) $\Delta a =$
757 10^{-5} , (b) $\Delta a = 10^{-4}$, and (c) $\Delta a = 10^{-3}$, as a function of zonal wavenumber (in $2\pi/40000km$)
758 and frequency (in cpd), for simulations with a homogeneous background state. (d)(e)(f) repeats
759 the graphs for simulations with background warm pool state. Figure setup is identical to the one
760 of figure 2 and figure 7.

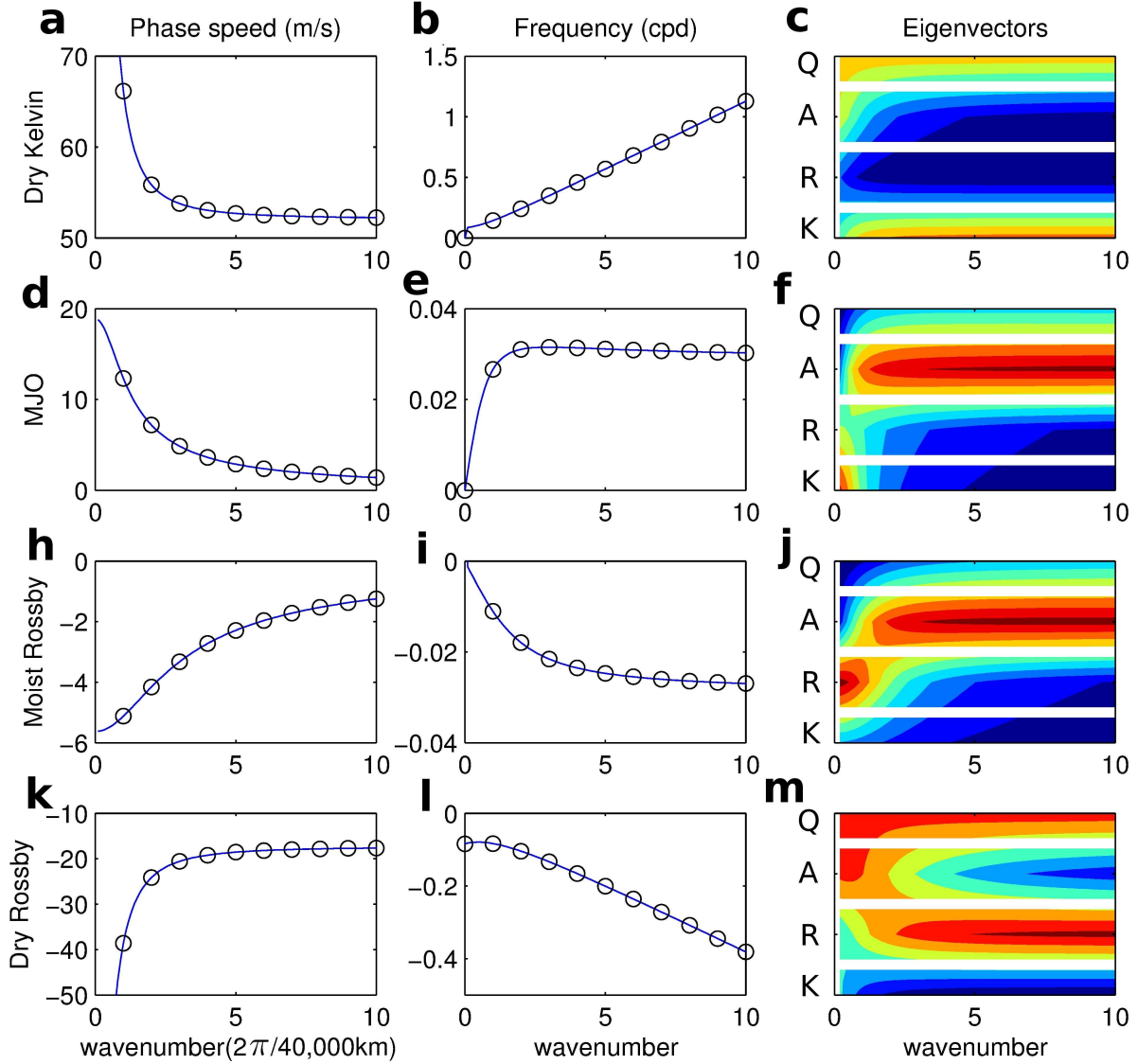


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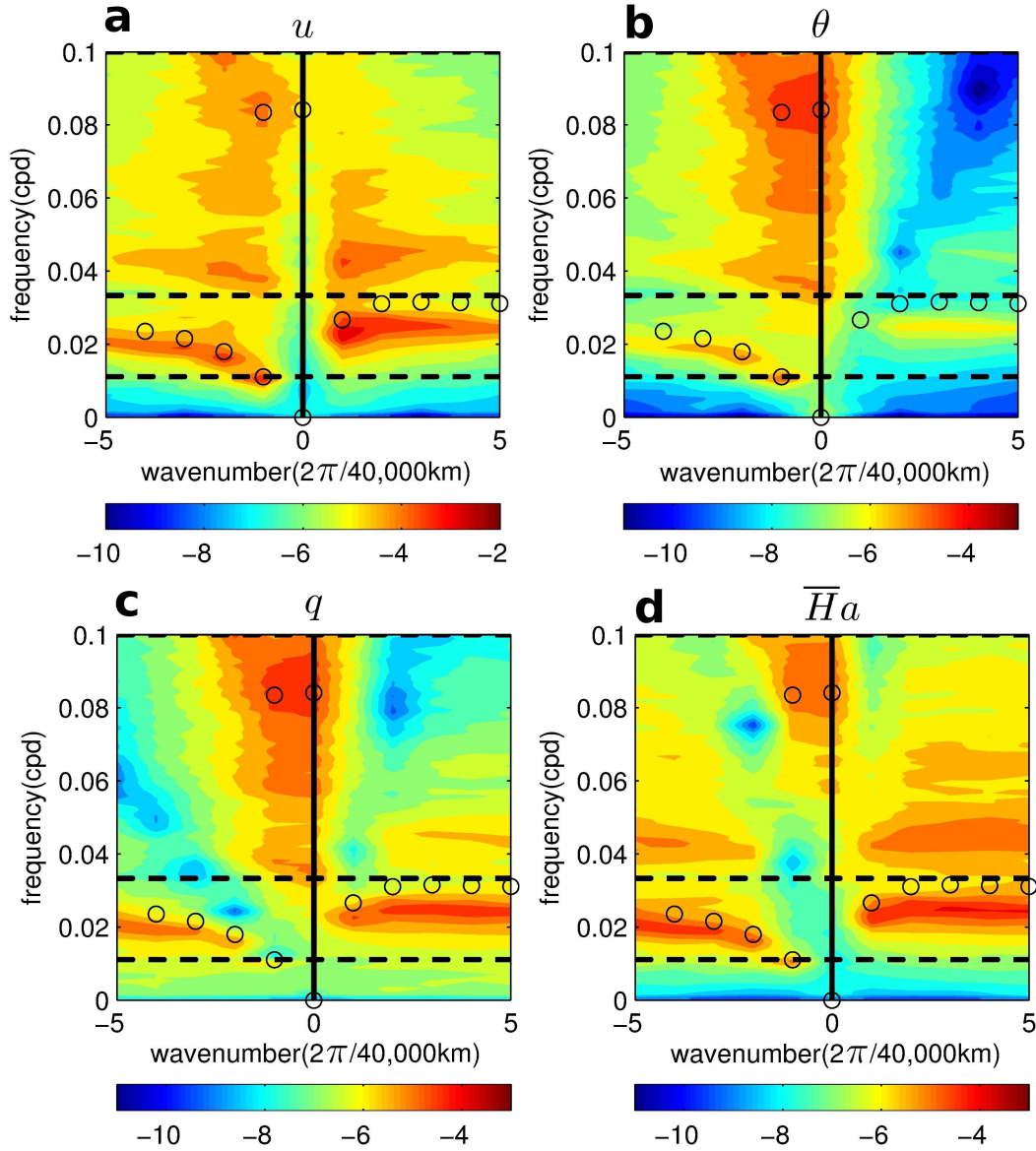


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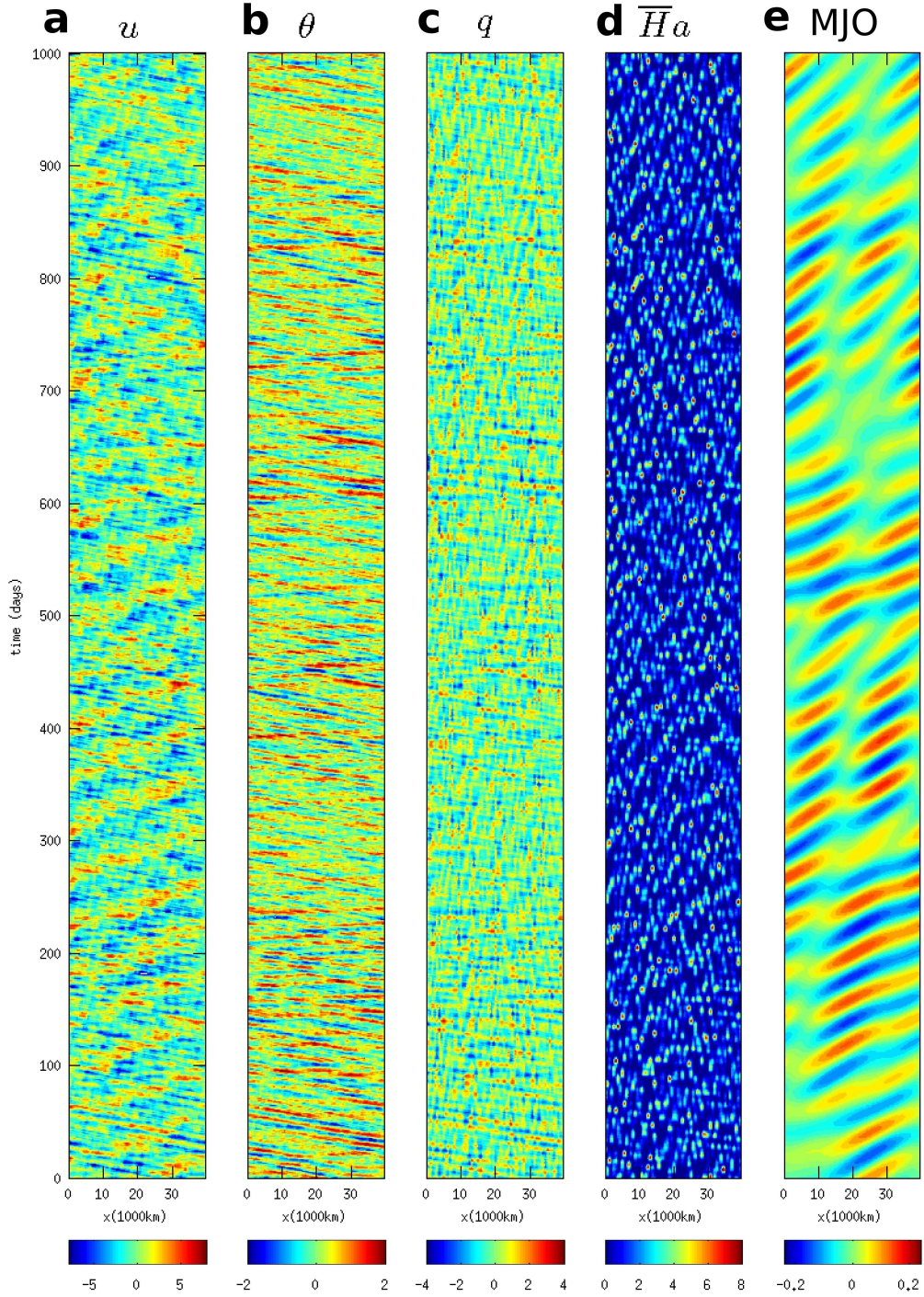


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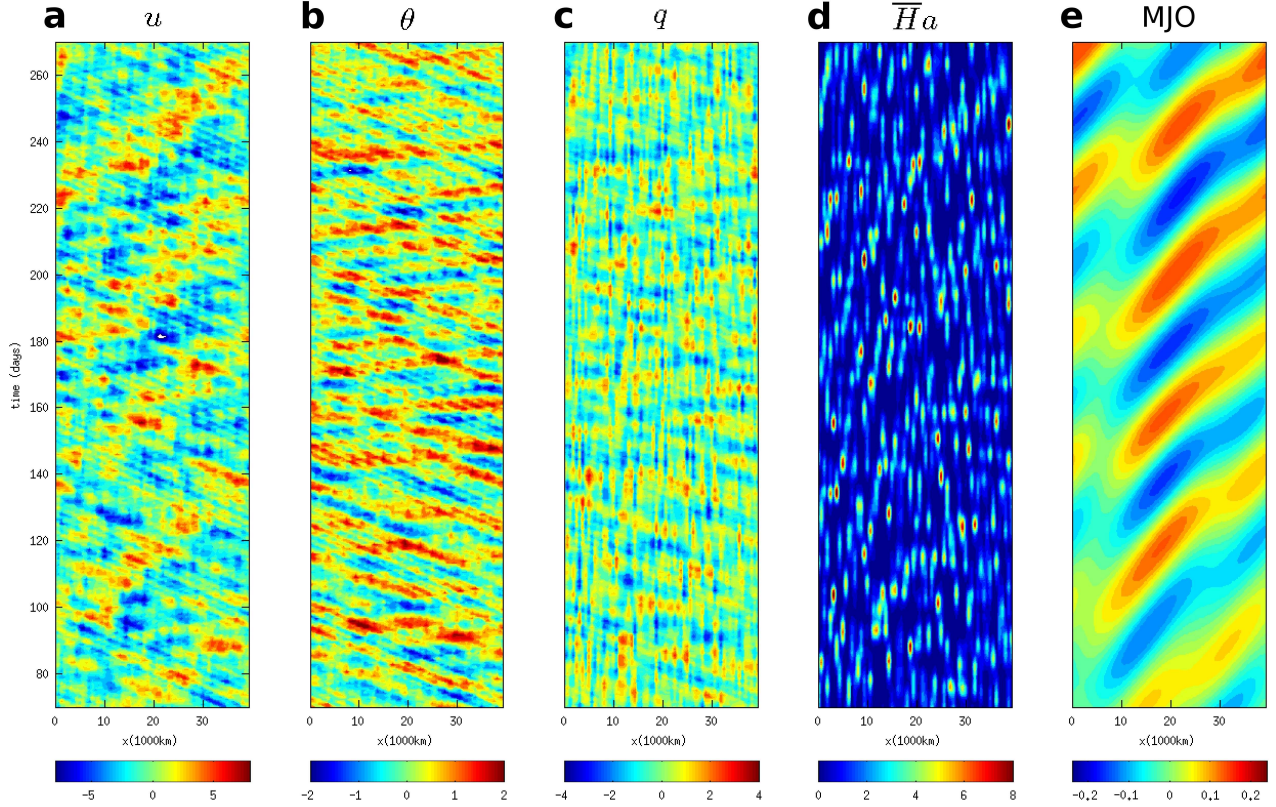


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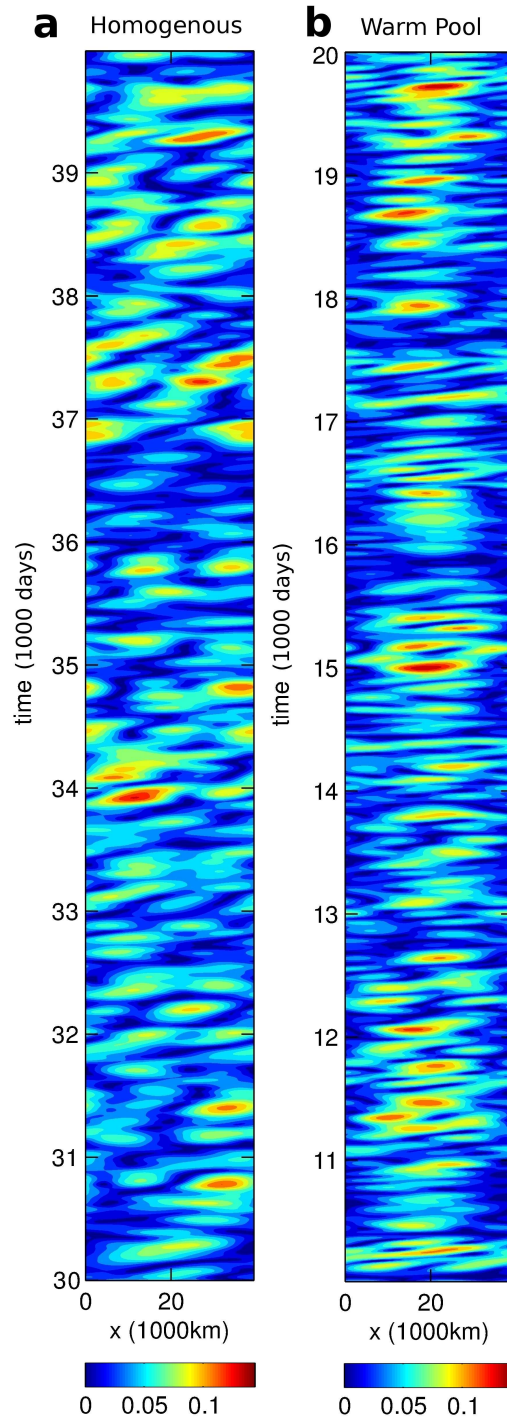


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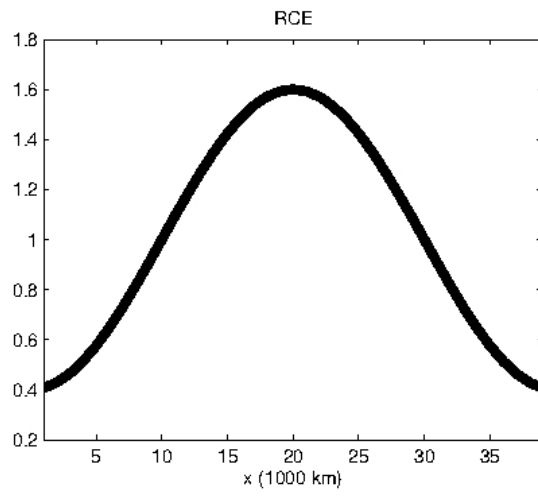


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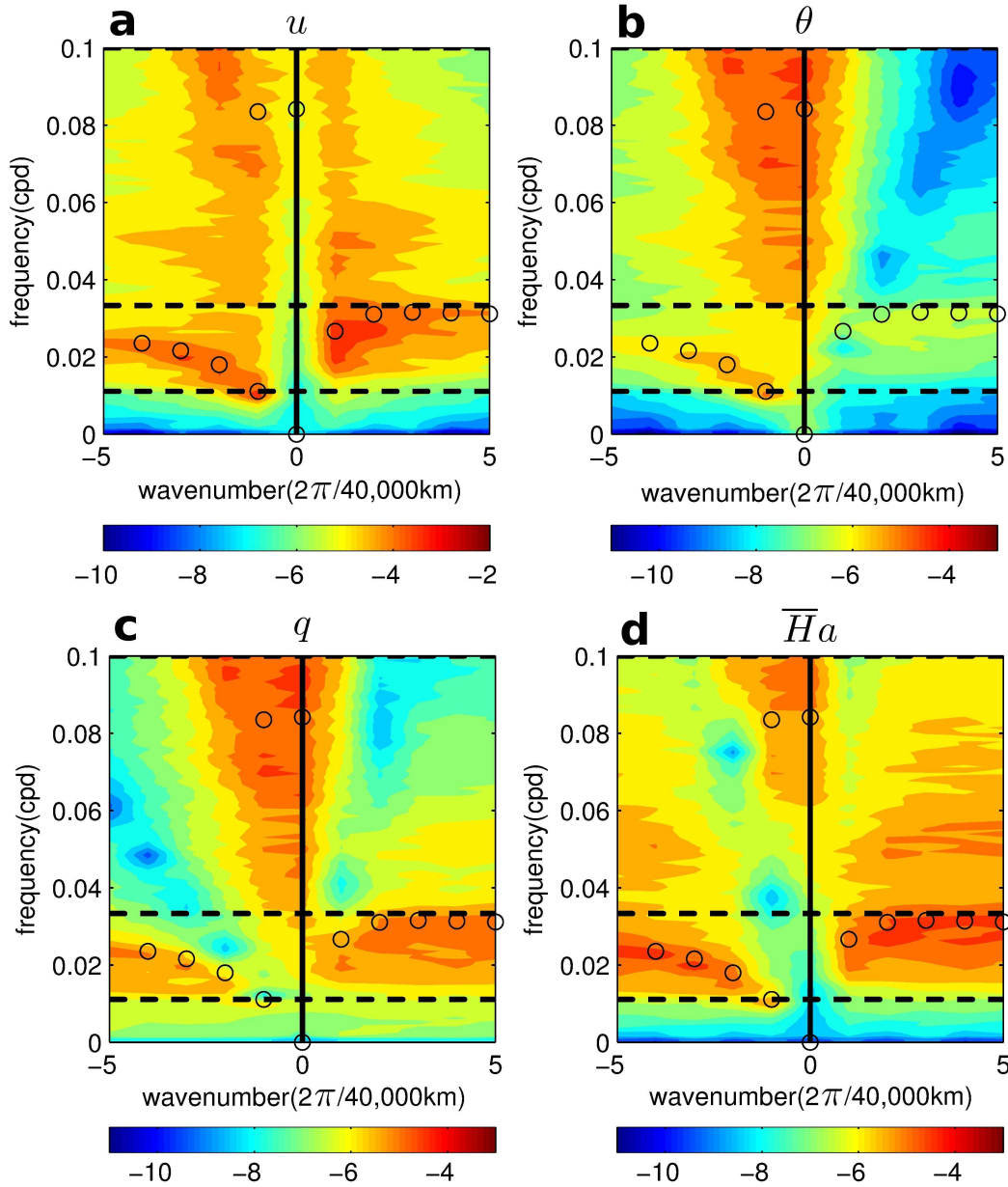


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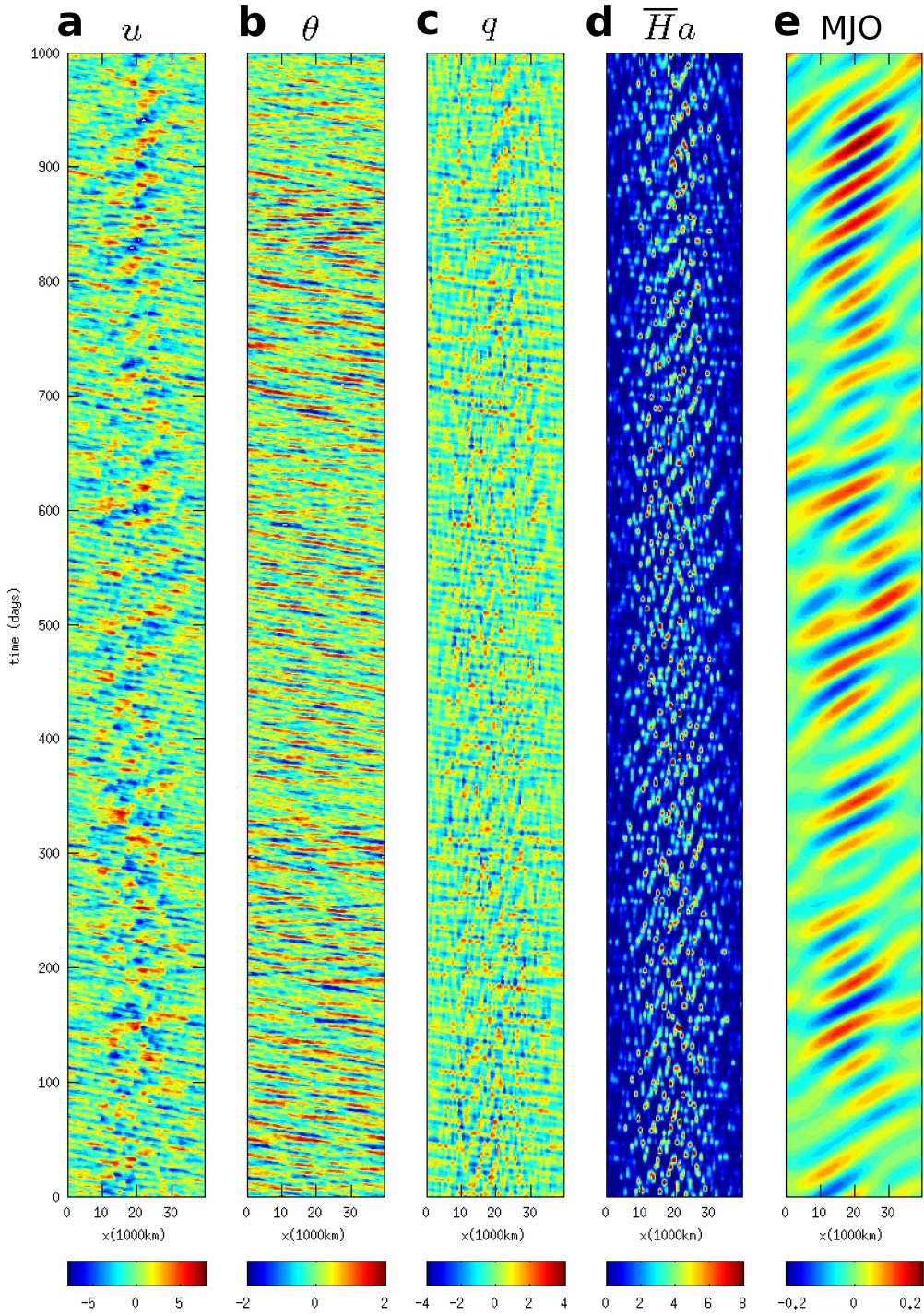


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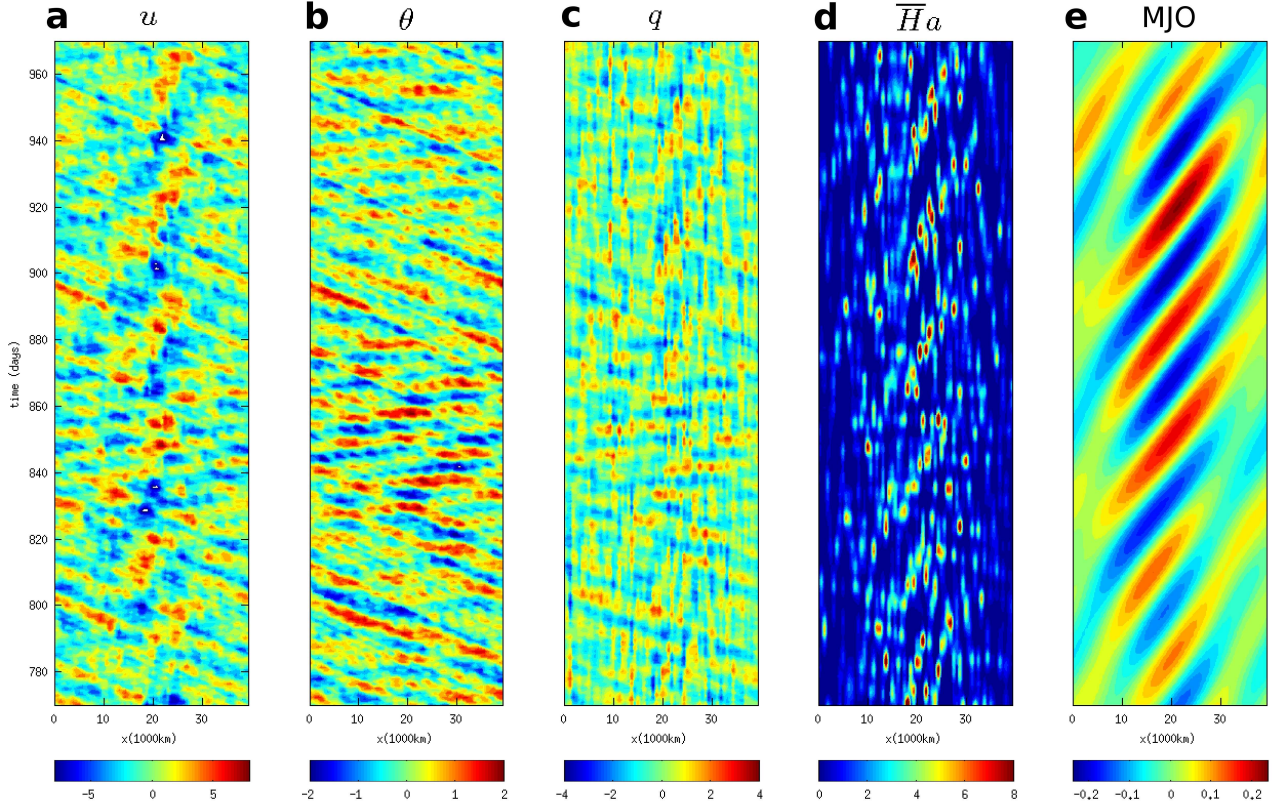


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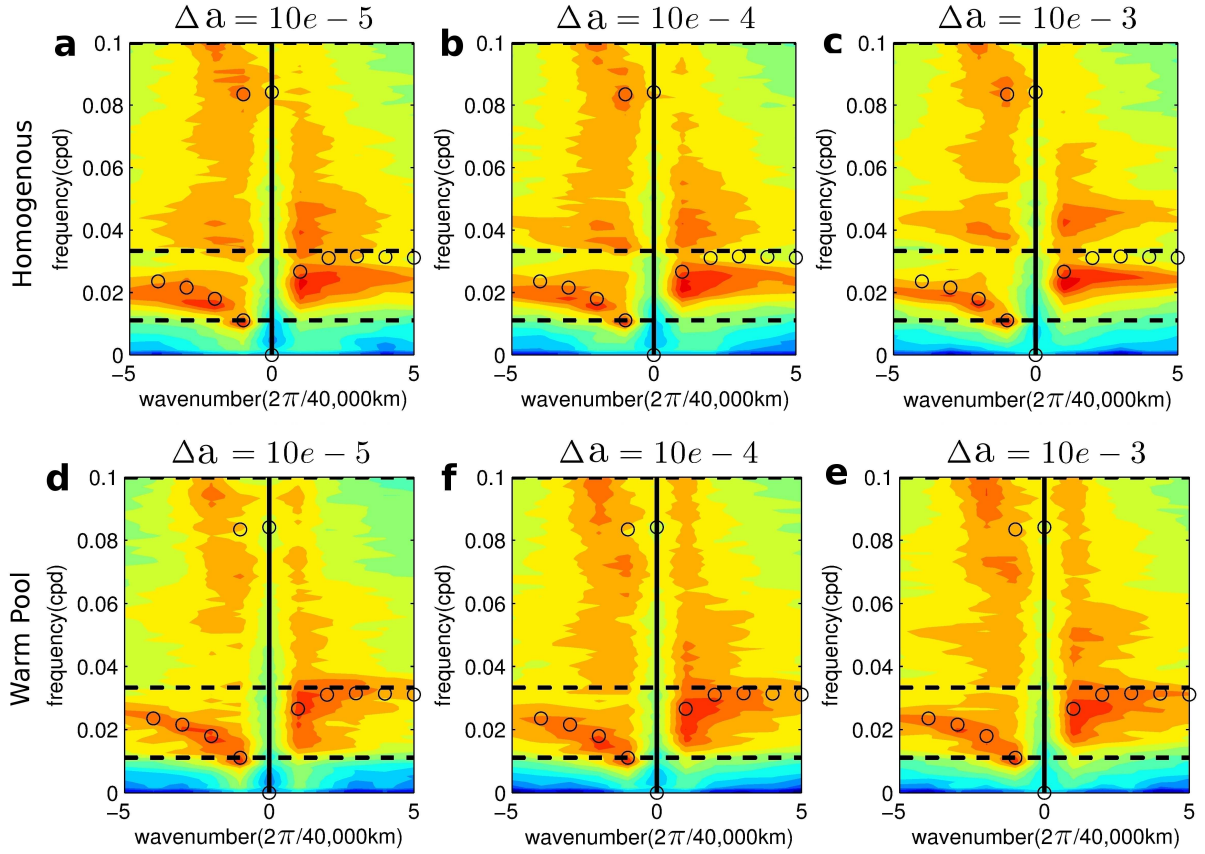


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