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# Multi-scale interactions in an idealized Walker circulation: Mean circulation and intra-seasonal variability JOANNA SLAWINSKA, \* OLIVIER PAULUIS, ANDY MAJDA

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### ABSTRACT

A high resolution cloud resolving model (CRM) simulation is developed here for a two-6 dimensional Walker circulation over a planetary scale domain of 40000 km for an extended 7 period of several hundred days. The Walker cell emerges as the time averaged statistical 8 steady state with a prescribed sinusoidal sea surface temperature (SST) pattern with mean 9 temperature of 301.15 K and horizontal variation of 4 K. The circulation exhibits intra-10 seasonal variability on a time-scale of about 20 days with quasi-periodic intensification of 11 the circulation and broadening of the convective regime. This variability is closely tied to syn-12 optic scale systems associated with expansion and contraction of the Walker circulation. An 13 index for the low frequency variability is developed using an Empirical Orthogonal Function 14 (EOF) analysis and by regressing various dynamic fields on this index. The low frequency 15 oscillation has four main stages: a suppressed stage with strengthened mid-level circulation, 16 intensification phase, active phase with strong upper level circulation and a weakening phase. 17 Various physical processes occurring at these stages are discussed as well as the impact of 18 organized convective systems on the large scale flow. 19

## <sup>20</sup> 1. Introduction

The dynamics of the tropical atmosphere is dominated by the complex interplay between 21 convective motions and circulation on the large scales. Convection accounts for most of the 22 vertical energy transport and usually involves horizontal scales of 100 km or less. Yet, convec-23 tive activity is strongly modulated by atmospheric variability on the synoptic and planetary 24 scales such as the Walker and Hadley circulations, the Madden Julian Oscillation, monsoons 25 and equatorially-trapped waves (Lau and Waliser 2011). This interplay involves a wide range 26 of processes, such as condensation and precipitation, radiative transfer and interactions with 27 the ocean and land surfaces. As a result, an accurate representation of convective processes 28 remains a central challenge in modeling the atmosphere and a major source of uncertainty 29 in climate and weather prediction (Lin et al. 2006). A proper representation of the im-30 pacts of convective motions on the atmospheric flow at larger scales requires improvement in 31 understanding the numerous multi-scale interactions that are involved (Mocrieff et al. 2007). 32 This paper investigates such interactions in the context of a highly idealized simulation 33 of large-scale tropical circulation forced by variations in surface sea temperature (SST). The 34 temperature difference between the warm Western Pacific and colder Eastern Pacific in the 35 equatorial regions gives rise to a basin-wide flow known as the Walker Circulation, ascending 36 over the warm pool and descending over the Eastern Pacific. This planetary-scale pattern is 37 associated with strong variations of convective activity, with intense deep convection over the 38 warm water, and much weaker shallow convection over the eastern part of the Pacific. Far 30 from being steady, the Walker circulation exhibits variability on inter-annual, seasonal and 40 intra-seasonal time scales. In particular, intra-seasonal variability associated with Madden 41 Julian Oscillation (MJO, Madden and Julian 1972) has a strong signal over the Western 42 Pacific, with a peak period of about 40-50 days. 43

While significant progress has been made over the last four decades, our understanding of driving mechanisms underlying the MJO remains unsatisfactory (Zhang 2005). Recent studies have emphasized the role of water vapor (Majda and Stechmann 2009, 2011; Ray-

mond et al. 2009). In particular, large-scale advection of moisture has been suggested 47 recently to play a role for the MJO. Modulation of convective activity occurs as a result of 48 the interactions between large-scale flow and deep convection in which advection of water 49 vapor plays a critical role. The critical role of moisture is supported by observational evi-50 dence which shows strong correlation between free tropospheric moisture and precipitation 51 in the tropics (Bretherton et al. 2004). For example, Kiranmayi et. al. (2011) found that 52 vertical buildup of moisture in front and subsequent horizontal advection of moisture play 53 important role for MJO. Several studies have also found improvement in the way MJO is 54 simulated if mid-tropospheric moisture is taken into account (see Lau and Waliser 2011, for 55 more evidence). For example, Grabowski and Moncrieff (2004) show that the MJO weakens 56 in a simulation where moisture-convective feedback is suppressed by artificial relaxation of 57 mid-tropospheric moisture and cloudiness perturbation. Khouider and Majda (2006) and 58 Khouider et al. (2010) have developed idealized multi-cloud models, where the represen-59 tation of different types of tropical clouds and convective regimes depends crucially on the 60 mid-tropospheric dryness. In these simplified models, dry mid-troposphere is first moistened 61 by detrainment from low-level clouds that allows for a subsequent buildup of favorable con-62 ditions for deep convection. These models have been shown to improve the simulation of the 63 wide range of tropical phenomena, from mesoscale and synoptic scale features (Frenkel et. 64 al. 2012) to the MJO (Khouider et al. 2011). 65

In addition to the role of water vapor, several studies have emphasized the fact that 66 interactions between different scales are crucial for the MJO. Majda and Biello (2004) argue 67 that many observational features of the MJO (such as vertical structure in the westerly wind 68 burst region or westerly midlevel inflow in the strong westerly flow region) are often poorly 69 represented in large-scale atmospheric models, but can be successfully reconstructed in their 70 multiscale model. In their analysis, the emergence of the MJO-like circulation depends 71 on the upscale transfer of thermal energy and momentum from an eastward-propagating 72 prescribed synoptic-scale circulation and heating. Other studies have also confirmed the 73

significant impact of convective momentum transport (CMT) onto the large-scale flow by 74 organized convective systems (Houze 2004; Moncrieff et al. 2007). Moreover, a theory for 75 two-way feedback in the MJO has been developed by Khouider et al. (2012), based on an 76 idealized two-way interaction dynamic model (Majda and Stechmann 2009). In this case, 77 the large-scale MJO-like flow was modulated by CMT from synoptic scale systems. Due 78 to modified large-scale conditions, vertical shear in particular, organized convective systems 79 have been found to develop in preferred regions within the MJO with a preferred speed and 80 direction of propagation. The prediction of this theory is in broad qualitative agreement 81 with observations of the TOGA-COARE field campaign. 82

In this paper, we study an idealized planetary-scale circulation driven by large-scale 83 variation in SST in a situation that can be viewed of as an analog for the Walker circulation. 84 We rely on a high-resolution cloud resolving model and perform a detailed analysis of the 85 simulated large-scale flow; we focus here on identifying low-frequency variability of the large-86 scale flow, and explaining how this frequency emerges from multi-scale interactions. In 87 particular, we describe how convective variability depends on large-scale circulation. We 88 show examples of convective organization and contrast them to illustrate how convective 89 organization depends on evolving large-scale conditions. A secondary goal is also to establish 90 a benchmark simulation that can be used as a reference point to evaluate the same circulation 91 simulated with a less accurate model. In particular, our subsequent work will evaluate a 92 Sparse Space and Time Super-Parameterization (SSTSP) approach (Xing et al. 2009) to 93 represent the convective process based on its ability to accurately reproduce the interaction 94 between convection and the planetary circulation discussed here. 95

The experimental set-up used here is closely related to the one originally presented in Grabowski et al. (2000). That paper considers the interactions between moist convection and the large-scale flow driven by a large-scale gradient of sea surface temperature and prescribed radiation. A cloud resolving model has been applied there to simulate 60 days of convective and large-scale dynamics resolved in the 2d domain with a horizontal extent

of 4000 km. The mean circulation has been simulated quite successfully, with large-scale 101 ascent and descent above the warm and cool parts of the surface. The simulated mean 102 circulation is composed of first and second baroclinic modes, with distinct inflow to the 103 center of the domain in the lower and middle troposphere and outflow in the higher parts of 104 the troposphere. Convection is initiated periodically (about every two days) in the warmest 105 part of the domain and then propagates towards colder areas. No detailed description of 106 convective systems (their origin and structure, dependence on large-scale conditions) has 107 been presented, besides noting the variety of simulated organization. Moreover, a two-108 day oscillation of the large-scale circulation has been found and its occurrence attributed 109 to convectively initiated gravity waves that subsequently propagate across the domain and 110 modify the large-scale flow. No detailed analysis the moisture response or how it modifies the 111 oscillation of the large-scale flow has been given. However, this may be of little importance 112 in the earlier case of Grabowski et al. (2000), since it is known that moisture responds 113 to flow fluctuations on a much slower timescale. Our experimental set-up is based on a 114 two-dimensional Walker cell set-up similar to Grabowski et al. (2000) but performed over a 115 planetary scale domain of 40000 km and for an extended period of several hundreds of days, 116 thus greatly expanding the spatial and temporal scales covered by the simulation. 117

The paper is organized as follows. The experimental setup is described in section 2. 118 The results of our numerical simulations are discussed in section 3. It is shown that the 119 large-scale SST gradient gives rise to a Walker Cell circulation. The circulation exhibits 120 intra-seasonal variability on a time-scale of about 20 days with quasi-periodic intensification 121 of the circulation and broadening of the convective regions. This variability is also closely 122 tied to synoptic-scale systems associated with the expansion and contraction of the Walker 123 circulation. Section 4 presents a systematic analysis of low frequency variability. An index 124 for the low frequency variability is obtained using an Empirical Orthogonal Function (EOF) 125 analysis and then applied to obtain a description of the oscillation by regressing the various 126 dynamic fields on this index. The oscillation is decomposed into four main stages - suppressed 127

phase, intensification, active phase and weakening - and various physical processes occurring at these stages are discussed. In Section 5 we focus on organized convective systems, in particular we contrast two different types of convective organization and their impact on the large-scale flow.

## $_{132}$ 2. Model set-up

In this study, we use the EULAG model of Smolarkiewicz and Margolin (1997). The 133 dynamical core is based on the anelastic approximation and uses finite-difference dynamics 134 based on the MPDATA scheme (Smolarkiewicz 2006). This advection scheme is monotonic 135 and intrinsically dissipative, and the model is used without any additional subgridscale 136 diffusion. The Eulerian version of the model is applied to simulate a two-dimensional model. 137 with 40000 km horizontal scales and 24 km in the vertical with a uniform resolution of 2 km 138 in the horizontal direction and 500 meters in the vertical. Periodic boundary conditions are 139 used in the horizontal direction and a gravity wave absorber is added in the uppermost 8 140 km of the domain. 141

The microphysical representation follows the one described in Grabowski (1998), and 142 includes two classes of condensate: cloud water and precipitation. These two classes represent 143 either cloud water and rain for temperatures above 268 K or cloud ice and snow below 144 253 K. For the temperature range in between these two thresholds, the two classes are 145 assumed to be a mixture of both of cloud water/ice and rain/snow, respectively, with the 146 relative amount given by linear dependence on temperature. Consistently, microphysical 147 processes are considered for liquid and solid condensate in an analogous way, with the details 148 of the formulas depending on the temperature. In particular, the condensation rate (and 149 latent heating related to that) of a given kind of cloud condensate is determined by the 150 condition of no supersaturation, with the saturated mixing ratio defined with respect to 151 either water or ice, or a value in between the two, depending on the temperature. Other 152

<sup>153</sup> microphysical processes taken into account are autoconversion, accretion, evaporation and
<sup>154</sup> fallout of precipitation. More details can be found in Grabowski (1998).

The model is forced by a combination of a prescribed radiative cooling and surface energy fluxes. The radiative cooling  $\dot{Q}_{SAM}$  and atmospheric temperature profile  $T_{ref}$  come from a three dimensional radiative-convective equilibrium simulation performed with the System for Atmospheric Modeling (Khairoutdinov and Randall 2003) applying the NCAR CAM3 interactive radiation scheme (Kiehl et al. 1998). The profiles are shown in Figure 1. The total cooling is prescribed as

$$\dot{Q} = \dot{Q}_{SAM} + \frac{T - T_{ref}}{\tau_{rad}},\tag{1}$$

with the radiative time-scale  $\tau_{rad}$  set to 20 days, and T being local temperature.

<sup>163</sup> Surface sensible and latent heat fluxes are obtained from a bulk formula

$$F = C_d U(\phi_{sfc} - \phi_{z=0}), \tag{2}$$

where  $\phi$  denotes either potential temperature,  $\theta$ , or water vapor mixing ratio,  $q_v$ , at the first level of the model (z=0) and at the surface (sfc). Surface values of potential temperature and water vapor mixing ratio equal local SST and the saturated value of water vapor mixing ratio for the given SST, respectively. Surface wind, U, is calculated as follows:

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$$U = max[2, (u_{z=0}^2 + u_*^2)^{0.5}],$$
(3)

with convective velocity timescale,  $u_*$ , estimated as:

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$$u_* = gHC_d[(\theta_{srf} - \theta_{z=0})/\theta_{srf} + 0.61(q_{srf} - q_{z=0})]^{0.5}.$$
 (4)

<sup>172</sup> Drag coefficient,  $C_d$  and height of the boundary layer, H, are assumed  $10^{-3}$  and 600 m, <sup>173</sup> respectively. The SST distributions is a cosine function, with 303.15 K in the center and <sup>174</sup> 299.15 K at the lateral boundaries of the domain. The model is also used without any <sup>175</sup> surface friction, to keep the similarity between our experimental set-up and the one used <sup>176</sup> by Grabowski et al. (2000) on a smaller domain. This experimental set-up is designed to drive a large-scale circulation that shares many similarities with the Walker circulation in the Tropics. The model is run over 320 days with a time step of 15 s, with our analysis focusing on the last 270 days.

## 180 3. Results

#### 181 a. Mean Walker circulation

The warm surface temperature drives enhanced convection, which generates a dominantscale overturning circulation. In addition, the simulated atmosphere exhibits significant variability on the planetary, synoptic and meso-scales. In this section, we first focus on the mean planetary scale circulation before analyzing its variability in the next section.

Figure 2 shows the time averaged horizontal velocity, perturbation of virtual temperature 186 from its mean horizontal value, equivalent potential temperature, water vapor mixing ratio, 187 relative humidity and cloud water mixing ratio. The large-scale circulation is characterized 188 by low-level convergence over the warm SST, with a double maximum in convergence at 189 the surface and at approximately 6 km. The maximum wind in the inflow is about 10 m 190  $s^{-1}$  at the surface, with a secondary maximum of 5 m  $s^{-1}$ . This is balanced by an upper 191 tropospheric divergence, which peaks at about 13 km and a maximum horizontal velocity 192 of 20 m s<sup>-1</sup>. This circulation corresponds to ascent over warm water and subsidence over 193 the colder SST, as clearly evidenced by the time-averaged streamfunction (not shown). The 194 averaged vertical velocity over the warm regions peaks at 1.5 cm s<sup>-1</sup> at a height of 8 km. 195 Downward velocity in the subsidence regions is around 1 cm s<sup>-1</sup> at the same level. 196

The averaged circulation thus combines a first baroclinic mode structure (Majda 2003), corresponding to the low-level inflow and upper level outflow, but also a significant contribution from a second baroclinic mode (Khouider and Majda, 2006), associated with the secondary inflow maximum of horizontal velocity in the middle troposphere. This two-mode structure is also reflected in the temperature distribution. Figure 2b shows the departure of the virtual potential temperature from its horizontal mean value. On average, the atmosphere is warmer over the warm SST and colder over the cold water, which is consistent with a dynamical forcing necessary to drive the overturning circulation. However, the virtual potential temperature anomalies in the middle tropospheric are consistently of the opposite sign from its mean vertical value. Such anomalies are necessary to drive the mid tropospheric jet. Overall, the virtual temperature perturbations are small, on the order of 2 K, which is broadly consistent with the scaling of Majda (2007).

The large-scale circulation has a strong impact on the moisture and equivalent poten-209 tial temperature distributions. The subsidence regions are particularly dry, with relative 210 humidity as low as 5%, except for a shallow boundary layer of thickness of approximately 1 211 km. Convection in the subsidence regions is limited mostly to shallow convection, with little 212 to no precipitation. The equivalent potential temperature shows a pronounced minimum 213 right above the boundary layer, with  $\theta_e$  as low 320 K, while the equivalent potential tem-214 perature increases to 350 K right at the surface. The ascending region is characterized by 215 active, but highly intermittent, deep convection, with typical vertical velocity on the order 216 of 10 m s<sup>-1</sup>. The ascending region is significantly more moist - particularly in the middle 217 troposphere - than the subsidence regions. The equivalent potential temperature exhibits a 218 mid-troposheric minimum, with  $\theta_e \approx 345$  K, but it is less pronounced and located at higher 219 levels than in the subsidence regions. One can also observe that the maximum value of the 220 equivalent potential temperature is not located over the warmest SST, but rather in the 221 inflow regions where stronger surface winds enhance the evaporation and convection is less 222 active. 223

Figures 3a and 3b show the mean precipitable water content and the mean precipitation rate. As noted above, the subsidence regions are very dry, with only approximately one third of the precipitable water as the ascending regions. The precipitable water increases almost linearly toward the ascending region, where it is approximately constant. The regions of constant precipitable water content closely match the regions of high precipitation, which <sup>229</sup> indicates that the simulated convection acts to maintain the precipitable water close to a <sup>230</sup> critical threshold value (at least on the planetary scale and long time scale).

Figure 3c and 3d show the surface sensible and latent heat fluxes. While SST variations 231 drive the atmospheric circulation through these fluxes, these fluxes themselves exhibit a 232 distinctly different structure from the SST (which is a basin-wide cosine function). Indeed, 233 the bulk formula for the surface fluxes (2) depends not only on the surface temperature 234 but also on the surface wind speed and atmospheric condition. For instance, the surface 235 latent heat fluxes present twin maxima at about 15000 km and 25000 km which are closely 236 related to the maximum surface wind. Nevertheless, both the sensible and latent heat fluxes 237 are larger over warm SST than cold SST. In contrast, the atmospheric cooling, shown in 238 Figure 3e, is fairly uniform horizontally. While the atmospheric cooling balances the surface 239 fluxes when averaged on the entire domain, its variations cannot balance the much larger 240 variations of the surface fluxes. Instead, the atmospheric circulation acts to transport energy 241 from the warm SST regions to the colder SST regions, as shown in Figure 3f, which shows 242 the divergence of the atmospheric energy transport: 243

$$\frac{\partial}{\partial x} \int_0^\infty \rho u (C_p T + L_v q + gz) dz.$$
(5)

Here,  $C_pT + L_vq + gZ$  is the moist static energy, with  $C_p$  the specific heat of dry air at constant pressure,  $L_v$  the latent heat of vaporization, and g the gravitational acceleration. The total atmospheric energy transport also includes the transport of kinetic energy which has been omitted from this calculation, but is in general significantly smaller than the transport of moist static energy. Thus, the mean circulation is a thermally direct circulation which acts to transport energy from warm to cold.

#### 251 b. Transients

The time-averaged Walker circulation does not correspond to any instantaneous realization of the flow. Instead, a large number of transients operate over a wide range of spatial

and temporal scales. Figure 4 shows the evolution of the surface horizontal velocity over the 254 last 270 days of the simulations. The large-scale circulation exhibits a cyclic behavior, with 255 periods of intense overturning and strong wind alternating with periods of weaker circula-256 tion and more quiescent atmosphere. The more intense periods are characterized by strong 257 surface winds reaching 20 m s<sup>-1</sup>. They are also associated with a significant expansion of the 258 convergence regions and enhanced precipitation over the warm water. In contrast, during a 259 weak phase, the surface flow is very weak, to the point of almost vanishing, and the zone of 260 convergence is much more narrow. The time it takes for the flow to switch between these dif-261 ferent phases varies on a case-by-case basis, but, on average, this cycle takes approximately 262 20 days, thus corresponding to an intraseasonal oscillations on the planetary scales. 263

This variability on the global scale is also tightly coupled to fluctuations at the synoptic 264 and meso-scale. These are visible in Figure 4 as propagating structures in the low level 265 wind. To illustrate this, we focus on two instances of propagating disturbances that are 266 delimited by the black boxes in Figure 4, and shown in greater detail in Figure 5a and 267 The first case can be viewed as a synoptic-scale (super) squall line (Moncrieff 1981, c. 268 1992; Houze 2004), characterized by a propagating low level jet that follows a region of 269 enhance convergence. Figure 5b, showing the cloud top temperature, indicates that the 270 structure is indeed associated with very intense convection, with cloud top temperature 271 as low as 200K, and a very large region of upper level stratiform clouds. This structure 272 remains coherent for several days, propagates over several thousands of kilometers and only 273 decays once it encounters dryer air masses over the cold water. Similar structures are often 274 found during the intensification of the planetary scale circulations. A second example of 275 coherent structure is shown in Figure 5c. It also appears as a large propagating system in 276 a region of low level convergence. A key difference with the previous case, as can be noted 277 from Figure 5d showing the cloud top temperature, lies in that several smaller meso-scale 278 systems are embedded within the synoptic structure. This second type of system propagates 279 preferentially toward the warm SST, and is associated with a contraction of the precipitation 280

regions. These systems occur in very different environmental conditions and exhibit very different characteristics. While we will analyze these systems and their interaction with the planetary scale flow in greater detail in a subsequent study, we want to highlight here the close connection between these various synoptic scale features and the evolution of the planetary scale circulation.

We also observe fluctuations at smaller scales. To quantify this high frequency variability, 286 we analyze the spatio-temporal spectrum obtained from a Fast Fourier Transform applied 287 to the precipitation field averaged over 48 km subdomains for the last 270 days of the 288 simulations. The power spectrum, which is similar to the one introduced by Wheeler and 289 Kiladis (1999), is shown in Figure 6. The spectrum shows that the precipitation exhibits 290 a broad range of variability, with somewhat more power at the lower frequencies and wave 291 numbers. The variability peaks around the line corresponding to a propagation speed of 7 292 m s<sup>-1</sup>, which is somewhat lower than the propagation speed typical of convectively coupled 293 gravity waves of  $15 \text{m s}^{-1}$  found by Wheeler and Kiladis but happens to be the propagation 294 speed of the typical 'super' squall line from Figure 5. It is also symmetric in the positive and 295 negative directions, which can be attributed to the two-dimensional nature of the simulation. 296 the lack of Coriolis force, and the fact that the SST distribution is equally symmetric. 297

# <sup>298</sup> 4. Low frequency variability

Here, we perform a systematic analysis of the low frequency variability in the simulations based on Empirical Orthogonal functions (EOFs) of the surface wind from the last 270 days of the simulation. The leading EOF accounts for 36.3% of the total variance and is shown in Figure 7a. This EOF corresponds to a strengthening of the low level flow, with enhanced convergence over the precipitating region. The EOF coefficient  $e_1(t)$  is also shown in Figure 7b and indicates an oscillatory behavior with period of stronger low level convergence (positive value of  $e_1$ ) alternating with weaker convergence (corresponding to negative value of  $e_1$ ). While  $e_1(t)$  is not periodic, its power spectrum peaks for a period of about 20 days, corresponding to the intra-seasonal frequency band. This low frequency is very robust and appears as the leading EOF within a wide range of dynamical variables.

In order to better assess the dynamics of this low frequency variability, we compute the lag regression of various variables with the EOF coefficient. For any given variable f(x,t)we define a typical anomaly field  $\langle f \rangle (x, \tau)$  by computing a lag-regressed value

$$\langle f \rangle (x,\tau) = \frac{1}{\sigma_1(T-|\tau|)} \int e_1(t)f(x,t+\tau) dt,$$
 (6)

with the integral taken between day 30 and day  $(240 - \tau)$  for positive  $\tau$  and between day 30+ $|\tau|$  and day 240 for negative value. The quantity  $\sigma_1$  is the standard deviation of the EOF coefficient  $e_1$ . The lag regression is computed for a lag  $\tau$  varying from -10 days to 9 days with a 6 hour increment. If one adds the mean value of the field  $\overline{f}(x,t)$  to its lag-regressed value, one obtains the typical evolution of the variable f associated with a positive EOF anomaly of amplitude equal to the standard deviation  $\sigma_1$ .

Figure 8a shows the Hovmoller diagram for the reconstructed surface wind  $\overline{u} + \langle u \rangle$ . Ten days before the peak amplitude, the surface winds are much weaker than average, with a maximum inflow velocity of the order of 5 m s<sup>-1</sup>. The wind speed gradually increases to reach a peak amplitude of 14 m s<sup>-1</sup> and the convergence over the center of the domain is strongly enhanced. This is followed by a gradual decay of the Walker cell. This reconstruction is in good qualitative agreement with the individual cases (Figure 4) discussed earlier.

Figure 8b show the reconstruction for the precipitation rate. Precipitation lags slightly 324 the maximum low level convergence, peaking for  $\tau \approx 1$  days. Interestingly, the precipitation 325 reaches its maximum value not at the center of the domain, but rather on the edges of the 326 convergence regions. There is also a marked asymmetry between the amplification stage 327  $(-8 \text{days} < \tau < -3 \text{days})$  when precipitation is relatively weak but occurs over a relatively 328 broad area, and the decay phase (3days  $< \tau < 8$ days) when the precipitation weakens 329 overall and is confined to a much narrower region. This asymmetry is also apparent in the 330 reconstruction for the precipitable water shown in Figure 8c. There is a significant build up 331

of water vapor in the amplification phase that occurs over a broad portion of the domain. In
contrast, the decaying stage is still characterized by high water content in the central region,
but there has been a substantial shrinking of the area with high water concentration.

In order to characterize the low frequency oscillation, we decompose the cycle into 4 phases, namely the suppressed phase corresponding to  $\tau \leq -8$  days and  $\tau \geq 7$  days, amplifying phase for  $\tau \geq -8$  days and  $\tau \leq -3$  days, peak phase for  $\tau \geq -3$  days and  $\tau \leq 3$  days and decaying phase that follows during  $\tau \geq 3$  days and  $\tau \leq 8$  days. We then compute the anomalies of horizontal wind, virtual temperature, equivalent potential temperature and cloud water mixing ratio in the middle of each phase. These are shown in Figures 9 - 12.

i. Suppressed phase

Figure 9 shows the anomalies associated with the suppressed phase at  $\tau = -10$  days. 342 The overall structure of the anomaly corresponds to a weakening of the overturning 343 flow. The horizontal velocity in the inflow is reduced by up to 4 m  $\rm s^{-1}$  at the surface 344 and by up to  $6 \text{ m s}^{-1}$  in the upper troposphere. A comparison with the structure of 345 the mean flow (Figure 2) indicates however that the circulation anomaly is not simply 346 promotional to the mean overturning. Instead, it exhibits a pronounced strengthening 347 of the mid tropospheric jet at about z = 6 km by as much as 2 m  $s^{-1}$ . This inten-348 sification of the jet is associated with an increased advection of mid tropospheric dry 349 air with low equivalent potential temperature into the central part of the domain that 350 acts to suppress convection. 351

The virtual potential temperature anomaly indicates that the atmosphere as a whole is slightly colder than average. It also exhibits a quadrupole pattern: the lower troposphere is colder over the cold water, but the upper troposphere there is warmer. In effect, while the lower troposphere anomaly reflects the sea surface temperature distribution, the upper troposphere does not. This temperature anomaly results in an acceleration of the flow toward the warm water both in the lower and upper troposphere, and toward the colder regions in the middle troposphere.

In the upper troposphere, the equivalent potential temperature anomaly is almost 359 identical to the virtual potential temperature anomaly but differs significantly below 360 6 km due to the variations of latent heat content of the air parcels. Overall, the  $\theta_e$ 361 anomaly is much more homogenous in the vertical, indicating that convective processes 362 do a reasonable job at transmitting the  $\theta_e$  anomaly from the surface through the entire 363 air column. The most noticeable features here are the dry mid troposphere over the 364 regions of active precipitation, which is likely tied to the stronger mid tropospheric 365 jet, and the moist anomaly located over the coldest water that is a direct result of the 366 weaker subsidence. These are also reflected in the condensed water anomalies, which 367 show much reduced cloudiness associated with weaker convection over the central part 368 of the domain, and a small positive anomaly over the cold SST. 369

ii. Strengthening phase

The low level flow intensifies from days -10 to days -5. The corresponding anomalies 371 are shown in Figure 10. The surface anomaly is very weak, meaning that the surface 372 winds are now close to the climatological average. In the mid-troposphere, the anomaly 373 has reversed sign from  $\tau = -10$  days corresponding to a weaker than average mid-374 tropospheric jet. In the upper troposphere the circulation is sill weaker than average, 375 though there is an indication of enhance divergence at the very center. The evolution 376 from  $\tau = -10$  days to  $\tau = -5$  days is consistent with the virtual temperature anomaly 377 discussed above with the early intensification of the circulation being primarily limited 378 to the lower to mid troposphere. 379

The virtual temperature anomalies show a significant domain-wide cooling when compared to the situation at  $\tau = -10$  days, a direct results of the overall weak precipitation - and latent heat heating. The central regions are also now systematically warmer than the subsidence regions, which should lead to an intensification of a tropospheric deep overturning circulation. A large positive anomaly for the equivalent potential temperature of about 2K is present in the subsidence regions, indicative of a significant moistening. In the absence of deep convection there, it remains confined below 5 km. The cloud water anomaly also shows enhanced cloudiness over the subsidence regions. It also indicates that the region of deep convection is more narrow than usual, with a positive anomaly at the center surrounded by two negative anomalies.

<sup>390</sup> iii. Active phase

Figure 11 shows the anomalies associated with the active phase at  $\tau = 0$  days corre-391 sponding to the strongest surface wind. The anomalies at  $\tau = 0$  days are almost the 392 opposite to that at  $\tau = -10$  days which is consistent with the quasi-periodic behavior 393 of the oscillation on a 20 days time-scale. The large-scale circulation has intensified 394 dramatically and corresponds now to a tropospheric-deep overturning circulation. The 395 atmosphere as a whole has warmed since  $\tau = -5$  days. The temperature anomaly ex-396 hibits a quadrupole structure that is similar but of opposite sign to the one observed 397 at  $\tau = -10$  days. As argued above, this temperature would act to reinforce slightly the 398 upper tropospheric circulation, but weakens the low level overturning. 399

The central region is now warmer and moister than average. The magnitude of the  $\theta_e$ 400 anomaly in the central region is about 2.5K which is comparable to the  $\theta_e$  anomaly 401 that is observed over the subsidence regions at  $\tau = -5$  days. A positive  $\theta_e$  anomaly that 402 developed over the subsidence regions during the inactive and amplifying phase was 403 confined to the vertical region a few kilometers above the boundary layer. It spreads 404 to the entire column once it reaches the regions of active convection during the active 405 phase where it contributes to the overall warming of the atmosphere. Interestingly, 406 the strongest convection occurs on the edge of the precipitation regions - as can be 407 noted from both the  $\theta_e$  anomaly and the cloud water anomaly - corresponding thus to 408 a broadening of the region of active convection resulting from the inflow of moister air. 409 This evolution of the  $\theta_e$  anomaly here is a strong indication that horizontal advection 410

of  $\theta_e$  and moisture is a key ingredient to explain the intraseasonal modulation of the convective activity in our simulations.

## <sup>413</sup> iv. Decaying phase

Figure 12 shows the anomalies associated with the decaying phase at  $\tau = 5$  days. These 414 anomalies are to a large extent opposite to the ones occurring during the strengthening 415 phase at  $\tau = -5$  days. In particular, the anomalies over the central region correspond 416 to a stronger than average mid-tropospheric inflow and upper tropospheric outflow. 417 At the same time, surface anomalies of horizontal wind indicate a reduction of low-418 level inflow into the regions of active convection. Together, these anomalies indicate a 419 reduction of the moisture and moist static energy transport into the convective regions, 420 thus implying a further weakening and overall cooling trend. 421

The decaying phase also corresponds to the warmest mean atmospheric temperature, as 422 a direct results of the extended period of enhanced convection. The mean atmospheric 423 temperature increases by 2 K from  $\tau = -5$  days to  $\tau = 5$  days due to the enhanced 424 precipitation. While the atmosphere is warmer overall, it is also dryer, most notably 425 over the subsidence regions. The negative anomalies of  $\theta_e$  in the boundary layer are 426 comparable to the positive anomalies in the free troposphere, which indicates the 427 internal energy increase associated with the warming in large part is compensated 428 by a reduction of latent heat content. The low frequency oscillation thus corresponds 429 to a switch back and forth between a regime with low sensible heat content but high 430 latent heat corresponding to the amplifying phase and a regime with high sensible heat 431 but low latent heat content during the decaying phase. 432

# 433 5. Organized convective systems

The low frequency variability of the Walker circulation discussed above is strongly coupled 434 to changes in the organization of convection. We analyze here two separate systems, shown 435 in Figure 5. The first system occurs during the expansion of the Walker circulation, while 436 the second occurs during the contraction. As such they evolve in a very different large-437 scale environment, and their overall impact on the circulation (Moncrieff 1981, 1992) is also 438 markedly different. While we focus here on two specific events, similar cases of convective 439 organization are regularly found to be associated with the expansion and contraction of the 440 Walker Cell cycle over 270 days of simulated period. Overall, the dependence of convective 441 evolution on the low-frequency phase seems to be very robust. 442

The first system is shown in Panels a and b of Figure 5. It occurs between day 120 and 443 day 127 during the strengthening phase of the low frequency variability, when the region of 444 large-scale ascent is expanding. The system propagates into the subsidence region with an 445 almost constant speed of about 6.7 m s<sup>-1</sup>. It lasts for about 8 days, and dissipates after 446 reaching colder ocean and drier atmosphere, having travelled for over 5000 km. In effect, 447 the propagation of this system corresponds to the expansion of the convergence region, and 448 similarly, its decay around day 127 coincides with the termination of this expansion. The 449 surface wind, shown in Figure 5a, shows a strong low-level convergence of the flow, changing 450 from a strong easterly ahead of the system to strong westerly behind it in a few hundred 451 kilometers and in less than a day. As the system propagates, the large scale flow strengthens 452 significantly during the first five days, with low level inflow reaching up to 20 m s<sup>-1</sup>. This 453 system occurs in a region of strong vertical shear. 454

Figure 13a shows the mean streamfunction associated with the first system. To obtain the figure, we average the horizontal velocity in the reference framework moving along the storm. The streamfunction is then obtained by computing the vertical integral of the mass transport. The system exhibits a very coherent structure, which is reminiscent of a squall line, although on a much broader horizontal scale. The streamfunction shows that the inflow is made of low level air coming from the subsidence region to the east. Two-thirds of the outflow (relative to the moving storm) is taking place behind the storm, while about one third is sent back in the upper troposphere ahead of the storm. Most of the mean ascent occurs within a narrow region of about 200 km.

Figure 13b shows the moving average of the equivalent potential temperature. The 464 isentropes and streamlines are not parallel but instead exhibit significant crossing. This 465 indicates that the mean streamlines are not representative of the parcels trajectories and that 466 flow is highly intermittent. The inflow structure corresponds to a shallow moist boundary 467 layer with high value of  $\theta_e$  and a much dryer free troposphere. The low level outflow behind 468 the storm has significantly lower value of  $\theta_e$  than the inflow at the same level: the boundary 469 layer air mass ahead of the storm has been almost entirely depleted and has been replaced 470 by low  $\theta_e$  air from the lower troposphere. The upper tropospheric outflow has a much larger 471 value of  $\theta_e$  and is made primarily of boundary layer air that have risen within the narrow 472 ascent regions. One can also notice that the outflow ahead of the storm is significantly 473 warmer than the outflow behind it. There is a sharp temperature gradient in the regions of 474 mean ascent. Such a temperature gradient is necessary from a dynamical point of view to 475 reverse the wind direction of the first baroclinic mode. 476

Shallow convection prevails in front of the system, as lower troposphere is moist due to 477 strong surface winds and large surface fluxes. However, deep convection is severely inhibited 478 due to the very dry middle troposphere. Shallow convection gradually moistens the lower 479 troposphere as noticeable through the increase  $\theta_e$  at about z=3.5 km ahead of the storm. 480 Closer to the storm, at about x = 800 km in Figure 13b, the meso-scale flow exhibits weak 481 ascent, which further reinforce the moistening of the lower troposphere. At the center of 482 the storm, between x=200 km and x=400 km, deep convection occurs frequently there, and 483 the corresponding strong updrafts are clearly marked by streamlines being almost vertical. 484 Finally, the inflow of low  $\theta_e$  air in the middle troposphere is gradually moving down through 485 re-evaporation of stratiform precipitation and convective downdraft. This system exhibits a 486

highly coherent structure typical for a squall line (Houze 2004) where the dynamics exhibits 487 multiple forms of interaction with convection. Our analysis fits well with the three-cloud-488 type paradigm of Khouider and Majda (2006) with shallow cumulus clouds in the front 489 part of the system, deep convection associated with the main ascent region, and extensive 490 stratiform regions both ahead and behind the storm from Figure 13a. There is a strong 491 jump updraft flow associated with the mean vertical shear in the super convective system 492 (Liu and Moncrieff 2001). More will be said about the momentum budget of this system at 493 the end of this section. 494

This system dissipates around day 128, after moving over colder water. The mid-495 troposphere at the time of the dissipation is anomalously dry due to strengthened advection 496 of dry air by a well developed mid-tropospheric jet associated with the decaying stage of the 497 low frequency oscillation. As soon as the system decays, the ascent region begins to collapse, 498 a new organized structure forms at about 1500 km behind the first system (see Figure 5) and 499 starts propagating toward the warm water at a speed of about 5.7 m s<sup>-1</sup>. This is the second 500 system we will analyze. This second system is associated with the weakening of the Walker 501 circulation and a significant narrowing of the ascent region. In contrast to the first system, 502 this second system is much less coherent, and corresponds rather to a westward propagating 503 envelope of several individual convective systems that themselves propagate eastward. 504

The moving average of streamfunction and equivalent potential temperature for this 505 second system are shown in Figure 13c and d. In effect, the second system propagates 506 within the region that has been modified by the first system. Its large scale environment is 507 thus characterized by a much weaker shear, moister lower troposphere but dryer boundary 508 layer, and much weaker horizontal gradients. As for the first system, the streamlines and 509 isentropes are not parallel, especially in the ascent regions, which indicates that parcel 510 trajectories differ significantly from the mean streamlines due to convection and turbulence. 511 The streamfunction is mostly barotropic (which is in part a result of using the horizontal 512 velocity relative to the storm, with a broad regions of weak ascent between x=100 km and 513

x=800 km). At the back of the storm, there is a marked low level inflow from the dry regions associated with a meso-scale downdraft. Evidence for this jet and mesoscale downdraft can also be inferred from the dipping of the low  $\theta_e$  isentropes between x= 600 km and x = 100 km. This advection of dry air at the back of the storm acts to shut down convection behind the storm and creates the overall contraction of the precipitation region.

To diagnose the contribution of the various scales, here we analyze the momentum and  $\theta_e$  transports between the synoptic, meso and convective scales. The upscale transport from the vertical flux of a variable f can be decomposed as

$$[\overline{wf}] = [\overline{w}][\overline{f}] + [(\overline{w^*})(\overline{f^*})] + [w'f'], \tag{7}$$

where the overline  $\overline{\cdot}$  indicates a time average in the moving frame, the bracket  $[\cdot]$  the horizon-522 tal average (limited to the moving domain shown in Figure 13), the asterisk  $f^* = \overline{f} - [\overline{f}]$  is 523 the contribution for the stationary meso-scale structure and the prime  $f' = f - \overline{f}$  corresponds 524 to the transient fluctuations (Majda 2007). The first term equals the vertical flux by mean 525 ascent. The second term corresponds to the transport by the time-mean mesoscale flow, 526 whereas the third term account for the transport by transient fluctuations, primarily at the 527 convective scale. The resulting fluxes of momentum and equivalent potential temperature 528 are shown for system 1 and 2 in Figure 14 and 15, respectively. 529

The coherent structure of the first system is reflected in the spatial distribution of the 530 fluxes. Convective and mesoscale fluxes are strongly localized, being particularly large in the 531 central part of the system, where deep convection prevails. Mesoscale fluxes are stronger in 532 the upper troposphere, where stratiform clouds spread. Convective fluxes are particularly 533 large in the lower troposphere of the region with strong convective updrafts. Both convective 534 and mesoscale fluxes are of comparable magnitude to the transport by mean ascent. Thus, 535 their impact on the large-scale flow is not negligible. In particular, the convective flux of 536 momentum strengthens the surface wind. Also it tends to weaken the mid-tropospheric jet 537 and strengthen the upper-tropospheric outflow. The mesoscale momentum flux also enhances 538 the upper tropospheric outflow. Both mesoscale and convective fluxes of equivalent potential 539

temperature are responsible for a net upward transport of energy into the upper troposphere. 540 The second system does not reveal such coherency as the first one, which can be viewed 541 as a result of the smaller embedded systems that propagate in the opposite direction to the 542 main envelope (Majda and Stechmann 2009). The time-averaged convective and mesoscale 543 fluxes are much less localized and weaken towards the direction where the embedded systems 544 propagate. Still, convective and mesoscale fluxes have a significant impact onto the large-545 scales. In particular, the convective flux of momentum enhances low level inflow, although 546 less intensively than in the first system, and contributes to the acceleration of the upper 547 tropospheric outflow. Convective transport of equivalent potential temperature is significant. 548 whereas the mesoscale flux is negligible. In summary, both systems impact large-scale flow 549 and environmental conditions. In particular, convective fluxes of momentum act to accelerate 550 low-level inflow and upper tropospheric outflow. Also, both systems tend to transport moist 551 static energy upward efficiently. Since the first system is much more coherent than the second 552 system, its overall impact is larger (Majda and Stechmann 2009). 553

## 554 6. Summary

In this paper, we analyze an idealized Walker circulation induced by large-scale gradient of sea surface temperature in a cloud resolving model. The time-mean circulation exhibits a planetary scale overturning, with enhanced deep convection over warm water, and suppressed convection over the subsidence regions. This Walker circulation, far from being steady, exhibits significant low frequency variability on a time scale of about 20 days characterized by an alternate between periods of intense circulation and precipitation and periods of weaker flow and convective activity.

A systematic statistical analysis of the low frequency variability has been presented. We use an EOF analysis of the surface winds to derive a low frequency index. We then compute the lag regression of various physical fields with this index. This approach makes it possible

to identify the key physical processes associated with the low frequency variability. A typical 565 cycle can be decomposed into four phase, a suppressed phase, strengthening phase; active 566 phase, and decaying phase, with each phase lasting for 4-5 days. The suppressed phase 567 is characterized by a weak circulation, weak convection and overall dry atmosphere. The 568 intensification phase shows a significant increase in the water vapor content in the subsidence 569 regions as well as a weakening of the mid-tropospheric jet found usually at about 3-4 km 570 above the ground. The active phase occurs when the moisture anomaly generated in the 571 subsidence regions has been advected into the region of active convection over the warm SST. 572 This is followed by a marked warming and drying of the atmosphere, particularly pronounced 573 over the subsidence regions, during the decaying phases. 574

From a dynamical point of view, the low frequency anomaly exhibits several character-575 istics of a fluctuation driven by moisture perturbations. In particular, the active phase is 576 proceeded by gradual build up of water content of the atmosphere. As this build-up occurs 577 primarily over the subsidence region, advection of moisture from the subsidence regions to 578 the regions of active precipitation plays an important role in the onset of the active phase. 579 Finally, the mid-tropospheric jet exhibits a very different phase from the overall overturning 580 circulation. Indeed, we observe that the mid tropospheric jet is at its weakest during the 581 intensification phase of the oscillation. As this mid-tropospheric jet brings dry, low entropy 582 air into the precipitation regions, such shutdown of the low tropospheric jet leads to an 583 increase in both water vapor and energy content of the main precipitating regions during 584 the strengthening phase. 585

The active period also corresponds to an horizontal expansion of the deep convection into the otherwise dry regions, while the suppressed periods are usually associated with a significantly smaller precipitation area. An intriguing feature of this expansion and contraction of the precipitation regions lies in the fact that they are closely tied to synoptic-scale squall-like systems. Our analysis furthermore indicates that systems associated with the expansion of the precipitation zone differ significantly from those associated with the contraction. In other words, different phases of low-frequency variability tend to favour different forms of convective organization. These systems have, in turn, different feedback to the large-scales. This
indicates a strong link between the low frequency variability and the behavior of synoptic
scales.

The simulations here with a strong sinusoidal imposed SST pattern have super convective 596 squall lines in the fluctuations but no large scale convectively coupled gravity waves. On 597 the other hand, large domain two-dimensional simulations with constant SST are dominated 598 by the mergence of synoptic scale convectively coupled Kelvin wave trains (Grabowski and 599 Moncrieff 2001). The structure and strength of the imposed SST which leads to a mixture of 600 mesoscale convective systems and convectively coupled gravity waves is an interesting issue 601 which merits further investigation. This is beyond the computational resources available in 602 the present study but could be studied through the stochastic multi-cloud model (Frenkel et 603 al. 2012). 604

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FIG. 13. Time-averaged streamfunction (left column) and equivalent potential temperature (right column) for system 1 (upper row) and system 2 (bottom row). Y axis: height (km). X axis: x direction (km)



FIG. 14. Time-averaged fluxes of momentum (left) and equivalent potential temperature (right column) for system 1. Y axis: height (km). Middle row: Averaged in time and space profiles of convective (solid line) and mesoscale (dashed) fluxes, as well as fluxes associated with mean ascent(dotted line). Upper and bottom row: time-averaged spatial distribution of convective and mesoscale fluxes, respectively, over 1200 km horizontally.



FIG. 15. Time-averaged fluxes of momentum (left column) and equivalent potential temperature (right column) for system 2. Y axis: height (km). Middle row: Averaged in time and space profiles of convective (solid line) and mesoscale (dashed) fluxes, as well as fluxes associated with mean ascent (dotted line). Upper and bottom row: time-averaged spatial distribution of convective and mesoscale fluxes, respectively, over 1200 km horizontally.