

Problem set #5  
Fall 2015

Functional Analysis

Due: Thursday October 15

1. Show that a linear functional  $T$  on a  $B$ -space  $X$  is bounded if and only if  $\ker T$  is closed.

2. Let  $T \in \mathcal{L}(X, Y)$ ,  $X, Y$   $B$ -spaces. Then  $T$  is compact if and only if  $[T] \in \mathcal{L}(X/\ker T, Y)$  is compact.

3. Let  $K(x, y)$  be a continuous function on  $[0, 1] \times [0, 1]$ .

Define

$$(3.1) \quad Tf(x) = \int_0^1 K(x, y) f(y) dy$$

for any integrable function  $f$  on  $[0, 1]$ . Show that

for any  $1 \leq p \leq \infty$ ,  $T$  is a compact map from

$L^p([0, 1], dx)$  to itself.

4. Let  $K(x, y) = \max(x, y)$ ,  $0 \leq x, y \leq 1$ , and let

$T$  be defined as in (3.1) above. Compute  $\sigma(T)$  in

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$L^2([0,1], dx)$ . What about in  $L^p([0,1], dx)$  for any  $1 \leq p \leq \infty$ ?

5. Let 
$$K(x,y) = \begin{cases} 1 & \text{if } 0 \leq y \leq x \leq 1 \\ 0 & \text{if } 0 \leq x < y \leq 1 \end{cases}$$

and define  $T$  as in (3.1) above. Show that  $T$  is compact in  $L^2([0,1], dx)$  and compute  $\sigma(T)$ .

6. Let 
$$K(x,y) = \frac{(x/y)^{\frac{1}{2}} - 1}{x - y} \quad \text{on } (0, \infty) \times (0, \infty)$$

and define  $T$  as in (3.1) above with  $(0,1)$  replaced by  $(0, \infty)$ .

(a) Show that  $T \in \mathcal{L}(L^4(0, \infty), dx)$

(Hint: consider  $\int_0^\infty \int_0^\infty dx dy \frac{g(x) f(y)}{\sqrt{y}(\sqrt{x} + \sqrt{y})}$ )

where  $f \in L^4(0, \infty)$ ,  $g \in L^{4/3}(0, \infty)$  and change variables  $x = r \cos \theta$ ,  $y = r \sin \theta$ .)

(b) Show that  $T$  is not compact on  $L^4(0, \infty)$

(Hint: consider the functions 
$$f_n(x) = \begin{cases} n^{-1/4} & n < x < 2n \\ 0 & \text{otherwise} \end{cases}$$
)

7. Let  $X = \ell_2 = \{x = (x_1, x_2, \dots) : \sum_{i=1}^{\infty} |x_i|^2 < \infty\}$

Define  $T: X \rightarrow X$  by

$$T(x_1, x_2, \dots) = (0, \frac{x_1}{1}, \frac{x_2}{2}, \dots, \frac{x_n}{n}, \dots)$$

Show that  $T$  is compact but  $T$  has no eigenvalues: hence  $\sigma(T) = \{0\}$ . On the other

hand, show that  $\lambda = 0$  is an eigenvalue of  $T'$ . Thus although  $\sigma(T) = \sigma(T')$ ,  $\lambda = 0$  is an eigenvalue of  $T'$  but not of  $T$ .

8. Let  $k(x, y)$  be measurable on  $(0, \infty) \times (0, \infty)$  and set

$$c = \max \left( \sup_{x \geq 0} \int_0^{\infty} |k(x, y)| dy, \sup_{y \geq 0} \int_0^{\infty} |k(x, y)| dx \right)$$

Let  $T$  be defined as in (3.1) above with  $(0, 1)$  replaced by  $(0, \infty)$ . Show that if  $c < \infty$ ,  $T \in \mathcal{L}(L^q(0, \infty)) \rightarrow L^q(0, \infty)$ ,  $1 \leq q \leq \infty$ , and  $\|T\|_{q \rightarrow q} \leq c$ .

9. Show that eigenvectors corresponding to distinct eigenvalues of an operator  $V$  are independent.

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10. Show that every operator of form (3.1) with  $k(x,y) \in C([0,1] \times [0,1])$ , is a norm limit of operators of finite rank.